

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rowland, Mary M.	2. Court or Organization U. S. Dist Court, Northern District of Illinois	3. Date of Report 09/28/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 219 S. Dearborn, Room 1332 Dirksen Federal Building Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Mary M. Rowland Revocable Trust (unfunded)
2. Member of Board of Directors	Federal Bar Association, Chicago Chapter
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2013	Hughes Socol Piers Resnick & Dym, Ltd. Salary Savings Plan and Trust (former law firm), no control
2.	(I always recuse myself from cases in which my former firm has an appearance on file).
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

Writer, self employed

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Citibank Visa	Credit Card	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ANNUITY A: Northwestern Mutual Variable Annuity									
2. Index 500 Stock/MSA	A	Int./Div.	K	T	Sold (part)	03/31/15	J	A	
3. Mid Cap Value (MSA/Amer Century)	A	Int./Div.	K	T	Sold (part)	03/31/15	J	A	
4. Index 600 (MSA)	A	Int./Div.	J	T	Sold (part)	03/31/15	J	A	
5. International Equity/MWS/Franklin Tmpl	A	Int./Div.	J	T	Buy (add'l)	03/31/15	J		
6. Short Term Bond/MSA/T.Rowe Price	A	Int./Div.	K	T	Buy (add'l)	03/31/15	J		
7. Russell Core Bond	A	Int./Div.	K	T	Buy (add'l)	03/31/15	J		
8. Index 500 Stock/MSA					Buy (add'l)	09/30/15	J		
9. Mid Cap Value (MSA/Amer Century)					Buy (add'l)	09/30/15	J		
10. Index 600 (MSA)					Buy (add'l)	09/30/15	J		
11. International Equity/MWS/Franklin Tmpl					Sold (part)	09/30/15	J	A	
12. Short Term Bond/MSA/T.Rowe Price					Sold (part)	09/30/15	J	A	
13. Russell Core Bond					Sold (part)	03/31/15	J	A	
14.									
15. Illinois Bright Start 529 Plan									
16. Index Age Based 15-17 Portfolio	A	Int./Div.	L	T	Buy (add'l)	11/25/15	K		
17. Index Age Based 10-11 Portfolio	A	Int./Div.			Merged (with line 16)	11/25/15	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.									
19. Variable Annuity B: Northwestern Mutual									
20. Index 500 Stock (MSA)	A	Int./Div.	J	T	Sold (part)	03/31/15	J	A	
21. Mid Cap Value (MSA/Am Century)	A	Int./Div.	J	T	Sold (part)	03/31/15	J	A	
22. Index 600 Stock (MSA)	A	Int./Div.	J	T	Sold (part)	03/31/15	J	A	
23. International Equity/MSA/Franklin Tmpl	A	Int./Div.	J	T	Buy (add'l)	03/31/15	J		
24. Short Term Bond/MSA/T.Rowe Price	A	Int./Div.	J	T	Buy (add'l)	03/31/15	J		
25. Russell Core Bond	A	Int./Div.	J	T	Buy (add'l)	03/31/15	J		
26. Index 500 Stock (MSA)					Sold (part)	08/18/15	J	A	
27. Mid Cap Value (MSA/Am Century)					Buy (add'l)	08/18/15	J		
28. Index 600 Stock (MSA)					Sold (part)	08/18/15	J	A	
29. International Equity/MSA/Franklin Tmpl					Buy (add'l)	08/18/15	J		
30. Short Term Bond/MSA/T.Rowe Price					Sold (part)	08/18/15	J	A	
31. Russell Core Bond					Buy (add'l)	08/18/15	J		
32.									
33. New York Life Fixed Rate (2%) Annuity	B	Dividend	L	T	Distributed (part)		K	A	
34.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Fidelity Money Market									
36. Fidelity Municial Money Market (FTEXX)	A	Int./Div.	J	T					
37.									
38. Roth IRA #1 DFA US LC Value	A	Dividend	J	T					
39.									
40. Rollover IRA #1									
41. DFA Emerging Mkts	A	Dividend	K	T	Buy (add'l)	02/26/15	J		
42. DFA Emerging Mkts					Buy (add'l)	08/27/15	J		
43. iShare Core S&P SC ETF	A	Dividend	K	T	Sold (part)	02/26/15	J	A	
44. iShare R1000 ETF	B	Dividend	L	T					
45. iShare Morningstar SC Value ETF	B	Dividend	K	T					
46. Oppenheimer Int'l Growth	A	Dividend	L	T	Sold (part)	08/27/15	J	A	
47. Oppenheimer Int'l Growth					Buy (add'l)	02/06/15	J		
48. Pimco Total Return	D	Int./Div.	L	T					
49. Vanguard Small Cap Value ETF	A	Dividend	J	T	Buy	08/27/15	J		
50. Vanguard ST Inv Grade Bond Fund	A	Int./Div.	K	T	Buy (add'l)	08/31/15	J		
51.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. Roth IRA #2 DFA US LC Value	C	Dividend	K	T					
53.									
54. Rollover IRA #2									
55. DFA US LC Value	D	Dividend	L	T	Sold (part)	08/31/15	J	A	
56. iShare R1000 ETF	A	Dividend	J	T	Buy	08/31/15	J		
57. Pimco Total Return	C	Int./Div.	K	T					
58.									
59. SEP IRA									
60. Pimco Total Return	A	Int./Div.	J	T					
61. Vanguard ST Inv Grade Bond Fund	A	Int./Div.	J	T	Buy	08/31/15	J		
62.									
63. AmeritasVariable Annuity									
64. DFA VA Int'l Small	A	Int./Div.	J	T	Buy (add'l)	07/01/15	J		
65. DFA VA US Large Value	A	Int./Div.	J	T	Buy	02/09/15	J		
66. DFA VA US Large Value					Sold (part)	07/01/15	J	A	
67. DFA VA ST Fixed	A	Interest	K	T	Buy (add'l)	07/01/15	J		
68. DFA VA US Targeted Value	A	Int./Div.	J	T	Sold (part)	07/01/15	J	A	

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69. Pimco Total Return	A	Int./Div.	K	T	Buy (add'l)	07/01/15	J		
70. Vanguard VIF Diversified Value	A	Int./Div.			Sold	02/09/15	J	A	
71. Vanguard VIF Equity Inc	A	Int./Div.	J	T	Sold (part)	07/01/15	J	A	
72.									
73.									
74.									
75.									
76.									
77.									
78.									
79.									
80.									
81.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Mary M. Rowland Revocable Trust, indicated in Part I, is unfunded and contains no assets.
Part VII There is no gain/loss tracking in the IL Bright Start 529 plan.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mary M. Rowland**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544