

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SICKEL, JAMES R.	2. Court or Organization U.S. DISTRICT COURT, E D of WI	3. Date of Report 05/14/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) MAGISTRATE JUDGE, PART-TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 125 S. JEFFERSON STREET, #101 P. O. BOX 1626 GREEN BAY, WI 54305-1626		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Self-employed, Attorney	\$611,358.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ASSOCIATED BANK ACCOUNTS	C	Interest	O	T					
2. M&I BANK ACCOUNT	A	Interest	K	T					
3. JAMKAT, LLC (See Section VIII)		None	N	S	Sold (part)	04/29/11	K		
4. JEFFERSON COURT BLDG LLC (See Section VIII)		None	P1	U					
5. EASTERN HORIZONS LLC		None	M	U					
6. BAY SETTLEMENT INVESTMENTS LLC (See Section VIII)		None			Sold	12/28/11	J		
7. HURON PROFESSIONAL CENTER LLC		None	J	U					
8. BROKERAGE ACCT #1 PARNASSUS FUND	A	Dividend	J	T					
9. BROKERAGE ACCT #2 ROBERT BAIRD									
10. -General Muni Money Mkt		None	J	T					
11. -Insured Deposit M & I		None	J	T					
12. -Dreyfus Mun Money Mkt FD	A	Interest	K	T					
13. -Cape Girardeau CityMO Bond		None	K	T					
14. -Calvert Soc Invnt Eqty A	A	Dividend	J	T					
15. -Putnam Invs Fd Inc	A	Dividend	J	T					
16. BROKERAGE ACCT #3 JS STIFEL, NICOLAUS									
17. -General Money Market STIFEL, NICOLAUS	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Magnum Hunter Resources Corp (formerly NGAS Resources Inc)		None	J	W					
19. -Procter & Gamble	A	Dividend			Sold	10/25/11	K	E	
20. -Why USA Financial Group		None	J	T					
21. -Mutual Fund: First Trust Unit OPTY	A	Int./Div.			Sold	05/26/11	K		
22. -Mutual Fund: First Trust Unit	A	Int./Div.			Sold	10/13/11	K	A	
23. -Mutual Fund: Rydex Consumer Prod Cl C		None			Sold	08/09/11	J		
24. -Mutual Fund: Rydex Europe		None			Sold	08/09/11	J		
25. -Mutual Fund: Rydex Ser FDS Sector Rotation (All Cap Oppor)		None			Sold	08/09/11	K		
26. -Mutual Fund: Eaton Vance Tx Mnged Val		None			Sold	08/09/11	K		
27. -Mutual Fund: Franklin Utils FD CL C	A	Dividend			Sold (part)	03/25/11	J		
28.					Sold	08/09/11	J	A	
29. -Mutual Fund: North Track Geneva Growth A (Highmark Funds)		None			Sold	08/09/11	K	B	
30. -Mutual Fund: Rydex Ser Fds Health Care		None			Sold	08/09/11	J		
31. -Mutual Fund: Rydex Ser Fds Biotechnology Fd		None			Sold	08/09/11	J	A	
32. IRA #1 - STIFEL, NICOLAUS	B	Int./Div.	K	T					
33. -Money Market Funds									
34. -General Motors Company (See Section VIII)									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other	W = Estimated		
	U = Book Value				

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Immtech Pharmaceuticals Inc.									
36. -Why USA Financial Group Inc.									
37. -Bond: ABC Rail Products									
38. -Bond: Royal Bank Canada					Buy	11/03/11	J		
39. -Bond: Terex Corp					Buy	10/27/11	J		
40. -Bond: United States Steel Corp					Buy	10/27/11	J		
41. -Bond: General Motors Corp Note (See Section VIII)									
42. -Bond: General Motors Corp Disc Debenture (See Section VIII)									
43. -Bond: General Motors Corp XXX (See Section VIII)									
44. -Bond: General Motors Corp XXX (See Section VIII)									
45. -Mutual Fund: Rydex Ser Fds Transn Fd Cl C					Sold	08/09/11	J		
46. -Mutual Fund: Rydex Series Funds Consumer Products Fund Cl									
47. -Mutual Fund: Rydex Ser Fds Biotechnology Fd Cl C					Sold	11/03/11	J	A	
48. -Mutual Fund: Rydex Ser FDS US Govt					Sold	10/27/11	J		
49. -Mutual Fund: Rydex Series FDS Basic									
50. -Mutual Fund: Rydex Ser Fds Mid-Cap Growth Fd CL C					Sold	08/09/11	J	B	
51. -Mutual Fund: Rydex Series FDS Sector Rotation (All Caps)					Sold	08/09/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -Mutual Fund: Alpine Global Premier Properties Fund Shares									
53. -Warrants: General Motors Company (See Section VIII)									
54. -Warrants: General Motors Company (See Section VIII)									
55. IRA #2 ASSOCIATED BANK	A	Interest	K	T					
56. IRA #3 ASSOCIATED BANK	A	Interest	K	T					
57. IRA #4 M&I BANK (CD)	A	Interest	J	T					
58. IRA #5 M&I BANK (CD)	A	Interest	J	T					
59. 401(k) - NATIONWIDE		None	L	T					
60. -Janus Twenty Fd									
61. -Elow Fixed									
62. AMERICAN FUNDS SIMPLE IRA	B	Dividend	M	T					
63. -American Balanced Fund (Fund- B)					Sold (part)	02/10/11	J		
64. -Capital World Growth and Income Fund B									
65. -Fundamental Investors B					Sold (part)	02/10/11	J		
66. -Fundamental Investors C					Buy (add'l)	04/25/11	J		
67. -Smallcap World Fund C					Buy (add'l)	04/25/11	J		
68. -American Funds Money Market Fund-C									

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. - New World Fund-C									
70. -American Balanced Fund-C					Buy (add'l)	04/25/11	J		
71. -American Funds Money Market Fund-A									
72. -Fundamental Investors-A					Buy (add'l)	02/10/11	J		
73. -American Balanced Fund-A					Buy	02/10/11	J		
74. WIS NATIONAL LIFE INS		None	K	T					
75. FIRST BANCSHARES INC	A	Dividend	J	T					
76. NEUBERGER BERMAN PARTNERS FUND INV CLASS	A	Dividend	N	T					
77. JP MORGAN CHASE BANK (CHECKING)	A	Interest	K	T					
78. CHASE - JP MORGAN GOVT BOND FUND C	A	Dividend			Sold	04/14/11	N	C	
79. EASTERN HORIZONS, LLC, BUSINESS LOAN - NOTE RECEIVABLE		None	N	T	Open	11/22/11	N		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part VII, Page 4, Line #3 JAM KAT, LLC - 100% assessed value \$364,200. Property located in Milwaukee, WI and Green Bay, WI.
- 2) Part VII, Page 4, Line #4 JEFFERSON COURT BLDG, LLC - Property located in Green Bay, WI.
- 3) Part VII, Page 4, Line #5 EASTERN HORIZONS, LLC - Property located in Green Bay, WI.
- 4) Part VII, Page 4, Line #6 BAY SETTLEMENT INVESTMENTS, LLC - Property located in Brown County, WI.
- 5) Part VII, Page 4, Line #7 HURON PROFESSIONAL CENTER, LLC - Property located in Green Bay, WI.
- 6) Part VII, Page 5, Line #34 GENERAL MOTORS COMPANY - Stock received on 4/21/11 in exchange for bonds listed under lines #41 and #42.
- 7) Part VII, Page 6, Line #41 BOND: GENERAL MOTORS CORP NOTE - Bond exchanged on 4/21/11 for stock listed under line #34, bonds listed under lines #43 and #44, and warrants listed under lines #53 and #54.
- 8) Part VII, Page 6, Line #42 BOND: GENERAL MOTORS CORP DISCOUNTED DEBENTURE STEP - same description as item 7).
- 9) Part VII, Page 6, Line #43 BOND: GENERAL MOTORS CORP XXX - Bond received on 4/21/11 in exchange for bonds listed under lines #41 and #42.
- 10) Part VII, Page 6, Line #44 BOND: GENERAL MOTORS CORP XXX - same description as item 9).
- 11) Part VII, Page 7, Line #53 WARRANTS: GENERAL MOTORS COMPANY - Warrants received on 4/21/11 in exchange for bonds listed under lines #41 and #42.
- 12) Part VII, Page 7, Line #54 WARRANTS: GENERAL MOTORS COMPANY - same description as item 11).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ JAMES R. SICKEL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544