

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) PRATT, ROBERT W.	2. Court or Organization US DISTRICT COURT - SO IOWA	3. Date of Report 05/01/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US DISTRICT COURT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address US COURTHOUSE 123 E. WALNUT STREET DES MOINES, IA 50309		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. University of Chicago	April 8-10, 2018	Chicago, IL	Speech	Transportation, lodging, and meals
2. American Constitution Society	June 7-9, 2018	Washington, DC	Convening of Judges	Transportation, lodging, and meals
3. NYU Law School	October 18-20, 2018	New York, NY	Seminar	Transportation, lodging, and meals
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANKERS TRUST COMPANY	A	Interest	K	T					
2. BROKERAGE ACCOUNT #1 (H)									
3. MORGAN STANLEY BANK (CASH)	A	Interest	N	T					
4. BRISTOL MYERS SQUIBB CO (BMY)	A	Dividend	J	T					
5. GOLDMAN SACHS GRP INC (GS.J)	A	Dividend	K	T					
6. KKR & CO LP (KKR.B)	A	Dividend			Redeemed	03/22/18	K		
7. PUBLIC STORAGE (PSA.D)	B	Dividend	K	T					
8. WELLS FARGO & CO SER-X (WFC.X)	B	Dividend	K	T					
9. MS EMERGING MKTS DOMESTIC DEBT (Y)									
10. INDIANAPOLIS IN WATER WORKS (4552852N5)	A	Interest			Redeemed	01/02/18	K		
11. WYLIE CITY TEX COMB TAX REV (983064RJ7, 983064RH1)	A	Interest			Redeemed	02/15/18	J		
12. ALASKA MUN BD BK ALASKA MUN BD BK AUTH REV (01179RPK3)		None			Redeemed	04/02/18	J		
13. NEW YORK N Y CIT HSG DEV (64970M8K2)	A	Interest			Redeemed	05/01/18	K		
14. CLIVE IOWA GENL OBLIG-C (188864ZC9)	A	Interest			Redeemed	06/01/18	K		
15. LONG ISLAND PWR AUTH N Y ELEC SYS REV SER A OY (542690NR9)		None			Redeemed	06/01/18	J		
16. IOWA ST PRISON INFRASTRUCTURE FUND OBLIG (46257TBK4)	B	Interest			Redeemed	06/15/18	L		
17. IOWA ST UNIV ACADEMIC BLDG (462582WR4)	C	Interest			Redeemed	07/02/18	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. NEVADA STATE HIGHER EDUC (641496DL5)	B	Interest			Redeemed	07/02/18	K	A	
19. IOWA STUDENT LOAN LIQUIDITY CORP STUDENT LOAN REV-1 (462590HE3)	A	Interest			Redeemed	12/03/18	J		
20. COLLEGE CHARLESTON SC HIGHER ED FACS REV REF-A (194208FB5)	A	Interest	K	T					
21. MARION IOWA URBAN RENWAL A (569611SH0)	A	Interest	K	T					
22. HONOLULU HAWAII CITY WASTE ZERO COUPON (438701AX2)		None	J	T					
23. IOWA FIN AUTH SINGLE FAMILY MTG REV SER-1 (462467MF5)	B	Interest	J	T	Redeemed (part)	01/01/18	J		
24.					Redeemed (part)	01/23/18	J		
25.					Redeemed (part)	01/29/18	J		
26.					Redeemed (part)	08/22/18	J		
27.					Redeemed (part)	09/25/18	J		
28.					Redeemed (part)	11/26/18	J		
29. WATERLOO IOWA COMNTY SCH DIST SCH INFRAS SALES & SVCS REV (941660AL6)	A	Interest	K	T					
30. CONNECTICUT HSNG FIN AUTH MORTG REV B-1 (20775BM45, 20775BM29)	B	Interest	L	T					
31. FLORIDA HIGHER ED FACS FIN AUTH (34073TEA1)	A	Interest	J	T					
32. HOUSTON TEX WTR & SWR SYS REV SER A (4424365W7, L1, U1)		None	L	T					
33. WALKER CNTY ALA BRD ED -A (931541GG5)	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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34. ORANGE BEACH ALA WTR SWR & FIRE (68407LFR5)	A	Interest	K	T					
35. VIRGINIA ST RES AUTH WTR & SWR ZERO COUPON (928181HR0)		None	J	T					
36. MISSOURI ST HEALTH & EDL FACS AUTH (60637AC59)	A	Interest	K	T					
37. HAMILTON CNTY OHIO SALES TAX ZERO COUPON (407287KH3)		None	J	T					
38. VIRGINIA ST HSG DEV AUTH COMWL (92812UF60)	A	Interest	K	T					
39. CARSON CITY NEV CAP IMPT REF A (1458076H1)	A	Interest	K	T					
40. CLARK CNTY NEV SCH DIST SER A (181059RH1)	B	Interest	L	T					
41. METRO WA D C ARPTS AUTH DULLES TOLL RD REV-B (592643AP5)		None	K	T					
42. NORTHBROOK ILL GENL OBLIG SER 1 (663821TK2)	A	Interest	K	T					
43. LOUDOUN CNTY VA INDL DEV AUTH PUB SAFETY (54589NBC8)	A	Interest	K	T					
44. IOWA HIGHER ED LN AUTH REV (4624603X2)	A	Interest	K	T	Buy	01/22/18	K		
45. DAVENPORT IOWA COPR REF-A (238388MV1)	B	Interest	L	T					
46. SHARON MASS MUN PURP LN (819649XB5)	A	Interest	K	T					
47. PIMA COUNTY ARIZ GENERAL OBLIGATION (721663XD9)	B	Interest	L	T					
48. KINGSFORT TENN BE (496443NL2)	A	Interest	J	T					
49. DES MOINES IOWA WTR REV REF B (250152GQ2)	B	Interest	L	T					

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50. IOWA STUDENT LOAN LIQUIDITY CO RP STUDENT LOAN REV SEN (462590JG6)	B	Interest	K	T	Redeemed (part)	06/01/18	J		
51.					Redeemed (part)	12/03/18	J		
52. JANESVILLE WI GENL BE (470844X22)	A	Interest	J	T					
53. LAKE CNTY ILL WARREN TWP HS DIST (509174LV8)	A	Interest	K	T	Buy	04/11/18	K		
54. ALASKA MUN BND BK REV-1 (01179RUH4)	A	Interest	J	T	Redeemed (part)	04/02/18	J		
55. COLLIER CNTY FLA GAS TAX REV (19463PDG6)	A	Interest	K	T					
56. STATE UNIV IOWA ATHLETIC FACS REV (8575355M6)	B	Interest	L	T					
57. FORT BEND CNTY TEX WTR CTL & IMPT DIST NO 2 (346820SZ7)	A	Interest	K	T					
58. MONTGOMERY CO MD HSG OPP (6133492E8)	B	Interest	K	T					
59. AMES IOWA CMNTY SCH DIST (030825MP5, 030825MN0, M2)	C	Interest	M	T					
60. DESCHUTES CNTY ORE ADMIN SCH DIST NO-1 (250325SA6)	A	Interest	K	T					
61. TOMPKINS CNTY NY IMPT GENL OBLIG (890091UM1)	B	Interest	L	T					
62. JEA FLA WTR & SWR SYS SUB (46615SCS5)	A	Interest	K	T					
63. PATCHOGUE-MEDFORD NY UN FREE SCH DIST (703023PJ4)	A	Interest	J	T					
64. BROOKHAVEN NY PUB IMPT-B (113152TF9)	B	Interest	L	T					
65. TENNESSEE ENERGY ACQUISITION CORP GAS REV (880443ED4)	B	Interest	K	T					

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66. LINCOLN CNTY KY SCH DIST FIN BE (533206KQ0)	A	Interest	K	T					
67. ARLINGTON TEX FOR ISSUES DATED BE (041796KY8)	A	Interest	L	T					
68. GRAND FORKS N D WTR BE (385514HX5)	A	Interest	J	T					
69. TRUMBULL CONN GENLT OBLIG (898116P51)	A	Interest	J	T					
70. FSU FINL ASSISTANCE INC FLA BE (302644DY2)	A	Interest	J	T					
71. HILLSBOROUGH CNTY FLA CMNTY INVT TAX BE (43231YFS0)	A	Interest	K	T					
72. RUSHFORD MINN BE (782021HR3)	A	Interest	K	T					
73. ABERDENN TWP N J BE (003269DW4)	A	Interest	J	T					
74. CITY OF HOUSTON TX COMBINED UTIL BE (442435N66)	A	Interest	J	T					
75. WASHINGTON ST (93974C5L0)	A	Interest	K	T	Buy	06/28/18	K		
76. MASSACHUSETTS ST GENL OBLIG-B (57582PF96)	A	Interest	L	T	Buy	06/28/18	L		
77. NORWALK IOWA (669045PQ3)	B	Interest	L	T	Buy	02/20/18	L		
78. SOUTHEAST POLK IOWA CMNTY SCH DIST REV (841485BE4)	A	Interest			Redeemed	06/01/18	J		
79. STATE UNIV IOWA UNIV REV (857536US3)		None	K	T	Buy	06/28/18	K		
80. NEW YORK STATE HSG FIN AGY BE (64986UQS9)	B	Interest	K	T					
81. FLOWER MOUND TX BE (343487U44)	B	Interest	K	T					
82. WAVERLY IOWA WTR RE OID (943665EB1)		None	K	T	Buy	06/27/18	K		

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83. MAINE ST TPK AUTH SPL BE (560543BW9)	B	Interest	K	T					
84. IOWA ST SPL OBLIG (46257TDP1)	B	Interest	K	T					
85. ROYAL BNK OF CANADA (78012KRR5)	B	Interest	L	T					
86. AMERICAN EXPRESS (0258M0EC9)	A	Interest	L	T					
87. ABBOTT LABORATORIES (002824BC3)	B	Interest			Redeemed (part)	02/22/18	K		
88.					Redeemed (part)	06/22/18	K		
89.					Redeemed	09/28/18	K		
90. XEROX CORP (984121CH4)	B	Interest	K	T					
91. GOLDMAN SACHS (36161TVU6, 38143U8H7)	B	Interest	L	T	Buy (add'l)	06/27/18	K		
92. WALGREENS BOOTS ALLIANCE (931427AQ1)	B	Interest	L	T					
93. WELLS FARGO & CO (94974BFY1)	A	Interest	K	T	Buy	06/27/18	K		
94. BANK OF AMERICA (06051GFL8)		None	K	T	Buy	06/27/18	K		
95. CITIGROUP INC (172967JC6)	A	Interest	K	T	Buy	06/27/18	K		
96. QUALCOMM INC (747525AU7)	B	Interest	K	T					
97. AT & T INC (00206REM0)	B	Interest	K	T	Redeemed (part)	05/23/18	L	A	
98. BMW SALT LAKE CITY UT CD (05580AKX1)	A	Interest	K	T					
99. DISCOVER BK GREEN GREENWOOD DE CD (254673QX7)		None	K	T	Buy	06/27/18	K		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
100. SYNCHRONY DRAPER UT CD (87164YQH0)	B	Interest	L	T					
101. BROKERAGE ACCT #2 (H)									
102. MS EMERGING MKTS DOMESTIC DEBT (X)	C	Dividend	K	T					
103. JEA FLA WTR & SWR SYS SUB (46615SCJ5) (X)	C	Interest	L	T					
104. IRA #1 (H)									
105. MORGAN STANLEY BANK	A	Interest	L	T					
106. KRAFT HEINZ CO (KHC)	A	Dividend	J	T	Buy	03/29/18	J		
107. MS MARKET LINKED NOTE DJIA		None	K	T					
108. JPMORGAN CHASE & CO BB (JPM.H)	B	Dividend	K	T					
109. KKR & CO LP (KKR.B)	B	Int./Div.	K	T	Sold (part)	03/21/18	J		
110. PUBLIC STORAGE (PSA.D)	B	Dividend	K	T					
111. WELLS FARGO & CO (WFC.W)	B	Dividend	K	T					
112. MS EMERGING MKTS DOMESTIC DEBT (EDD)	A	Dividend	J	T					
113. WESTERN ASSET HIGH YIELD (HY1)	B	Dividend	K	T					
114. STATE UNIV IOWA UNIV REV (857536DM5)	A	Interest	K	T					
115. ASSURANT INC (04621XAE8)	A	Interest			Redeemed	03/15/18	K		
116. MORGAN STANLEY (61746BDR4, 61744YAH1)	B	Interest	K	T	Redeemed (part)	04/25/18	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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PRATT, ROBERT W.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
117. DOW CHEMICAL COMPANY (26054LXM0)	A	Interest	K	T					
118. PG&E CORP (69331CAF5)	A	Interest			Buy	03/29/18	K		
119.					Redeemed	04/26/18	K	A	
120. ROYAL BANK OF CANADA (78012KRRK5)	A	Interest	K	T					
121. ASTRAZENECA PLC (046353AF5)	A	Interest	J	T					
122. AMERICAN EXPRESS (0258M0EC9)	A	Interest	K	T					
123. GENERAL ELECTRIC CAPITAL CORP (36962G6P4)	A	Interest	J	T					
124. AVON PRODUCTS INC (054303AX0)	B	Interest	K	T					
125. TEVA PHARMA FIN IV LLC (88166HAD9)	A	Interest	J	T					
126. CVS HEALTH CORP (126650CJ7)	A	Interest	K	T	Buy	01/31/18	K		
127. SAFEWAY INC (786514BS7)	B	Interest	K	T					
128. XEROX CORPORATION (984121CM3)	B	Interest	K	T					
129. ALLERGAN, INC. (018490AN2)	B	Interest	K	T					
130. ARCONIC INC (013817AV3)	A	Interest	J	T					
131. SOUTHERN CO (842587CS4)	B	Interest	K	T					
132. FORD MOTOR CREDIT COMPANY, LLC (34540TGZ9)	A	Interest	K	T					
133. CITIGROUP INC (14042RGD7)	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
134. HJ HEINZ CO (423074AM5)	A	Interest	J	T					
135. GOLDMAN SACHS GROUP (38145GAG5)	A	Interest	K	T					
136. GENERAL MILLS INC (370334BM5)	B	Interest	K	T	Buy	04/24/18	K		
137. ICAHN ENTERPRISES FIN (451102BF3)	B	Interest	K	T					
138. BMC SOFTWARE INC (055921AB6)	B	Interest			Redeemed	11/01/18	K		
139. NEWMONT MINING CORP (651639AN6)	A	Interest	J	T					
140. BECTON DICKINSON (075887BT5)	B	Interest	K	T	Buy	01/31/18	K		
141. AT&T INC (00026RBN1)	B	Interest	L	T	Redeemed (part)	04/20/18	L		
142. MURPHY OIL CORP (626717AF9)	A	Interest	J	T					
143. GENERAL MOTORS FINL CO (37045XCF1)	A	Interest	K	T	Buy	01/31/18	L		
144. QUALCOMM INC (747525AR4)	A	Interest	L	T					
145. EXPRESS SCRIPTS HOLDING (30219GAQ1)	A	Interest	K	T					
146. TRANS-CANADA PIPELINES FIXED (89352HAC3)	A	Interest	J	T					
147. CAPITAL ONE NA MCLEAN VA CD (14042RGD7)	B	Interest	K	T					
148. COMPASS BK CD BIRMINGHAM AL (20451PTN6)	A	Interest			Redeemed	12/07/18	L		
149. IRA #2 (H)									
150. MORGAN STANLEY BANK (CASH)	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
151. ABBOTT LABORATORIES (ABT)	A	Dividend	K	T					
152. ABBVIE INC COM (ABBV)	B	Dividend	K	T					
153. ALTRIA GROUP INC (MO)	B	Dividend	K	T					
154. APPLE, INC. (AAPL)	A	Dividend	K	T					
155. AT&T INC (T)	B	Dividend	K	T					
156. BOEING CO (BA)	B	Dividend	L	T					
157. CISCO SYSTEMS (CSCO)	A	Dividend	K	T					
158. COCA COLA CO (KO)	A	Dividend	K	T					
159. EXXON MOBIL (XOM)	A	Dividend	J	T	Buy	04/02/18	J		
160. GENERAL ELECTRIC CO (GE)	A	Dividend	J	T					
161. INTEL CORP (INTC)	A	Dividend	K	T					
162. INTL BUSINESS MACHINES CORP (IBM)	B	Dividend	K	T	Buy (add'l)	01/22/18	J		
163.					Buy (add'l)	04/02/18	J		
164.					Buy (add'l)	06/27/18	J		
165. JOHNSON & JOHNSON (JNJ)	B	Dividend	L	T					
166. PEPSICO INC NC (PEP)	B	Dividend	L	T					
167. PFIZER INC (PFE)	A	Dividend	J	T	Buy	05/03/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
168. PHILIP MORRIS INTL INC (PM)	A	Dividend	J	T	Buy (add'l)	07/02/18	J		
169. PROCTOR & GAMBLE (PG)	A	Dividend	K	T	Buy (add'l)	04/19/18	J		
170. W.P. CAREY INC COM (WPC)	A	Dividend			Sold	07/02/18	K	A	
171. WALGREENS BOOTS ALLIANCE (WBA)	A	Dividend	J	T	Buy	03/29/18	J		
172.					Buy (add'l)	07/02/18	J		
173. ISHARES S&P 100 INDEX FD (OEF)	A	Dividend	K	T					
174. SPDR S&P MIDCAP 400 ETF TRUST (MDY)	B	Dividend	M	T					
175. ML PFD CAPITAL TRUST III (MER.P)	A	Interest			Redeemed	06/06/18	J		
176. IRA #3 (H)									
177. STARBUCKS CORP WASHINGTON (SBUX)	A	Dividend	J	T					
178. WALGREEN BOOTS ALL INC (WBA)	A	Dividend	J	T					
179. BANK PRINCETON NEW JERSEY CD (064520AE9)	A	Dividend	J	T					
180. COMENITY BANK SALT LAKE CITY UT CD (20033AVV5)	A	Interest	J	T					
181. ALLIANCE BERNSTEIN SMALL CAP GROWTH (QUASX)	B	Dividend	J	T					
182. IRA #4 (H)									
183. ISHARES CORE MSCI EAFE ETF (IEAF)	B	Dividend	K	T	Buy (add'l)	01/12/18	J		
184.					Buy (add'l)	07/27/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
185. ISHARES CORE MSCI EMERGING (IEMG)	A	Dividend	J	T					
186. ISHARES MSCI JAPAN (EWJ)	A	Dividend	J	T	Buy	01/12/18	J		
187. ISHARES RUSSELL 1000 GR (IWF)	A	Dividend	J	T	Sold (part)	01/12/18	J	A	
188.					Sold (part)	07/27/18	J	C	
189. ISHARES RUSSELL 1000 VALUE (IWD)	A	Dividend	K	T	Buy (add'l)	07/27/18	J		
190. ISHARES RUSSELL 2000 GROWTH (IWO)	A	Dividend	J	T	Sold (part)	01/12/18	J	A	
191.					Sold (part)	07/27/18	J	A	
192. ISHARES 2000 VALUE FD (IWN) (Y)					Sold (part)	01/12/18	J	A	
193.					Sold (part)	07/27/18	J	A	
194. ISHARES RUSSELL MIDCAP G (IWP)	A	Dividend	J	T	Sold (part)	01/12/18	J	A	
195. ISHARES RUSSELL MIDCAP V (IWS)	A	Dividend	J	T					
196. ISHARES TIPS BOND ETF (TIP)	A	Dividend	J	T					
197. PIMCO ENHANCED SHRT MTRT EXC (MINT)	A	Dividend	J	T	Buy	07/27/18	J		
198. VANGUARD SHORT TERM BND (BSV)	A	Dividend	J	T					
199. VANGUARD TOTAL BOND MARKET (BND)	A	Dividend	J	T					
200. WISDOMTREE TRUST JAPAN HEDGE EQ (DXJ)		None			Sold	01/12/18	J	B	
201. COLLEGE SAVINGS PLAN #1 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
202. VOYA 529 INTERMEDIATE BD OPT A (DCBPA)		None	J	T					
203. VOYA 529 LARGE CAP GW OPT A (FGIOA)		None	J	T					
204. VOYA 529 LARGE CAP VALUE OP A (ACLCA)		None	J	T					
205. VOYA 529 MLT-MNGR INTL EQ OP A (INGAB)		None	J	T					
206. VOYA 529 SMALL COMPANY OPT A (CMCVA)		None	J	T					
207. VOYA 529 US STOCK INDEX OPT A (ACQGA) (X) (Y)									
208. COLLEGE SAVINGS PLAN #2 (H)									
209. VOYA 529 INTERMEDIATE BD OPT A (DCBPA)		None	J	T					
210. VOYA 529 LARGE CAP GW OPT A (FGIOA)		None	J	T					
211. VOYA 529 LARGE CAP VALUE OP A (ACLCA)		None	J	T					
212. VOYA 529 MLT-MNGR INTL EQ OP A (INGAB)		None	J	T					
213. VOYA 529 SMALL COMPANY OPT A (CMCVA)		None	J	T					
214. VOYA 529 US STOCK INDEX OPT A (ACQGA) (X) (Y)									
215. COLLEGE SAVINGS PLAN #3 (H)									
216. VOYA 529 INTERMEDIATE BD OPT A (DCBPA)		None	J	T					
217. VOYA 529 LARGE CAP GW OPT A (FGIOA)		None	J	T					
218. VOYA 529 LARGE CAP VALUE OP A (ACLCA)		None	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
219. VOYA 529 MLT-MNGR INTL EQ OP A (INGAB)		None	J	T					
220. VOYA 529 SMALL COMPANY OPT A (CMCVA)		None	J	T					
221. VOYA 529 US STOCK INDEX OPT A (ACQGA) (X) (Y)									
222. COLLEGE SAVINGS PLAN #4 (H)									
223. VOYA 529 INTERMEDIATE BD OPT A (DCBPA)		None	J	T					
224. VOYA 529 LARGE CAP GW OPT A (FGIOA)		None	J	T					
225. VOYA 529 LARGE CAP VALUE OP A (ACLCA)		None	J	T					
226. VOYA 529 MLT-MNGR INTL EQ OP A (INGAB)		None	J	T					
227. VOYA 529 SMALL COMPANY OPT A (CMCVA)		None	J	T					
228. VOYA 529 US STOCK INDEX OPT A (ACQGA) (X) (Y)									
229. COLLEGE SAVINGS PLAN #5 (H)									
230. VOYA 529 INTERMEDIATE BD OPT A (DCBPA)		None	J	T					
231. VOYA 529 LARGE CAP GW OPT A (FGIOA)		None	J	T					
232. VOYA 529 LARGE CAP VALUE OP A (ACLCA)		None	J	T					
233. VOYA 529 MLT-MNGR INTL EQ OP A (INGAB)		None	J	T					
234. VOYA 529 SMALL COMPANY OPT A (CMCVA)		None	J	T					
235. VOYA 529 US STOCK INDEX OPT A (ACQGA) (X) (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

236. COLLEGE SAVINGS PLAN #6 (H)									
237. VOYA 529 INTERMEDIATE BD OPT A (DCBPA)		None	J	T					
238. VOYA 529 LARGE CAP GW OPT A (FGIOA)		None	J	T					
239. VOYA 529 LARGE CAP VALUE OP A (ACLCA)		None	J	T					
240. VOYA 529 MLT-MNGR INTL EQ OP A (INGAB)		None	J	T					
241. VOYA 529 SMALL COMPANY OPT A (CMCVA)		None	J	T					
242. VOYA 529 US STOCK INDEX OPT A (ACQGA) (X) (Y)									
243. COLLEGE SAVINGS PLAN #7 (H)									
244. VOYA 529 INTERMEDIATE BD OPT A (DCBPA)		None	J	T					
245. VOYA 529 LARGE CAP GW OPT A (FGIOA)		None	J	T					
246. VOYA 529 LARGE CAP VALUE OP A (ACLCA)		None	J	T					
247. VOYA 529 MLT-MNGR INTL EQ OP A (INGAB)		None	J	T					
248. VOYA 529 SMALL COMPANY OPT A (CMCVA)		None	J	T					
249. VOYA 529 US STOCK INDEX OPT A (ACQGA) (X) (Y)									
250. COLLEGE SAVINGS PLAN #8 (H)									
251. VOYA 529 INTERMEDIATE BD OPT A (DCBPA)		None	J	T					
252. VOYA 529 LARGE CAP GW OPT A (FGIOA)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

253. VOYA 529 LARGE CAP VALUE OP A (ACLCA)		None	J	T					
254. VOYA 529 MLT-MNGR INTL EQ OP A (INGAB)		None	J	T					
255. VOYA 529 SMALL COMPANY OPT A (CMCVA)		None	J	T					
256. VOYA 529 US STOCK INDEX OPT A (ACQGA) (X) (Y)									
257. COLLEGE SAVINGS PLAN #9 (H)									
258. VOYA 529 LARGE CAP GW OPT A (FGIOA)		None	J	T					
259. VOYA 529 LARGE CAP VALUE OP A (ACLCA) (Y)									
260. VOYA 529 MLT-MNGR INTL EQ OP A (INGAB)		None	J	T					
261. COLLEGE SAVINGS PLAN #10 (H)									
262. VOYA 529 LARGE CAP GW OPT A (FGIOA)		None	J	T					
263. VOYA 529 LARGE CAP VALUE OP A (ACLCA) (Y)									
264. VOYA 529 MLT-MNGR INTL EQ OP A (INGAB)		None	J	T					
265. COLLEGE SAVINGS PLAN #11 (H)									
266. VOYA 529 LARGE CAP GW OPT A (FGIOA)		None	J	T					
267. VOYA 529 LARGE CAP VALUE OP A (ACLCA) (Y)									
268. VOYA 529 MLT-MNGR INTL EQ OP A (INGAB)		None	J	T					
269. COLLEGE SAVINGS PLAN #12 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

270. VOYA 529 LARGE CAP GW OPT A (FGIOA)		None	J	T					
271. VOYA 529 LARGE CAP VALUE OP A (ACLCA) (Y)									
272. VOYA 529 MLT-MNGR INTL EQ OP A (INGAB)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column A: Except where identified by reportable transactions, changes in CUSIP numbers are a result of non-reportable events.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, line 192: Following a series of reportable transactions, this item became unreportable without a corresponding reportable transaction.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ ROBERT W. PRATT**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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