

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Freeman, Beth L.	2. Court or Organization U.S. District Court, Northern District of California	3. Date of Report 05/13/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U. S. District Court 280 South First St. San Jose, CA 95113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Judge	Superior Court, San Mateo County, California (01/01/2014-02/25/2014)
2. Co-Trustee	Trust No. 1
3. Director	Junior Statesmen Foundation
4. Member, Board of Governors	Association of Business Trial Lawyers
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1983	San Mateo County Employees Retirement Association - Pension
2. 2001	Judicial Retirement System II, California - Judicial Pension (terminated 02/26/2014)
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Superior Court of California - Salary	\$39,913.22
2. 05/16/2014	Judges' Retirement System II - Distribution of Pension	\$701,969.50
3. 2014	San Mateo County Employees' Retirement Association - Pension	\$63,379.69
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Self-employed: Attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.	Federal Bar Association	Contribution to induction ceremony; Annual judges' lunch	\$570.00
2.	San Mateo County Bar Association	Honorary membership; 3 judges' lunch events	\$380.00
3.	Association of Business Trial Lawyers	Honorary membership; 3 dinner meetings	\$420.00
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Trust #1 (H)									
2. - Brokerage Account #1 (H)									
3. -- Schwab U.S. Treasury Money Fund Account		None	L	T					
4. -- Abbott Labs Common Stock	A	Dividend	K	T					
5. -- Abbvie Inc. Common Stock	A	Dividend	K	T					
6. -- American International Group Common Stock	A	Dividend	K	T					
7. -- Amgen Inc. Common Stock	A	Dividend	J	T					
8. -- Apple Inc. Common Stock	C	Dividend	N	T					
9. -- AT&T Inc. Common Stock (Y)									
10. -- Berkshire Hathaway Common Stock		None	K	T					
11. -- Chipotle Mexican Grill Common Stock		None	J	T					
12. -- Citigroup Inc. Common Stock	A	Dividend			Sold	05/19/14	K		
13. -- Coca-Cola Company Common Stock	B	Dividend	L	T					
14. -- Colgate-Palmolive Co. Common Stock	A	Dividend	K	T					
15. -- Comcast Corp. Stock	B	Dividend	M	T					
16. -- CVS Caremark Corp. Common Stock	B	Dividend	M	T	Sold (part)	06/03/14	K	E	
17. -- Deven Energy Corp. Common Stock	A	Dividend			Sold	05/19/14	K	C	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. -- DirecTV Common Stock		None	K	T					
19. -- Google Inc. Common Stock		None			Donated				
20. -- IBM Corp. Common Stock	A	Dividend	K	T					
21. -- Intuitive Surgical Common Stock		None	K	T					
22. -- Marathon Oil Corp. Common Stock	A	Dividend	J	T					
23. -- Marathon Pete Corp. Common Stock	A	Dividend	K	T					
24. -- MasterCard Inc. Common Stock	A	Dividend	K	T					
25. -- McDonalds Corp. Common Stock	A	Dividend	K	T					
26. -- Microsoft Corp. Common Stock	D	Dividend	N	T					
27. -- nVidia Corp. Common Stock	A	Dividend	K	T					
28. -- Oracle Corp. Common Stock	A	Dividend			Sold	05/19/14	J	C	
29. -- Pepsico Inc. Common Stock	C	Dividend	M	T					
30. -- Procter & Gamble Corp. Common Stock	C	Dividend	M	T					
31. -- Rite Aid Corp. Common Stock		None	J	T					
32. -- Spectrum Brands Holdings Common Stock	A	Dividend	J	T					
33. -- Target Corp. Common Stock	A	Dividend	K	T					
34. -- Texas Instruments Inc. Common Stock	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -- TJX Companies Inc. Common Stock	A	Dividend	K	T					
36. -- Verisign Inc. Common Stock		None	K	T					
37. -- Verizon Communications Stock	A	Dividend			Spinoff (from line 40)	02/21/14	J		
38.					Sold	05/19/14	J	A	
39. -- Visa Inc. Common Stock	A	Dividend	M	T					
40. -- Vodafone Group Common Stock	A	Dividend			Sold	05/19/14	J		
41. -- Walgreen Co. Common Stock	A	Dividend	K	T					
42. -- Wal-Mart Stores Inc. Common Stock	A	Dividend	K	T					
43. -- Absolute Opportunities Fund		None			Sold	05/19/14	J	B	
44. -- American Century Intermediate-Term Tax-Free Bond Fund	A	Dividend			Sold	05/19/14	K	B	
45. -- Arbitrage Fund Class R		None			Sold	05/19/14	J	A	
46. -- DFA Short Term Municipal Bond Fund	A	Dividend			Sold	05/19/14	K	A	
47. -- DFA U.S. Core Equity 2 Portfolio Fund	A	Dividend			Sold	05/19/14	J	B	
48. -- Dreyfus Intermediate Municipal Bond Fund	A	Dividend			Sold	05/19/14	K	B	
49. -- Dreyfus Short-Intermediate Municipal Bond Fund	A	Dividend			Sold	05/19/14	K	A	
50. -- iShares MSCI Pacific Ex-Japan Index Fund	B	Dividend	K	T					
51. -- PowerShares DB Commodity Index Tracking Fund		None			Sold	05/19/14	K		

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52. -- Schwab 1000 Index Fund		None			Sold	05/19/14	J	C	
53. -- Schwab Total Stock Market Index Fund	A	Dividend	K	T					
54. -- SPDR S&P 500 ETF	C	Dividend	M	T					
55. -- Vanguard California Int.-Term Tax Exempt Adm. Fund	B	Dividend			Sold	05/19/14	M	D	
56. -- Vanguard California Int.-Term Tax Exempt Inv. Fund	A	Dividend			Sold	05/19/14	K	C	
57. -- Vanguard Dividend Appreciation ETF	A	Dividend	K	T					
58. -- Vanguard International Equity All World ex-US ETF	A	Dividend			Sold	05/19/14	K	B	
59. -- Vanguard Growth Index Fund	A	Dividend	K	T					
60. -- Vanguard Limited Term Tax Exempt Investor Share	A	Dividend			Sold	05/19/14	K	A	
61. -- Vanguard FTSE Developed Markets ETF	B	Dividend	L	T					
62. -- Vanguard FTSE Emerging Markets ETF	A	Dividend			Sold	05/19/14	K	A	
63. -- Vanguard Short-Term Tax Exempt Fund	A	Dividend			Sold	05/19/14	J	A	
64. -- Vanguard Total Stock Market ETF	D	Dividend	N	T	Sold (part)	05/19/14	N	F	
65. -- State of California Bonds	D	Interest			Sold	05/19/14	M	E	
66. -- Castro Valley California Unified School District Bond	A	Interest			Buy	04/17/14	K		
67.					Sold	05/19/14	K		
68. -- Hemet California Unified School District Bond	C	Interest			Buy	04/17/14	M		

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69.					Sold	05/19/14	M	B	
70. -- Piedmont California Unified School District Bond	A	Interest			Buy	04/17/14	J		
71.					Sold	05/19/14	J		
72. -- Liberty Global Inc. Common Stock		None			Sold	05/19/14	J	B	
73. -- Schwab Value Advantage Money Fund	A	Dividend	M	T					
74. -- Sonic Corp. Common Stock	A	Dividend	J	T					
75. -- SPDR Gold Trust Shares		None			Sold	05/19/14	J	C	
76. -- American Beacon Gbl Evol Frontier Markets Income Fund	C	Dividend	M	T	Buy	10/22/14	M		
77. -- ASTON/River Road Independent Value Fund	D	Dividend	M	T	Buy	05/21/14	M		
78.					Buy (add'l)	06/03/14	M		
79.					Sold (part)	10/22/14	M		
80. -- Center Coast MLP Focus Fund	D	Dividend	M	T	Buy	05/21/14	M		
81.					Buy (add'l)	06/03/14	K		
82.					Sold (part)	10/22/14	K	A	
83. -- MainStay Marketfield Fund		None	M	T	Buy	05/21/14	M		
84.					Buy (add'l)	06/03/14	L		
85.					Sold (part)	10/22/14	M		

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86. -- Stone Ridge Reinsurance Risk (X) Premium Fund	E	Dividend	N	T					
87. -- RiverPark Short Term High Yield Fund	D	Dividend	N	T	Buy	05/21/14	N		
88.					Buy (add'l)	12/26/14	K		
89. -- DoubleLine Total Return Bond Fund	D	Dividend	N	T	Buy	05/21/14	N		
90.					Sold (part)	11/05/14	L		
91. -- Angel Oak Multi-Strategy Income Fund	E	Dividend	N	T	Buy	05/21/14	O		
92.					Sold (part)	11/05/14	M		
93. -- Legg Mason Brandywine Alternative Credit Fund	B	Dividend	N	T	Buy	11/05/14	N		
94.					Sold	12/05/14	N	C	
95.					Buy	12/11/14	N		
96.					Buy (add'l)	12/26/14	K		
97. -- Palmer Square Income Plus Fund	D	Dividend	N	T	Buy	05/21/14	N		
98.					Buy (add'l)	11/05/14	K		
99. -- Semper MBS Total Return	C	Dividend			Buy	05/21/14	L		
100.					Sold	11/05/14	L	A	
101. - Brokerage Account #2 (H)									
102. -- Schwab ADV Cash Reserve Premium Account (Y)									

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103. -- Comcast Corp. Common Stock					Sold	04/17/14	J		
104. -- SPDR S&P 500 ETF					Sold	04/17/14	K		
105. -- Stone Ridge Reinsurance Risk Premium Fund					Sold	04/17/14	K		
106. -- State of California Bonds (X)		None			Redeemed	02/01/14	J	A	
107.		None			Redeemed	04/01/14	K		
108.		None			Sold	04/17/14	M		
109. -- Castro Valley California Unified School District Bond (X)		None			Sold	04/17/14	K		
110. -- Hemet California Unified School District Bond (X)		None			Sold	04/17/14	M		
111. -- Piedmont California Unified School District Bond (X)		None			Sold	04/17/14	K		
112. -- Schwab AMT Tax-Free Money Market Fund (X)		None			Sold	04/17/14	M		
113. - Brokerage Account #3 (H)									
114. -- FIA Card Services N.A. Money Market Account (Y)									
115. -- State of California Bonds (Y)									
116. -- Castro Valley California Unified School District Bond (Y)									
117. -- Hemet California Unified School District Bond (Y)									
118. -- Piedmont California Unified School District Bond (Y)									
119. Trust # 2 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Trust #3 (H)									
121. - Brokerage Account #6 (H)									
122. -- Schwab U.S. Treasury Money Market Fund		None	L	T					
123. -- Apple Inc. Common Stock	B	Dividend	M	T					
124. -- Coca-Cola Company Common Stock	A	Dividend	K	T					
125. -- Comcast Corp. Common Stock	A	Dividend	K	T					
126. -- CVS Caremark Corp. Common Stock	A	Dividend	L	T					
127. -- Marathon Oil Corp. Common Stock	A	Dividend	J	T					
128. -- Marathon Pete Corp. Common Stock	A	Dividend	J	T					
129. -- McDonald's Corp. Common Stock	A	Dividend	J	T					
130. -- Microsoft Corp. Common Stock	B	Dividend	K	T					
131. -- Pepsico Inc. Common Stock	A	Dividend	K	T					
132. -- Procter & Gamble Common Stock	A	Dividend	K	T					
133. -- Visa Inc. Common Stock	A	Dividend	K	T					
134. -- Wal-Mart Stores Inc. Common Stock	A	Dividend	J	T					
135. -- iShares MSCI Pacific Ex. Japan Index Fund	A	Dividend	J	T					
136. -- SPDR S&P 500 ETF	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -- Vanguard Dividend Appreciation ETF	A	Dividend	K	T					
138. -- Vanguard FTSE All-World Ex-US ETF	A	Dividend	J	T					
139. -- DirecTV Common Stock		None	K	T					
140. -- American Beacon Gbl Evol Frontier Markets Income Fund	A	Dividend	K	T	Buy	11/05/14	K		
141. -- ASTON/River Road Independent Value Fund	C	Dividend	K	T	Buy	05/21/14	L		
142.					Sold (part)	11/04/14	K		
143. -- Center Coast MLP Focus Fund	C	Dividend	L	T	Buy	05/21/14	L		
144. -- RiverPark Short Term High Yield Fund	B	Dividend	K	T	Buy	05/21/14	K		
145. -- DoubleLine Total Return Bond Fund	B	Dividend	K	T	Buy	05/21/14	K		
146.					Sold (part)	12/12/14	J		
147. -- Angel Oak Multi-Strategy Income Fund	C	Dividend	L	T	Buy	05/21/14	L		
148.					Sold (part)	12/12/14	K		
149. -- Legg Mason Brandywine Alternative Credit Fund	A	Dividend	L	T	Buy	12/15/14	L		
150. -- Semper MBS Total Return Fund	A	Dividend			Buy	05/21/14	J		
151.					Sold	12/12/14	K	A	
152. -- Stone Ridge Reinsurance Risk Premium Fund (X)		None			Sold	11/14/14	K	C	
153. -- New Heights Associates LLC (inherited) (X)		None	M	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Trust #4 (H)									
155. - Brokerage Account #7 (H)									
156. -- Schwab US Treasury Money Fund Account (Y)									
157. -- Apple Inc. Common Stock	A	Dividend	K	T					
158. -- Berkshire Hathaway Common Stock		None			Sold	05/19/14	J	B	
159. -- Microsoft Corp. Common Stock	A	Dividend	K	T					
160. -- Proctor & Gamble Common Stock	A	Dividend			Sold	05/19/14	J	B	
161. -- Absolute Strategies Fund		None			Sold	05/19/14	K	B	
162. -- Arbitrage Fund Class Institutional		None			Sold	05/19/14	J	A	
163. -- DFA US Core Equity 1 Portfolio Fund	A	Dividend	K	T					
164. -- FPA Crescent Fund Institutional	B	Dividend	K	T					
165. -- American Beacon Gbl Evol Frontier Markets Income Fund	A	Dividend	K	T	Buy	11/05/14	K		
166. -- Center Coast MLP Focus Fund	A	Dividend	K	T	Buy	05/21/14	K		
167. -- RiverPark Short term High Yield Fund	A	Dividend	K	T	Buy	05/21/14	K		
168. -- DoubleLine Total Return Bond Fund	A	Dividend	K	T	Buy	05/21/14	K		
169.					Sold (part)	12/12/14	J		
170. -- Angel Oak Multi-Strategy Income Fund	B	Dividend	K	T	Buy	05/21/14	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold (part)	12/12/14	K		
172. -- Legg Mason Brandywine Alternative Credit Fund	A	Dividend	K	T	Buy	12/15/14	K		
173. -- Schwab AMT Tax-Free Money Fund (X)	A	Dividend	K	T					
174. -- ASTON/River Road Independent Value Fund		None			Buy	05/21/14	K		
175.					Sold	11/04/14	K		
176. -- Semper MBS Total Return Fund	A	Dividend			Buy	05/21/14	J		
177.					Sold	12/12/14	J	A	
178. -- Stone Ridge Reinsurance Risk Premium Fund (X)		None			Sold	11/14/14	J	B	
179. IRA #1 (H)									
180. - Schwab U.S. Treasury Money Fund Account (Y)									
181. - Vanguard REIT ETF	A	Dividend			Sold	05/19/14	K	C	
182. - State of California Municipal Bonds	B	Interest			Sold	05/19/14	K	D	
183. - Stone Ridge Reinsurance Risk Premium Fund	D	Dividend	L	T	Buy (add'l)	05/21/14	L		
184. - BMO Small-Cap Growth Fund	C	Dividend	K	T	Buy	05/21/14	K		
185. - John Hancock Disciplined Value Mid Cap Fund	A	Dividend	K	T	Buy	05/21/14	K		
186. - Touchstone Small Cap Core Fund	B	Dividend	K	T	Buy	05/21/14	K		
187. - Voya MidCap Opportunities Fund	B	Dividend	K	T	Buy	05/21/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Artisan International Fund	A	Dividend	K	T	Buy	05/21/14	K		
189. - Dodge & Cox International Stock Fund	A	Dividend	K	T	Buy	05/21/14	K		
190. - Oakmark International Small Cap Fund	C	Dividend	K	T	Buy	05/21/14	K		
191. - Wasatch Emerging Markets Small Cap Fund	A	Dividend	K	T	Buy	05/21/14	K		
192. - Wasatch Frontier Emerging Small Countries Fund	A	Dividend	K	T	Buy	05/21/14	K		
193. - American Beacon Gbl Evol Frontier Markets Income Fund	B	Dividend	M	T	Buy	11/05/14	M		
194. - ASTON/River Road Independent Value Fund	C	Dividend	L	T	Buy	05/21/14	M		
195.					Sold (part)	11/04/14	L		
196. - MainStay Marketfield Fund		None	L	T	Buy	05/21/14	M		
197.					Sold (part)	11/04/14	L		
198. - UBS Alerian MLP Infrastructure ETN	B	Dividend	L	T	Buy	05/21/14	L		
199. - RiverPark Short Term High Yield Fund	A	Dividend	K	T	Buy	05/21/14	K		
200. - DoubleLine Total Return Bond Fund	B	Dividend	K	T	Buy	05/21/14	K		
201.					Sold (part)	11/20/14	J		
202. - Angel Oak Multi-Strategy Income Fund	B	Dividend	K	T	Buy	05/21/14	K		
203.					Sold (part)	11/20/14	J		
204. - Legg Mason Brandywine Alternative Credit Fund	A	Dividend	K	T	Buy	11/21/14	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Palmer Square Income Plus Fund	A	Dividend	K	T	Buy	05/21/14	K		
206. - Semper MBS Total Return Fund	A	Dividend			Buy	05/21/14	J		
207.					Sold	11/20/14	J	A	
208. IRA #2 (H)									
209. - Schwab U.S. Treasury Money Fund Account (Y)									
210. - Abbott Laboratories Common Stock	A	Dividend			Sold	05/19/14	J	C	
211. - Abbvie Inc. Common Stock	A	Dividend			Sold	05/19/14	J	D	
212. - CVS Caremark Corp. Common Stock	A	Dividend			Sold	05/19/14	K	D	
213. - iShares Treasury Bond ETF	A	Dividend			Sold	05/19/14	L	C	
214. - Stone Ridge Reinsurance Risk Premium Fund	B	Dividend	K	T	Buy (add'l)	05/21/14	J		
215. - BMO Small-Cap Growth Fund	A	Dividend	J	T	Buy	05/21/14	J		
216. - John Hancock Disciplined Value Mid Cap Fund	A	Dividend	J	T	Buy	05/21/14	J		
217. - Touchstone Small Cap Core Fund	A	Dividend	J	T	Buy	05/21/14	J		
218. - Voya MidCap Opportunities Fund	A	Dividend	J	T	Buy	05/21/14	J		
219. - Artisan International Fund	A	Dividend	J	T	Buy	05/21/14	J		
220.					Sold (part)	09/24/14	J	A	
221. - Dodge & Cox International Stock Fund	A	Dividend	J	T	Buy	05/21/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - Oakmark International Small Cap Fund	A	Dividend	J	T	Buy	05/21/14	J		
223. - Wasatch Emerging Markets Small Cap Fund	A	Dividend	J	T	Buy	05/21/14	J		
224.					Sold (part)	09/24/14	J	A	
225. - Wasatch Frontier Emerging Small Countries Fund	A	Dividend	J	T	Buy	05/21/14	J		
226. - American Beacon Gbl Evol Frontier Markets Income Fund	A	Dividend	K	T	Buy	11/05/14	K		
227. - ASTON/River Road Independent Value Fund	B	Dividend	K	T	Buy	05/21/14	K		
228.					Sold (part)	11/04/14	J		
229. - MainStay Marketfield Fund		None	K	T	Buy	05/21/14	K		
230.					Sold (part)	11/04/14	K		
231.					Sold (part)	09/24/14	J		
232. - UBS Alerian MLP Infrastructure ETN	A	Dividend	K	T	Buy	05/21/14	K		
233.					Sold (part)	09/24/14	J	A	
234. - RiverPark Short Term High Yield Fund	A	Dividend	J	T	Buy	05/21/14	J		
235. - DoubleLine Total Return Bond Fund	A	Dividend	J	T	Buy	05/21/14	J		
236.					Sold (part)	11/20/14	J		
237. - Angel Oak Multi-Strategy Income Fund	A	Dividend	J	T	Buy	05/21/14	J		
238.					Sold (part)	11/20/14	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. - Legg Mason Brandywine Alternative Credit Fund	A	Dividend	J	T	Buy	11/21/14	J		
240. - Palmer Square Income Plus Fund	A	Dividend	J	T	Buy	05/21/14	J		
241. - Semper MBS Total Return Fund	A	Dividend			Buy	05/21/14	J		
242.					Sold	11/20/14	J		
243. IRA #3 (H)									
244. - Schwab U.S. Treasury Money Fund (Y)									
245. - Abbott Laboratories Common Stock	A	Dividend			Sold	05/19/14	K	D	
246. - Abbvie Inc. Common Stock	A	Dividend			Sold	05/19/14	K	E	
247. - Bristol Myers Squibb Company Common Stock	A	Dividend			Sold	05/19/14	K	D	
248. - Comcast Corporation Common Stock	A	Dividend			Sold	05/19/14	K	E	
249. - CVS Caremark Corp. Common Stock	A	Dividend			Sold	05/19/14	L	E	
250. - iShares Barclays TIPS Bond Fund	A	Dividend			Sold	05/19/14	K	C	
251. - Schwab US Broad Market ETF	A	Dividend			Sold	05/19/14	K	C	
252. - Vanguard Inflation-Protected Securities Fund	A	Dividend			Sold	05/19/14	K	C	
253. - State of California Bonds	B	Interest			Sold	05/19/14	K	D	
254. - DirecTV Common Stock		None			Sold	05/19/14	J	C	
255. - Potash Corp Saskatchewan Inc. Common Stock	A	Dividend			Sold	05/19/14	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. - Stone Ridge Reinsurance Risk Premium Fund	D	Dividend	M	T	Buy (add'l)	05/21/14	K		
257. - U.S. Treasury Bonds	A	Interest			Sold	05/19/14	K	B	
258. - SPDR S&P 500 ETF	D	Dividend			Sold	05/19/14	O	G	
259. - BMO Small-Cap Growth Fund	C	Dividend	K	T	Buy	05/21/14	K		
260. - John Hancock Disciplined Value Mid Cap Fund	A	Dividend	K	T	Buy	05/21/14	K		
261. - Touchstone Small Cap Core Fund	B	Dividend	K	T	Buy	05/21/14	K		
262. - Voya MidCap Opportunities Fund	C	Dividend	K	T	Buy	05/21/14	K		
263. - Artisan International Fund	A	Dividend	L	T	Buy	05/21/14	L		
264. - Dodge & Cox International Stock Fund	B	Dividend	L	T	Buy	05/21/14	L		
265. - Oakmark International Small Cap Fund	C	Dividend	L	T	Buy	05/21/14	L		
266. - Wasatch Emerging Markets Small Cap Fund	A	Dividend	L	T	Buy	05/21/14	L		
267. - Wasatch Frontier Emerging Small Countries Fund	B	Dividend	L	T	Buy	05/21/14	L		
268. - American Beacon Gbl Evol Frontier Markets Income Fund	B	Dividend	M	T	Buy	11/05/14	M		
269. - ASTON/River Road Independent Value Fund	D	Dividend	M	T	Buy	05/21/14	M		
270.					Sold (part)	11/04/14	L		
271. - MainStay Marketfield Fund		None	M	T	Buy	05/21/14	M		
272.					Sold (part)	11/04/14	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. - UBS Alerian MLP Infrastructure ETN	C	Dividend	M	T	Buy	05/21/14	M		
274. - RiverPark Short Term High Yield Fund	B	Dividend	K	T	Buy	05/21/14	K		
275. - DoubleLine Total Return Bond Fund	B	Dividend	K	T	Buy	05/21/14	J		
276.					Sold (part)	11/20/14	J		
277. - Angel Oak Multi-Strategy Income Fund	B	Dividend	K	T	Buy	05/21/14	L		
278.					Sold (part)	11/20/14	K		
279. - Legg Mason Brandywine Alternative Credit Fund	B	Dividend	K	T	Buy	11/21/14	K		
280. - Palmer Square Income Plus Fund	B	Dividend	K	T	Buy	05/21/14	K		
281. - Semper MBS Total Return Fund	A	Dividend			Buy	05/21/14	J		
282.					Sold	11/20/14	J	A	
283. GC&H Investments Partnership	D	Distribution			Distributed (part)	05/01/14	J		
284.					Distributed	10/29/14	J		
285. GC&H Investments, LLC Partnership	D	Distribution			Distributed (part)	05/01/14	L		
286.					Distributed	10/29/14	K		
287. Jones Day Capital Account	D	Int./Div.	N	U					
288. Jones Day 2015 Fund	D	Int./Div.	N	T	Buy (add'l)	10/31/14	K		
289.					Buy (add'l)	11/26/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	12/31/14	K		
291. FSC Retreat at Conroe, Conroe, TX (2014 \$75,000)	B	Rent	L	R	Buy	07/07/14	L		
292. FSC Estates at Canyon Ridge, San Antonio, TX (2014 \$75,000)		None	L	R	Buy	10/27/14	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

(1) Part I, Line 3 - Trust #2 closed on 12/23/2013.

(2) Part VII. The 2013 Financial Disclosure Report (Nomination) was filed with an ending date of December 17, 2013, thus leaving a period at the end of 2013 where no report was required to be made. In order to reconcile between the 2013 and 2014 Financial Disclosure Reports, please note the below transactions, which occurred between 12/17/2013 and 12/31/2013.

(a) Part VII, Line 9 - AT&T Common Stock was sold in full on 12/23/2013.

(b) Part VII, Lines 106-118 - These holdings (bonds and cash) were transferred from Brokerage #3 into Brokerage #2 on December 23, 2013.

(c) Part VII, Line 152 and Line 178 - This security was purchased on 12/17/2013.

(3) Part VII, Line 179 - IRA #1 received a rollover transfer from JRS II pension on 05/16/2014 in the amount of \$701,969.50. These funds were the source of the new buy transactions in the account.

(4) Part VII, Line 119 - Trust #2 closed on 12/23/2013.

(5) Part VII, Line 153 - Inadvertently omitted asset in [REDACTED] trust.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Beth L. Freeman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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