

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WETTRE, LEDA D.	2. Court or Organization U.S. District Court, New Jersey	3. Date of Report 08/06/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge - Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Hon. Leda Dunn Wettre, U.S.M.J. U.S. District Court, District of New Jersey 50 Walnut Street Newark, NJ 07102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 22

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/06/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	W-SYSTEMS CORP (SALARY)
2. 2017	PROPEL BUSINESS SERVICES, INC (SALARY)
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 22

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/06/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 22

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/06/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANK OF AMERICA ACCOUNTS (CASH)	A	Interest	N	T					
2. CAPITAL ONE ACCOUNT (CASH) (Y)									
3. TD BANK ACCOUNT (CASH)	A	Dividend	L	T					
4. JP MORGAN CHASE BANK ACCOUNT (CASH)	A	Int./Div.	M	T					
5. 529 #1 (H)									
6. CHARLES SCHWAB MODERATELY AGGRESSIVE TRACK: MODERATE PORTFOLIO		None			Sold	07/14/17	M		
7. MODERATELY AGGRESSIVE TRACK: 50% EQUITY PORTFOLIO		None	M	T	Buy	07/14/17	M		
8. 529 #2 (H)									
9. CHARLES SCHWAB MODERATELY AGGRESSIVE TRACK: CONSERVATIVE PORTFOLIO		None			Sold	02/09/17	M		
10. SHORT-TERM PORTFOLIO		None	M	T	Buy	02/09/17	M		
11. IRA #1 (H)									
12. CAMBIAR INTL EQUITY INC (CAMIX)	A	Dividend	J	T					
13. CAUSEWAY EMERGING MKTS INST (CWMIX)	A	Dividend	J	T					
14. DELAWARE VALUE INSTL (DDVIX) (Y)									
15. EDGEWOOD GROWTH INSTL (EGFIX)		None	J	T					
16. HARDING LOEVNER INTL EQTY INST (HLMIX)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 22

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/06/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. JP MORGAN VALUE ADVANTAGE I (JVASX)	A	Dividend	J	T	Buy	06/22/17	J		
18. LAZARD INTL STRAT EQ PTF INST (LISIX)	A	Dividend	J	T					
19. LOOMIS GROWTH Y (LSGRX)	A	Dividend	J	T	Buy	06/22/17	J		
20. LYRICAL US VALUE EQUITY INSTL (LYRIX) (Y)									
21. METROPOLITAN WEST TOT RET BD I (MWTIX)	A	Dividend	J	T					
22. RIVERPARK/WEDGEWOOD INST (RWGIX)	A	Dividend			Sold	06/22/17	J	A	
23. IRA #2 (H)									
24. MORGAN STANLEY BANK N.A. (CASH)	A	Interest	K	T					
25. ALPS SECTOR DIVIDEND DOGS (SDOG)	B	Dividend	K	T	Buy (add'l)	01/04/17	J		
26.					Buy (add'l)	03/07/17	J		
27. FIRST TR MLD CP COR ALPHDX ETF (FNX)	A	Dividend			Buy (add'l)	01/04/17	J		
28.					Buy (add'l)	03/07/17	J		
29.					Sold	05/10/17	K	C	
30. FIRST TR VALU LN DIV IDX (FVD)	A	Dividend	K	T	Buy (add'l)	01/04/17	J		
31.					Buy (add'l)	05/10/17	J		
32. FIRST TRUST DJ GL SEL DVD (FGD)	A	Dividend	K	T	Buy (add'l)	01/04/17	J		
33.					Buy (add'l)	03/07/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 22

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/06/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

34.					Buy (add'l)	05/10/17	J		
35. FIRST TRUST DW FOCUS 5 INTL (IFV)	A	Dividend	K	T	Buy (add'l)	01/04/17	J		
36.					Buy (add'l)	05/10/17	J		
37. FIRST TRUST FNCL ALPHADDEX ETF (FXO)	A	Dividend	K	T	Buy (add'l)	01/04/17	J		
38.					Buy (add'l)	05/10/17	J		
39. FIRST TRUST LARGE CAP CORE ETF (FEX)	A	Dividend	K	T	Buy (add'l)	01/04/17	J		
40.					Buy (add'l)	03/07/17	J		
41.					Buy (add'l)	05/10/17	J		
42. FIRST TRUST US EQ OPP ETF (FPX) (f/k/a FIRST TRUST IPOX 100 INDEX F)	A	Dividend	K	T	Buy (add'l)	03/07/17	J		
43.					Buy (add'l)	05/10/17	J		
44. FIRST TRUST NORTH AMERICAN E (EMLP)	A	Dividend	K	T	Buy (add'l)	01/04/17	J		
45.					Buy (add'l)	03/09/17	J		
46.					Buy (add'l)	05/10/17	J		
47. OSHARES FTSE RUSSELL SMLL ETF (OUSH)	A	Dividend	K	T	Buy	05/10/17	K		
48. OSHARES FTSE US QUALITY DIV (OUSA)	A	Dividend	K	T	Buy (add'l)	01/04/17	J		
49.					Buy (add'l)	05/10/17	K		
50. OPPENHEIMER MID CAP REVENUE (RWK)		None	K	T	Buy	05/10/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 22

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/06/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
51. OPPENHEIMER SMALL CAP REVENUE (RWJ)	A	Dividend	K	T	Buy	01/06/17	K		
52.					Buy (add'l)	03/07/17	J		
53.					Buy (add'l)	05/10/17	J		
54. AB HIGH INCOME ADV (AGDYX) (Y)									
55. AMG YACKTMAN SVC (YACKX)	A	Dividend			Sold	02/24/17	J	A	
56. BLACKROCK EQUITY DIVIDEND I (MADVX)	C	Dividend	K	T	Buy (add'l)	01/04/17	J		
57.					Buy (add'l)	03/07/17	J		
58.					Buy (add'l)	05/10/17	J		
59. CLEARBRIDGE AGGRESSIVE GROWTH I (SAGYX)	B	Dividend	K	T	Buy (add'l)	05/10/17	J		
60. FIRST EAGLE GLOBAL I (SGIIX)	B	Dividend	K	T	Buy (add'l)	01/04/17	J		
61.					Buy (add'l)	03/07/17	J		
62.					Buy (add'l)	05/10/17	J		
63. HARDING LOEVNER INTL EQTY INST (HLMIX)	A	Dividend	K	T	Buy	07/24/17	K		
64. JANUS HENDERSON FORTY I (JCAPX)	C	Dividend	K	T	Buy	03/24/17	K		
65. JANUS BALANCED I (JBALX)	A	Dividend			Sold (part)	01/06/17	J	A	
66.					Sold	05/10/17	K	B	
67. JANUS INTECH GLB INC MGD VLT I (JGDIX)	A	Dividend			Buy (add'l)	01/04/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 22

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/06/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
68.					Sold	05/10/17	J	A	
69. JANUS INTECH US MGD VOL I (JRSIX)	A	Dividend			Buy (add'l)	01/04/17	J		
70.					Sold	05/10/17	K	B	
71. JANUS GLOBAL REAL ESTATE I (JERIX)	A	Dividend			Sold	01/04/17	J		
72. LAZARD GLB LSTD INFR PTF INST (GLIFX)	B	Dividend	K	T	Buy	05/10/17	K		
73. LAZARD INTL STRAT EQ PTF INST (LISIX)	A	Dividend			Buy (add'l)	01/04/17	J		
74.					Buy (add'l)	03/07/17	J		
75.					Buy (add'l)	05/10/17	J		
76.					Sold	07/24/17	K	B	
77. LORD ABBETT BOND DEB F (LBDFX)	B	Dividend			Buy (add'l)	01/04/17	J		
78.					Sold	05/10/17	K	A	
79. LORD ABBETT CALIBRTD DIV GW F (LAMFX)	A	Dividend			Buy (add'l)	01/04/17	J		
80.					Sold	05/10/17	K	A	
81. LORD ABBETT GROWTH LEADERS F (LGLFX)	B	Dividend	K	T	Buy (add'l)	01/04/17	J		
82.					Buy (add'l)	03/07/17	J		
83.					Buy (add'l)	05/10/17	J		
84. LORD ABBETT MULT ASET BAL OP F (BLAFX)	A	Dividend			Buy (add'l)	01/04/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 22

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/06/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
85.					Sold	05/10/17	K	A	
86. LYRICAL US VALUE EQUITY INSTL (LYRIX)	C	Dividend	K	T	Buy (add'l)	01/04/17	J		
87.					Buy (add'l)	03/07/17	J		
88.					Buy (add'l)	05/10/17	J		
89. NUVEEN LARGE CAP CORE I (NLCIX)	B	Dividend	K	T	Buy	01/04/17	K		
90.					Buy (add'l)	01/06/17	J		
91.					Buy (add'l)	03/07/17	J		
92.					Buy (add'l)	05/10/17	J		
93. NUVEEN NWQ FLEXIBLE INC I (NWOIX)	B	Dividend			Buy	01/04/17	J		
94.					Buy (add'l)	01/06/17	J		
95.					Sold	05/10/17	K	A	
96. NUVEEN REAL ASSET INCOME I (NRIIX)	B	Dividend	K	T	Buy	01/04/17	J		
97.					Buy (add'l)	01/06/17	J		
98.					Buy (add'l)	05/10/17	J		
99. NUVEEN SMALL CAP VALUE FUND I (FSCCX)	A	Dividend	K	T	Buy	03/07/17	K		
100.					Buy (add'l)	05/10/17	J		
101. OPPENHEIMER INTL GROWTH Y	A	Dividend			Buy	05/10/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 22

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/06/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
102.					Sold	11/16/17	K	B	
103. OPPENHEIMER INTERNATL DIV Y (OIDYX)	A	Dividend	K	T	Buy	11/16/17	K		
104. PRINCIPAL PREFERRED SEC INST (PPSIX)	A	Dividend			Buy (add'l)	01/04/17	J		
105.					Sold	05/10/17	K	A	
106. PRINCIPAL SM MDCP DIV INC I (PMDIX) (f/k/a "P," PMDPX)	B	Dividend	K	T	Buy (add'l)	01/04/17	J		
107.					Buy (add'l)	03/07/17	J		
108.					Buy (add'l)	05/10/17	J		
109. PRUDENTIAL JENNISON HLTH SCI Z (PHSZX)	B	Dividend	K	T	Buy (add'l)	01/04/17	J		
110.					Buy (add'l)	05/10/17	J		
111. WELLS FARGO DISC S CORE INST (EVSIX)	B	Dividend	K	T	Buy (add'l)	01/04/17	J		
112.					Buy (add'l)	03/07/17	J		
113.					Buy (add'l)	05/10/17	J		
114. WELLS FARGO SPECIAL MDCP VL I (WFMIX)	B	Dividend	K	T	Buy (add'l)	01/04/17	J		
115.					Buy (add'l)	05/10/17	J		
116. BROKERAGE #1 (H)									
117. VANGUARD MID-CAP GR INX ADMIRAL (VMGMX)	B	Dividend	L	T					
118. VANGUARD SMALL-CAP GR INX ADMIRAL (VSGAX)	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 22

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/06/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

119. BROKERAGE #2 (H)									
120. MORGAN STANLEY BANK N.A. (CASH) (X)	A	Interest	N	T					
121. ALPS SECTOR DIVIDEND DOGS (SDOG)	B	Dividend	K	T	Buy (add'l)	03/08/17	J		
122.					Buy (add'l)	05/16/17	J		
123.					Buy (add'l)	05/17/17	J		
124.					Buy (add'l)	06/15/17	J		
125.					Buy (add'l)	07/27/17	J		
126. FIRST TR VALU LN DIV IDX (FVD)	A	Dividend	K	T	Buy (add'l)	03/08/17	J		
127.					Buy (add'l)	05/17/17	J		
128.					Buy (add'l)	06/15/17	J		
129.					Sold (part)	07/26/17	J	A	
130.					Buy (add'l)	07/27/17	J		
131. FIRST TRUST DJ GL SEL DVD (FGD)	B	Dividend	K	T	Buy (add'l)	03/08/17	J		
132.					Buy (add'l)	05/16/17	J		
133.					Buy (add'l)	05/17/17	J		
134.					Buy (add'l)	06/15/17	J		
135.					Buy (add'l)	07/27/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 22

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/06/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. FIRST TRUST LARGE CAP CORE ETF (FEX)	A	Dividend	J	T	Buy	05/17/17	J		
137.					Buy (add'l)	06/15/17	J		
138.					Buy (add'l)	07/27/17	J		
139. FIRST TRUST NORTH AMERICAN E (EMLP)	B	Dividend	K	T	Buy (add'l)	03/09/17	J		
140.					Buy (add'l)	05/16/17	J		
141.					Buy (add'l)	05/17/17	J		
142.					Buy (add'l)	06/15/17	J		
143.					Buy (add'l)	07/27/17	J		
144. FIRST TRUST PREFERRED SECUR & INC ETF (FPE)	A	Dividend	J	T	Buy	05/17/17	J		
145.					Buy (add'l)	06/15/17	J		
146.					Buy (add'l)	07/27/17	J		
147. OSHARES FTSE RUSSELL SMLL ETF (OUSM)	A	Dividend	J	T	Buy	05/17/17	J		
148.					Buy (add'l)	06/15/17	J		
149.					Buy (add'l)	07/27/17	J		
150. OSHARES FTSE US QUALITY DIV (OUSA)	B	Dividend	K	T	Buy (add'l)	03/08/17	J		
151.					Buy (add'l)	05/16/17	J		
152.					Buy (add'l)	05/17/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 22

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/06/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153.					Buy (add'l)	06/15/17	J		
154.					Buy (add'l)	07/27/17	K		
155. AMERICAN FUNDS AMCAP FUND - A (AMCFX)	A	Dividend			Sold (part)	03/08/17	J	A	
156.					Sold	04/26/17	J	A	
157. AMERICAN FUNDS AMERICAN BALANCED FUND (AMBFX)	A	Dividend			Sold (part)	03/08/17	J	A	
158.					Sold	04/26/17	J	A	
159. AMERICAN FUNDS CAPITAL WORLD GROWTH AND INCOME FUND (WGIFX)	A	Dividend	J	T	Sold (part)	03/08/17	J	A	
160. AMERICAN FUNDS FUNDAMENTAL INVESTORS (FINFX)	A	Dividend	J	T	Sold (part)	03/08/17	J	B	
161. AMERICAN FUNDS THE GROWTH FUND OF AMERICA (GFFFX)	A	Dividend	J	T	Sold (part)	03/08/17	J	B	
162. AMERICAN FUNDS SMALLCAP WORLD FUND (SMCFX)	A	Dividend	J	T	Sold (part)	03/08/17	J	A	
163. AMG YACKTMAN SV (YACKX)	A	Dividend			Sold	02/24/17	J		
164. BLACKROCK EQUITY DIVIDEND I (MADVX)	A	Dividend			Buy (add'l)	03/08/17	J		
165.					Buy (add'l)	05/16/17	J		
166.					Buy (add'l)	05/17/17	J		
167.					Buy (add'l)	06/15/17	J		
168.					Sold	07/18/17	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 14 of 22

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/06/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

169. JANUS BALANCED I (JBALX)	B	Dividend	K	T	Buy (add'l)	03/08/17	J		
170.					Buy (add'l)	05/16/17	J		
171.					Buy (add'l)	05/17/17	J		
172.					Buy (add'l)	06/15/17	J		
173.					Buy (add'l)	07/27/17	J		
174. JANUS HENDERSON FLEXIBLE BOND I (JFLEX)	A	Dividend	K	T	Buy	05/17/17	J		
175.					Buy (add'l)	06/15/17	J		
176.					Buy (add'l)	07/27/17	J		
177. JANUS HENDERSON GLB EQ INC I (HFQIX)	B	Dividend	K	T	Buy	07/26/17	K		
178.					Buy (add'l)	07/27/17	J		
179. JANUS HENDERSON SHORT TERM BOND I (JSHIX)	A	Dividend			Buy	05/17/17	J		
180.					Buy (add'l)	06/15/17	J		
181.					Buy (add'l)	07/27/17	J		
182.					Sold	10/26/17	J		
183. JANUS HENDERSON GLB INC MGD VLT I (JGDIX) (f/k/a JANUS INTECH GLB)	B	Dividend	K	T	Buy (add'l)	05/16/17	J		
184.					Buy (add'l)	05/17/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 22

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/06/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
185.					Buy (add'l)	06/15/17	J		
186.					Buy (add'l)	07/27/17	J		
187. JANUS HENDERSON GLB UNCONSTRAINED BD I (JUCIX)	A	Dividend	J	T	Buy	05/17/17	J		
188.					Buy (add'l)	06/15/17	J		
189.					Buy (add'l)	07/27/17	J		
190. LAZARD GLB LSTD INFR PTF INST (GLIFX)	A	Dividend	J	T	Buy	04/26/17	J		
191.					Buy (add'l)	05/16/17	J		
192.					Buy (add'l)	05/17/17	J		
193.					Buy (add'l)	07/27/17	J		
194. LORD ABBETT BOND DEB F (LBDFX)	B	Dividend	K	T	Buy (add'l)	03/08/17	J		
195.					Buy (add'l)	05/16/17	J		
196.					Buy (add'l)	05/17/17	J		
197.					Buy (add'l)	06/15/17	J		
198.					Buy (add'l)	07/27/17	J		
199. LORD ABBETT CALIBRTD DIV GW F (LAMFX)	B	Dividend	J	T	Buy	05/17/17	J		
200.					Buy (add'l)	06/15/17	J		
201.					Buy (add'l)	07/27/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 22

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/06/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
202. LORD ABBETT MULT ASET BAL OP F (BLAFX)	A	Dividend	J	T	Buy	05/17/17	J		
203.					Buy (add'l)	06/15/17	J		
204.					Buy (add'l)	07/27/17	J		
205. LORD ABBETT SHORT DURATION INC F (LDLFX)	B	Dividend	L	T	Buy (add'l)	03/08/17	J		
206.					Buy (add'l)	05/16/17	J		
207.					Buy (add'l)	05/17/17	J		
208.					Buy (add'l)	06/15/17	K		
209.					Buy (add'l)	07/27/17	J		
210. NUVEEN LARGE CAP CORE I (NLCIX)	A	Dividend	J	T	Buy	05/17/17	J		
211.					Buy (add'l)	06/15/17	J		
212.					Buy (add'l)	07/27/17	J		
213. NUVEEN NWQ FLEXIBLE INC I (NWQIX)	B	Dividend	K	T	Buy	03/08/17	J		
214.					Buy (add'l)	05/16/17	J		
215.					Buy (add'l)	05/17/17	J		
216.					Buy (add'l)	06/15/17	J		
217.					Buy (add'l)	07/27/17	J		
218. NUVEEN REAL ASSET INCOME I (NRIIX)	B	Dividend	K	T	Buy	03/08/17	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) U = Book Value	P4 = More than \$50,000,000 S = Assessment V = Other	T = Cash Market W = Estimated	

FINANCIAL DISCLOSURE REPORT

Page 17 of 22

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/06/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
219.					Buy (add'l)	05/16/17	J		
220.					Buy (add'l)	05/17/17	J		
221.					Buy (add'l)	06/15/17	J		
222.					Buy (add'l)	07/27/17	J		
223. PIONEER MLTI AST ULT SHT INC Y (MYFRX)	A	Dividend	K	T	Buy	10/26/17	K		
224. PIONEER MULTI ASSET INC Y (PMFYX)	A	Dividend	J	T	Buy	10/26/17	J		
225. PRINCIPAL PREFERRED SEC INST (PPSIX)	B	Dividend	K	T	Buy (add'l)	03/08/17	J		
226.					Buy (add'l)	05/16/17	J		
227.					Buy (add'l)	05/17/17	J		
228.					Buy (add'l)	06/15/17	J		
229.					Buy (add'l)	07/27/17	J		
230. PRINCIPAL SM MDCP DIV INC I (PMDIX) (FORMERLY PMDPX)	C	Dividend	L	T	Buy (add'l)	05/16/17	L		
231.					Sold (part)	05/17/17	J	A	
232. SALIENT SELECT INCOME INST (KIFYX)	B	Dividend	J	T	Buy	06/01/17	J		
233. TORTOISE MLP & PIPELINE INST (TORIX)	A	Dividend			Buy	05/17/17	J		
234.					Sold	06/15/17	J		
235. WELLS FARGO DISC US CORE INST (EVSIX)	A	Dividend	J	T	Buy	05/17/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 22

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/06/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
236.					Buy (add'l)	06/15/17	J		
237.					Buy (add'l)	07/27/17	J		
238. WELLS FARGO SHTRM HIYLD BD I (STYIX)	A	Dividend	J	T	Buy	05/17/17	J		
239.					Buy (add'l)	06/15/17	J		
240.					Buy (add'l)	07/27/17	J		
241. BROKERAGE #3 (H)									
242. MORGAN STANLEY BANK NA (CASH ACCT)	A	Interest	K	T	Open	05/02/17	M		
243. MASSACHUSETTS ST GENL OBLIG SER- C 57582P3W8	A	Interest			Buy	05/16/17	J		
244.					Sold	10/05/17	J		
245. DISTRICT COLUMBIA GENL OBLIG SER-A 25476FMV7	A	Interest	J	T	Buy	05/08/17	J		
246. FLORIDA ST BRD ED PUB EDU CAP OUTLAY GENL OBLIG REF-B 34153QCS3	A	Interest	J	T	Buy	07/03/17	J		
247. ALABAMA FED AID HWYFIN AUTH SPL OBLIG REV REF-B 010268BZ2	A	Interest	J	T	Buy	06/21/17	J		
248. NORTH TEX TWY AUTH REV 66285WUU8	A	Interest	J	T	Buy	10/13/17	J		
249. COMAL TEX INDPT SCH DIST TAX SCH BLDG PSF-GTO 1998202Y6	A	Interest	J	T	Buy	06/29/17	J		
250. MARYLAND ST DEPT TRANSN CONS TRANSN 574204ZB2	A	Interest	J	T	Buy	06/23/17	J		
251. WASHINGTON ST VAR PURP GENL OBLIG REF-A 93974C4N7	A	Interest	J	T	Buy	05/05/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 22

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/06/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

252. OHIO ST HOSP FAC ACTG BY AND THRGH THE OH DEV FING REV 677561KB7	A	Interest	J	T	Buy	08/09/17	J		
253. NEW YORK N Y CITY TRANSITIONAL FIN AUTH 64972HZK1	A	Interest	J	T	Buy	07/13/17	J		
254. SOUTH DAKOTA CONSERVANCY DIST REV-B 837545LK6	A	Interest	J	T	Buy	08/04/17	J		
255. TEXAS ST GENL OBLIG REV-A 882723SQ3	A	Interest	J	T	Buy	07/12/17	J		
256. CHICAGO ILL O'HARE INTL ARPT SR LIEN REV REF 167593YW6	A	Interest	J	T	Buy	06/21/17	J		
257. OHIO STATE 677521ZG4	A	Interest			Buy	05/12/17	J		
258.					Sold	10/05/17	J		
259. NEW HAMPSHIRE MUN BD BK 64465P2L1	A	Interest	J	T	Buy	06/22/17	J		
260. CHARLESTON SC WTRWKS & SWR REV REF 160429WV4	A	Interest	J	T	Buy	10/05/17	J		
261. OREGON ST GENL OBLIG REV-A 68609BYY7	A	Interest	J	T	Buy	06/01/17	J		
262. METROPOLITAN TRANSN AUTH NY DEDICATED T GREEN 59259N7A5	A	Interest	J	T	Buy	05/11/17	J		
263. NEW YORK ST DORM AUTH SALES TAX ST SUPPORTED DEBT 64990AGA7	A	Interest	J	T	Buy	07/28/17	J		
264. WISCONSIN ST GENL OBLIG REF 97705MGS8	A	Interest	J	T	Buy	06/14/17	J		
265. OREGON ST DEPT ADMINISTRATIVE SVCS LOTTE 68607VW68	A	Interest	J	T	Buy	05/18/17	J		
266. WISCONSIN ST ENVIRONMENTAL IMPT FD REV 97709TBH8	A	Interest	J	T	Buy	05/10/17	J		
267. 401(k) #1 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 22

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/06/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
268. ISHARES RUSS 2000 SM CP IX I (MASKX)		None	M	T	Buy	01/03/17	L		
269. JANUS ENTERPRISE I (JMGRX)		None	M	T					
270. TRP PERSONAL STRATEGY GROWTH (TRSGX)		None			Sold	01/03/17	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 22

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/06/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII: IRAs were renumbered.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 22 of 22

Name of Person Reporting

WETTRE, LEDA D.

Date of Report

08/06/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ LEDA D. WETTRE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544