

UNITED STATES COURT OF APPEALS

FOR THE NINTH CIRCUIT
JAMES R. BROWNING UNITED STATES COURTHOUSE
P.O. BOX 193939
95 SEVENTH STREET
SAN FRANCISCO, CA 94119-3939
TEL (415) 355-8140
[REDACTED]

CHAMBERS OF
WILLIAM A. FLETCHER
CIRCUIT JUDGE

June 28, 2007

The Honorable Ortrie D. Smith
Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

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FINANCIAL
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Dear Judge Smith:

Thank you for your letter of June 20, 2007, inquiring about my 2006 annual financial disclosure report. You inquired about Part VII, noting that you could not find entries in that part corresponding to the one entry as a trustee of a [REDACTED] trust in Part I, and two entries as a custodian of a [REDACTED] account in Part I.

Entry # 3 in Part VII ("Dreyfus Money Market") is the entry for the assets held as a trustee for a [REDACTED] trust. Entries # 4 and 5 in Part VII ("Dreyfus Money Market" and "Franklin Templeton Money Market") are the entries for the assets held as custodian of [REDACTED] account.

I hope this is responsive to your inquiry. If you need further information, please do not hesitate to write again.

Sincerely,

[REDACTED]

William A. Fletcher

WAF:uk

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fletcher, William A	2. Court or Organization US Court of Appeals 9th Circui	3. Date of Report 05/04/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address 95 Seventh Street San Francisco, CA 94103	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor	University of California, Berkeley, California
2. Trustee	[REDACTED] Trust
3. Custodian	[REDACTED] Account
4. Custodian	[REDACTED] Account
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 01/07/77	University of California Pension (vested; no control)
2. 04/05/95	U.C. Berkeley Law School; Agreement to teach one course per academic year; salary within 15% guideline.
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Fletcher, William A

Date of Report

05/04/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2006	University of California Berkeley, Compensation for teaching	\$ 19,999.98
2. 2006	Harcourt Professional Group; Royalties	\$ 93.00
3. 2006	Golden Gate University, compensation for lecturing	\$ 1380.26
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. University of Virginia	4/19/06 to 4/21/06 - Lecture (Charlottesville, VA) - Transportation, meals and room for myself
2. Yale Law School	12/11/06 to 12/12/06 - Moot Court (New Haven, CT) - Transportation, meals and room for myself
3.	
4.	

FINANCIAL DISCLOSURE REPORT
Page 3 of 7

Name of Person Reporting

Fletcher, William A

Date of Report

05/04/2007

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Fletcher, William A

Date of Report

05/04/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Fletcher, William A

Date of Report

05/04/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Dreyfus Money Market	B	Interest	M	T					
2. Wells Fargo Keogh Account; CD	A	Interest	J	T					
3. Dreyfus Money Market	A	Interest	J	T					
4. Dreyfus Money Market	A	Interest	J	T					
5. Franklin Templeton Money Market	A	Interest	J	T					
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Fletcher, William A

Date of Report

05/04/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Fletcher, William A

Date of Report

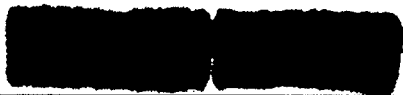
05/04/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

May 4, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544