

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Buchwald, Naomi R.	2. Court or Organization SOUTHERN DISTRICT OF NEW YORK	3. Date of Report 05/13/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Daniel Patrick Moynihan Courthouse 500 Pearl Street -- ROOM 2270 New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Executor Estate	Step-Parent
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2015

Solo Law Practice

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Accounts	A	Interest	O	T					
2. Vanguard Voyager (IRA)	A	Dividend	K	T					
3. Schwab (IRA)	A	Interest	P1	T					
4.	C	Dividend							
5. --- Schwab Deposit Account	A	Interest	M	T					
6. -- Moduslink Global Solutions (Common)		None			Sold	02/26/15	J		
7. --CST Brands Inc. (Common)	A	Dividend	J	T					
8. -- Facebook (Common)		None	N	T	Buy (add'l)	01/20/15	N		
9.					Buy (add'l)	01/26/15	N		
10.					Sold (part)	04/30/15	N		
11.					Sold (part)	05/01/15	M		
12.					Sold (part)	05/05/15	O	D	
13.					Buy (add'l)	05/29/15	N		
14.					Sold	06/01/15	N	D	
15.					Buy	06/22/15	M		
16.					Buy (add'l)	06/30/15	M		
17.					Sold (part)	07/15/15	M	D	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Sold (part)	07/22/15	M	E	
19.					Buy (add'l)	08/06/15	M		
20.					Buy (add'l)	08/24/15	M		
21.					Buy (add'l)	08/27/15	M		
22.					Sold (part)	09/11/15	O	D	
23.					Buy (add'l)	09/22/15	M		
24.					Buy (add'l)	10/15/15	L		
25.					Sold (part)	10/29/15	N	E	
26.					Buy (add'l)	11/04/15	M		
27.					Buy (add'l)	11/10/15	M		
28.					Buy (add'l)	11/19/15	M		
29.					Sold (part)	12/21/15	M		
30.	--Twitter Inc. (Common)	None	M	T	Sold	01/14/15	N	E	
31.					Buy	05/29/15	M		
32.					Buy (add'l)	06/11/15	K		
33.					Sold (part)	06/18/15	M		
34.					Buy (add'l)	06/23/15	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Sold	07/01/15	M	A	
36.					Buy	07/01/15	M		
37.					Sold	07/13/15	M	A	
38.					Buy	07/27/15	M		
39.					Sold	07/28/15	M		
40.					Buy	09/09/15	L		
41.					Buy (add'l)	12/04/15	L		
42. --Intel (Common)	B	Dividend	M	T	Buy (add'l)	01/20/15	M		
43.					Sold	01/20/15	N		
44.					Buy	06/01/15	M		
45.					Buy (add'l)	06/12/15	M		
46.					Sold	06/26/15	N		
47.					Buy	08/27/15	N		
48.					Sold	09/11/15	N	E	
49.					Buy	11/03/15	M		
50. -- Lowes (Common)	A	Dividend			Sold (part)	01/14/15	L	C	
51.					Sold	03/23/15	L	D	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.					Buy	08/27/15	K		
53.					Sold	09/17/15	K	A	
54.					Buy	10/16/15	L		
55.					Sold	10/19/15	L	A	
56.					Buy	11/18/15	L		
57.					Sold	11/19/15	L	B	
58. --Alibaba ADRs		None	M	T	Buy	11/06/15	K		
59.					Sold	11/09/15	K		
60.					Buy	11/10/15	L		
61.					Buy (add'l)	12/03/15	L		
62.					Buy (add'l)	12/15/15	L		
63. --Apple (Common)		None	M	T	Buy	03/05/15	M		
64.					Sold	04/23/15	M	B	
65.					Buy	08/06/15	K		
66.					Buy (add'l)	08/19/15	M		
67.					Sold (part)	08/19/15	L	A	
68.					Sold	08/21/15	M		

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69.					Buy	09/24/15	M		
70.					Sold (part)	10/22/15	L	B	
71.					Sold	10/29/15	L	C	
72.					Buy	11/18/15	M		
73.					Buy (add'l)	11/23/15	M		
74. --Blackberry (Common)		None	L	T	Buy	05/29/15	L		
75. --Chevron (Common)		None	L	T	Buy	12/28/15	L		
76. --Exxon Mobil (Common)		None	L	T	Buy	12/28/15	L		
77. --Amazon (Common)		None			Buy	01/05/15	M		
78.					Sold	01/19/15	M	E	
79.					Buy	06/10/15	M		
80.					Sold	06/10/15	M	C	
81.					Buy	07/08/15	N		
82.					Sold	07/10/15	N	B	
83.					Buy	07/16/15	O		
84.					Sold	07/16/15	O	D	
85.					Buy	07/21/15	L		

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86.					Sold	07/22/15	L	B	
87.					Buy	09/22/15	N		
88.					Buy (add'l)	09/24/15	N		
89.					Sold (part)	10/10/15	M	C	
90.					Sold	10/29/15	N	F	
91. --Cisco Systems (Common)	C	Dividend			Buy	05/29/15	M		
92.					Buy (add'l)	06/23/15	M		
93.					Sold (part)	08/13/15	M		
94.					Buy (add'l)	08/18/15	M		
95.					Sold	08/21/15	N		
96.					Buy	11/03/15	M		
97.					Sold	11/09/15	M		
98. --Home Depot (Common)	A	Dividend			Buy	08/27/15	L		
99.					Sold	09/17/15	L	B	
100.					Buy	10/07/15	M		
101.					Sold	10/08/15	M	A	
102.					Buy	11/18/15	M		

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103.					Sold	11/19/15	M	B	
104. --Netflix (Common)		None			Buy	08/06/15	M		
105.					Buy (add'l)	08/19/15	L		
106.					Sold	08/21/15	M		
107.					Buy	10/06/15	L		
108.					Sold	11/20/15	L	D	
109. --Tesla (Common)		None			Buy	11/03/15	L		
110.					Sold	11/03/15	L	D	
111. --Time Warner (Common)		None			Buy	07/16/15	L		
112.					Buy (add'l)	07/22/15	L		
113.					Sold	08/21/15	M		
114. --Time Warner Cable (Common)		None			Buy	07/22/15	M		
115.					Sold	08/05/15	M	B	
116. --Valero (Common)	A	Dividend			Buy	09/09/15	L		
117.					Sold	09/11/15	L	A	
118.					Buy	11/17/15	L		
119.					Sold	11/19/15	L	A	

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120. --Valeant (Common)		None			Buy	10/29/15	L		
121.					Buy (add'l)	10/30/15	L		
122.					Sold	10/30/15	M		
123.					Buy	11/06/15	K		
124.					Sold	11/10/15	K	A	
125.					Buy	11/11/15	K		
126.					Buy	12/03/15	K		
127.					Sold	12/28/15	M	E	
128. --Yahoo (Common)		None			Buy	07/22/15	M		
129.					Sold	08/21/15	M		
130.					Buy	09/09/15	L		
131.					Sold	11/09/15	L	C	
132. JPMorgan Chase Accounts	A	Interest	M	T					
133. Schwab Account -- NY Muni Money Mkt	A	Int./Div.	O	T					
134. Merrill Lynch Cash Acc- formerly Bk of America cash reserve	C	Dividend	M	T					
135. Treasury Direct	A	Interest	M	T					
136. Time Warner Common Stock	A	Dividend	K	T	Buy (add'l)	07/17/15	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	07/17/15	M	A	
138. Blount Common Stock		None	J	T					
139. Intel Common Stock	C	Dividend	M	T	Buy (add'l)	03/04/15	L		
140.					Buy (add'l)	03/05/15	M		
141.					Sold (part)	03/18/15	N		
142.					Sold (part)	03/20/15	M		
143.					Buy (add'l)	04/22/15	L		
144.					Sold (part)	04/23/15	L	A	
145.					Buy (add'l)	04/24/15	M		
146.					Sold (part)	04/27/15	M	B	
147.					Buy (add'l)	04/28/15	M		
148.					Sold (part)	04/28/15	M	B	
149.					Buy (add'l)	05/08/15	N		
150.					Sold (part)	05/20/15	N	D	
151.					Buy (add'l)	05/26/15	M		
152.					Buy (add'l)	05/27/15	M		
153.					Sold (part)	05/27/15	N	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	05/29/15	M		
155.					Buy (add'l)	06/03/15	M		
156.					Sold (part)	06/11/15	N		
157.					Buy (add'l)	06/11/15	M		
158.					Buy (add'l)	06/25/15	N		
159.					Sold (part)	06/25/15	N		
160.					Sold (part)	06/26/15	M		
161.					Buy (add'l)	06/30/15	N		
162.					Sold (part)	07/01/15	M		
163.					Sold (part)	07/02/15	M		
164.					Buy (add'l)	07/10/15	N		
165.					Sold (part)	07/13/15	N		
166.					Buy (add'l)	07/16/15	M		
167.					Buy (add'l)	08/25/15	M		
168.					Sold (part)	08/26/15	M		
169.					Sold (part)	08/28/15	M		
170.					Buy (add'l)	09/01/15	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold (part)	09/02/15	M	B	
172.					Buy (add'l)	09/17/15	M		
173.					Sold (part)	09/17/15	M	A	
174.					Buy (add'l)	12/10/15	N		
175.					Sold (part)	12/16/15	N	C	
176.					Sold (part)	12/17/15	L	A	
177.					Buy (add'l)	12/18/15	M		
178.					Buy (add'l)	12/21/15	M		
179.					Sold (part)	12/23/15	M	B	
180. AOL Inc. Common Stock		None			Sold	06/30/15	J	A	
181. Time Warner Cable Common	A	Dividend			Sold	08/05/15	K	E	
182. Time Inc. Common Stock (spin off from Time Warner Inc.)	A	Dividend	J	T					
183. Yahoo Common Stock		None			Sold	01/02/15	N		
184.					Buy	01/19/15	L		
185.					Buy (add'l)	01/23/15	L		
186.					Sold (part)	03/20/15	L		
187.					Buy (add'l)	03/24/15	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold	04/08/15	M	B	
189.					Buy	10/27/15	M		
190.					Sold	10/30/15	M	D	
191.					Buy	11/04/15	N		
192.					Sold	11/09/15	N		
193. Apple Common Stock	B	Dividend			Buy	01/22/15	M		
194.					Sold	01/22/15	M	A	
195.					Buy	03/05/15	L		
196.					Buy (add'l)	03/06/15	L		
197.					Sold (part)	03/17/15	L	A	
198.					Sold	03/18/15	L	A	
199.					Buy	03/19/15	L		
200.					Sold	04/22/15	L	A	
201.					Buy	07/21/15	M		
202.					Sold	07/22/15	M	C	
203.					Buy	08/06/15	L		
204.					Sold	08/06/15	L	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy	09/09/15	M		
206.					Sold	09/11/15	M	A	
207.					Buy	09/22/15	M		
208.					Sold	09/22/15	M		
209.					Buy	10/27/15	L		
210.					Sold	10/28/15	L	B	
211.					Buy	10/29/15	M		
212.					Sold	10/30/15	M	B	
213.					Buy	11/04/15	P1		
214.					Sold (part)	11/17/15	M		
215.					Sold (part)	11/18/15	M		
216.					Sold (part)	11/19/15	N		
217.					Sold (part)	11/20/15	N	C	
218.					Sold (part)	12/17/15	N		
219.					Sold	12/22/15	M		
220. Cisco Common Stock	C	Dividend			Buy	03/04/15	N		
221.					Buy (add'l)	03/06/15	N		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	03/17/15	L		
223.					Buy (add'l)	03/18/15	M		
224.					Sold (part)	03/18/15	M		
225.					Sold (part)	03/20/15	M		
226.					Buy (add'l)	03/25/15	L		
227.					Sold (part)	04/21/15	K		
228.					Sold (part)	04/27/15	N		
229.					Sold (part)	04/28/15	L		
230.					Sold (part)	05/13/15	N		
231.					Sold (part)	05/15/15	O		
232.					Buy (add'l)	05/22/15	O		
233.					Sold (part)	05/22/15	N	A	
234.					Sold (part)	05/27/15	N	C	
235.					Buy (add'l)	05/29/15	M		
236.					Buy (add'l)	05/30/15	K		
237.					Sold (part)	06/23/15	O		
238.					Sold (part)	07/01/15	M		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold (part)	07/02/15	K		
240.					Buy (add'l)	08/24/15	P1		
241.					Sold (part)	08/26/15	J		
242.					Sold (part)	08/28/15	M		
243.					Sold (part)	09/02/15	N		
244.					Buy (add'l)	09/17/15	M		
245.					Sold (part)	09/17/15	M	A	
246.					Buy (add'l)	10/06/15	M		
247.					Sold	10/06/15	M	D	
248.					Buy	10/07/15	M		
249.					Sold	10/07/15	M	B	
250.					Buy	11/16/15	M		
251.					Sold	11/16/15	M	A	
252. Microsoft Common Stock	B	Dividend			Sold (part)	03/18/15	M		
253.					Sold (part)	03/20/15	N		
254.					Buy	11/10/15	N		
255.					Sold	11/11/15	N	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. Facebook Common		None			Buy (add'l)	01/02/15	P1		
257.					Sold (part)	01/02/15	N		
258.					Sold (part)	01/08/15	O		
259.					Sold (part)	01/13/15	N		
260.					Sold (part)	01/14/15	M		
261.					Buy (add'l)	01/21/15	O		
262.					Sold (part)	01/21/15	O		
263.					Buy (add'l)	01/22/15	O		
264.					Sold (part)	01/22/15	O		
265.					Buy (add'l)	01/23/15	N		
266.					Sold (part)	01/23/15	N		
267.					Buy (add'l)	01/29/15	O		
268.					Sold (part)	01/29/15	O		
269.					Buy (add'l)	02/18/15	O		
270.					Sold (part)	02/18/15	O		
271.					Sold (part)	02/19/15	N		
272.					Buy (add'l)	02/23/15	P1		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273.					Sold (part)	02/23/15	N		
274.					Buy (add'l)	02/25/15	O		
275.					Sold (part)	02/25/15	P1		
276.					Sold (part)	02/26/15	N	C	
277.					Buy (add'l)	03/02/15	N		
278.					Sold (part)	03/02/15	N		
279.					Sold (part)	03/04/15	N	D	
280.					Sold (part)	03/09/15	N	B	
281.					Buy (add'l)	03/10/15	N		
282.					Buy (add'l)	03/12/15	M		
283.					Sold (part)	03/12/15	M	A	
284.					Sold (part)	03/17/15	N	D	
285.					Buy (add'l)	03/20/15	O		
286.					Sold (part)	03/20/15	N	E	
287.					Sold	03/23/15	O	C	
288.					Buy	03/25/15	P1		
289.					Buy (add'l)	04/10/15	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Buchwald, Naomi R.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Sold (part)	04/13/15	M		
291.					Buy (add'l)	04/15/15	M		
292.					Sold (part)	04/20/15	M	C	
293.					Sold (part)	04/21/15	N	B	
294.					Buy (add'l)	04/21/15	P1		
295.					Sold (part)	04/22/15	O	D	
296.					Sold (part)	04/28/15	O		
297.					Sold (part)	06/22/15	L	A	
298.					Sold (part)	06/25/15	N	D	
299.					Buy (add'l)	06/30/15	M		
300.					Sold (part)	07/01/15	O	C	
301.					Buy (add'l)	07/01/15	O		
302.					Sold (part)	07/02/15	O	C	
303.					Buy (add'l)	07/02/15	M		
304.					Buy (add'l)	07/08/15	M		
305.					Buy (add'l)	07/09/15	M		
306.					Buy (add'l)	07/10/15	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Buchwald, Naomi R.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Sold (part)	07/10/15	N	D	
308.					Sold	07/13/15	N	D	
309.					Buy	07/16/15	N		
310.					Sold (part)	07/16/15	M	D	
311.					Sold (part)	07/17/15	K	B	
312.					Buy	07/20/15	O		
313.					Sold	07/20/15	O	E	
314.					Buy	07/21/15	M		
315.					Buy (add'l)	07/22/15	O		
316.					Sold (part)	07/22/15	O	B	
317.					Buy (add'l)	07/23/15	N		
318.					Sold (part)	07/23/15	N		
319.					Sold	07/24/15	L	B	
320.					Buy	07/24/15	O		
321.					Sold (part)	08/05/15	N		
322.					Sold (part)	08/19/15	N		
323.					Buy (add'l)	08/20/15	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Buchwald, Naomi R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Sold (part)	08/21/15	O		
325.					Buy (add'l)	08/25/15	M		
326.					Sold (part)	08/28/15	M		
327.					Buy (add'l)	08/31/15	O		
328.					Buy (add'l)	09/02/15	N		
329.					Sold (part)	09/02/15	O		
330.					Sold (part)	09/04/15	M		
331.					Buy (add'l)	09/21/15	N		
332.					Sold (part)	10/06/15	N		
333.					Buy (add'l)	10/06/15	N		
334.					Sold (part)	10/12/15	N		
335.					Buy (add'l)	10/12/15	N		
336.					Sold (part)	10/15/15	N		
337.					Buy (add'l)	10/15/15	M		
338.					Buy (add'l)	10/20/15	L		
339.					Sold (part)	10/22/15	M	B	
340.					Sold (part)	10/27/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Sold (part)	10/29/15	M		
342.					Buy (add'l)	11/04/15	M		
343.					Sold (part)	11/04/15	M		
344.					Buy (add'l)	11/06/15	N		
345.					Sold (part)	11/11/15	M		
346.					Buy (add'l)	11/13/15	M		
347.					Buy (add'l)	11/17/15	N		
348.					Sold (part)	11/17/15	M		
349.					Buy (add'l)	11/19/15	M		
350.					Sold (part)	11/19/15	M		
351.					Sold (part)	11/20/15	O		
352.					Buy (add'l)	11/23/15	N		
353.					Sold (part)	12/17/15	N		
354.					Buy (add'l)	12/21/15	N		
355.					Sold	12/21/15	M		
356.					Buy	12/28/15	N		
357.					Buy (add'l)	12/31/15	O		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Sold	12/31/15	P1		
359. Valero Common Stock	A	Dividend			Sold	01/02/15	L	B	
360. Alibaba ADRs		None	L	T	Buy	11/10/15	M		
361.					Sold	11/10/15	M	B	
362.					Buy	11/11/15	K		
363.					Buy (add'l)	12/04/15	M		
364.					Sold (part)	12/15/15	K	B	
365.					Sold	12/16/15	L		
366.					Buy	12/17/15	L		
367. Altera Common Stock	A	Dividend			Buy	04/16/15	K		
368.					Sold	04/17/15	K	A	
369.					Buy	05/29/15	N		
370.					Sold	09/02/15	N		
371. Amazon Common Stock		None			Buy	01/08/15	N		
372.					Sold	01/21/15	N		
373.					Buy	03/04/15	M		
374.					Sold	03/05/15	M	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Buy	04/16/15	N		
376.					Sold	04/16/15	N	B	
377.					Buy	04/20/15	N		
378.					Sold	04/20/15	N	D	
379.					Buy	04/23/15	N		
380.					Sold	04/23/15	N	B	
381.					Buy	06/30/15	N		
382.					Sold	06/30/15	N	A	
383.					Buy	07/09/15	M		
384.					Sold	07/10/15	M	B	
385.					Buy	07/15/15	K		
386.					Sold	07/16/15	K	A	
387.					Buy	07/20/15	N		
388.					Sold	07/20/15	N		
389.					Buy	07/21/15	K		
390.					Sold	07/22/15	K	A	
391.					Buy	07/23/15	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Sold	07/23/15	L	A	
393.					Buy	07/24/15	P1		
394.					Sold (part)	08/05/15	N		
395.					Buy (add'l)	08/19/15	N		
396.					Sold (part)	08/25/15	N		
397.					Sold (part)	08/26/15	M		
398.					Sold (part)	09/02/15	O		
399.					Sold (part)	09/04/15	N		
400.					Sold (part)	09/11/15	N		
401.					Buy (add'l)	09/21/15	N		
402.					Sold (part)	09/21/15	N	B	
403.					Buy (add'l)	09/22/15	M		
404.					Sold (part)	09/22/15	M	B	
405.					Buy (add'l)	09/24/15	N		
406.					Sold (part)	09/24/15	N	A	
407.					Sold (part)	10/07/15	N		
408.					Sold	10/12/15	O	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Buy	10/20/15	N		
410.					Sold	10/20/15	N	C	
411.					Buy	10/28/15	N		
412.					Sold	10/28/15	N	C	
413.					Buy	11/17/15	M		
414.					Sold	11/17/15	M	B	
415.					Buy	11/20/15	M		
416.					Sold	11/20/15	M	A	
417.					Buy	12/10/15	N		
418.					Sold	12/14/15	N		
419. Google Common Stock		None			Buy	07/20/15	N		
420.					Sold	07/20/15	N	B	
421.					Buy	07/23/15	N		
422.					Sold	07/23/15	N	C	
423. Home Depot Common Stock		None			Buy	09/17/15	M		
424.					Sold	10/05/15	M	A	
425.					Buy	10/07/15	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Sold	10/07/15	M	A	
427.					Buy	10/15/15	M		
428.					Sold	10/16/15	M	B	
429.					Buy	12/16/15	M		
430.					Sold	12/16/15	M	B	
431. Lowes Common Stock		None			Buy	07/14/15	N		
432.					Sold	07/14/15	N	A	
433.					Buy	09/18/15	L		
434.					Sold	10/05/15	L	B	
435.					Buy	10/06/15	L		
436.					Buy (add'l)	10/07/15	L		
437.					Sold	10/07/15	M	A	
438.					Buy	10/15/15	L		
439.					Sold	10/16/15	L	A	
440.					Buy	12/16/15	M		
441.					Sold	12/16/15	M	B	
442. Netflix Common Stock		None			Buy	07/23/15	M		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443.					Sold	07/23/15	M	A	
444.					Buy	08/24/15	L		
445.					Sold	08/25/15	L	A	
446.					Buy	09/21/15	L		
447.					Buy (add'l)	10/06/15	L		
448.					Sold (part)	10/08/15	L	C	
449.					Buy (add'l)	10/12/15	M		
450.					Sold (part)	10/12/15	L	B	
451.					Buy (add'l)	10/15/15	M		
452.					Sold	10/30/15	M	B	
453. Tesla Motors Commo Stock		None			Buy	01/22/15	M		
454.					Sold	01/26/15	M	B	
455.					Buy	10/20/15	M		
456.					Sold (part)	10/20/15	M	C	
457.					Sold	11/02/15	M	B	
458. Twitter Common Stock		None			Buy	01/14/15	L		
459.					Sold	01/14/15	L	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460.					Buy	01/29/15	M		
461.					Sold (part)	01/29/15	L		
462.					Sold	01/30/15	L	B	
463.					Buy	02/18/15	M		
464.					Sold (part)	02/20/15	M	B	
465.					Buy (add'l)	02/25/15	L		
466.					Sold (part)	02/25/15	L		
467.					Buy (add'l)	02/26/15	M		
468.					Sold (part)	02/26/15	M	A	
469.					Buy (add'l)	02/27/15	M		
470.					Buy (add'l)	03/17/15	L		
471.					Sold (part)	03/17/15	L	A	
472.					Buy (add'l)	03/18/15	M		
473.					Sold (part)	03/18/15	M		
474.					Buy (add'l)	03/20/15	M		
475.					Sold	03/20/15	N		
476.					Buy	03/25/15	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477.					Sold (part)	03/26/15	M		
478.					Buy (add'l)	03/30/15	L		
479.					Sold (part)	03/30/15	L	B	
480.					Buy (add'l)	03/31/15	M		
481.					Sold (part)	03/31/15	M	C	
482.					Buy (add'l)	04/01/15	N		
483.					Buy (add'l)	04/02/15	M		
484.					Buy (add'l)	04/07/15	N		
485.					Sold (part)	04/07/15	O		
486.					Buy (add'l)	04/08/15	N		
487.					Sold (part)	04/08/15	O		
488.					Buy (add'l)	04/09/15	N		
489.					Sold (part)	04/09/15	N		
490.					Buy (add'l)	04/10/15	N		
491.					Sold (part)	04/10/15	N		
492.					Buy (add'l)	04/13/15	N		
493.					Buy (add'l)	04/16/15	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494.					Sold (part)	04/16/15	N		
495.					Buy (add'l)	04/17/15	M		
496.					Buy (add'l)	04/20/15	M		
497.					Sold (part)	04/20/15	M		
498.					Buy (add'l)	04/21/15	N		
499.					Sold (part)	04/21/15	N		
500.					Buy (add'l)	04/22/15	N		
501.					Sold (part)	04/22/15	N		
502.					Buy (add'l)	04/24/15	N		
503.					Sold	04/27/15	O	C	
504.					Buy	04/27/15	O		
505.					Sold (part)	04/28/15	M		
506.					Buy (add'l)	04/30/15	L		
507.					Sold (part)	04/30/15	L		
508.					Buy (add'l)	05/01/15	L		
509.					Sold (part)	05/04/15	N		
510.					Buy (add'l)	05/04/15	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
511.					Sold (part)	05/05/15	M		
512.					Buy (add'l)	06/11/15	M		
513.					Sold (part)	06/18/15	M		
514.					Buy (add'l)	06/22/15	L		
515.					Buy (add'l)	07/10/15	L		
516.					Buy (add'l)	07/15/15	N		
517.					Sold (part)	07/16/15	N		
518.					Buy (add'l)	07/17/15	M		
519.					Buy (add'l)	07/20/15	M		
520.					Sold (part)	07/20/15	N		
521.					Buy (add'l)	07/22/15	M		
522.					Sold (part)	07/22/15	M		
523.					Buy (add'l)	07/24/15	M		
524.					Sold	07/28/15	M		
525.					Buy	11/23/15	L		
526.					Sold	12/17/15	L		
527. Valeant Common Stock		None			Buy	11/23/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528.					Sold	12/01/15	K	C	
529.					Buy	12/03/15	L		
530.					Buy (add'l)	12/04/15	L		
531.					Sold (part)	12/15/15	L	D	
532.					Buy (add'l)	12/16/15	N		
533.					Sold (part)	12/16/15	L	D	
534.					Sold (part)	12/17/15	N	D	
535.					Buy (add'l)	12/21/15	L		
536.					Sold	12/23/15	M		
537. Estate Account-Chase	A	Interest			Closed	12/10/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Naomi R. Buchwald**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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