

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Papalia, Vincent F.	2. Court or Organization United States Bankruptcy Court For District of New Jersey	3. Date of Report 12/03/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 08/01/2015
7. Chambers or Office Address M.L. King Jr. Federal Bldg. 50 Walnut Street Newark, NJ 07102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Mendham Lakes Estates Homeowners Association, Inc. (Nonprofit Homeowners Association). Resigned October 2014.
2. Co-Executor	Estate No. 1
3. Equity Partner (Withdrew 12/28/14)	Saiber LLC
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1/1/2015	Saiber LLC - Return of Capital - Bi-Weekly payments over 2 years, no control
2. 1/1/2015	Saiber LLC - Retirement Benefit - Bi-Weekly payments over 5 years, no control
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 1/1/2015	Saiber L.L.C. - Return of Capital (Pursuant to Membership Agreement)	\$66,443.00
2. 1/1/2015	Saiber L.L.C. - Return of Benefit (Pursuant to Membership Agreement)	\$27,664.00
3. 12/31/2014	Saiber L.L.C. - Annual Income	\$335,000.00
4. 12/31/2013	Saiber L.L.C. - Annual Income	\$357,000.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	County College of Morris - salary
2. 2014	Ramapo College- salary
3. 2015	Ramapo College - salary
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. EXEMPT				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	EXEMPT		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	PNC	Summer House Mortgage (1/2 ownership and liability)	N
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCT -J (H)									
2. - FIDELITY PRIME FUND CAPITAL RESERVES	A	Int./Div.	J	T	Open	07/31/14	M		
3. - FPA CRESCENT FUND	A	Int./Div.	K	T	Buy	08/14/14	J		
4.					Buy (add'l)	08/28/14	J		
5.					Buy (add'l)	09/29/14	J		
6.					Buy (add'l)	10/28/14	J		
7.					Buy (add'l)	11/28/14	J		
8.					Buy (add'l)	12/29/14	J		
9.					Buy (add'l)	01/28/15	J		
10. - LORD ABBETT MULTI ASSET INCOME FUND F	B	Int./Div.	K	T	Buy	08/14/14	J		
11.					Buy (add'l)	09/18/14	J		
12.					Buy (add'l)	10/20/14	J		
13.					Buy (add'l)	11/18/14	J		
14.					Buy (add'l)	12/18/14	J		
15.					Buy (add'l)	01/20/15	J		
16.					Buy (add'l)	02/18/15	J		
17. - BLACKROCK MULTI ASSET INCOME PORT A	B	Int./Div.	K	T	Buy	08/14/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	09/08/14	J		
19.					Buy (add'l)	10/08/14	J		
20.					Buy (add'l)	11/10/14	J		
21.					Buy (add'l)	12/08/14	J		
22.					Buy (add'l)	01/08/15	J		
23.					Buy (add'l)	02/09/15	J		
24. IRA ROLLOVER BROKERAGE ACCT (H)									
25. - FIDELITY PRIME FUND CAPITAL RESERVES	A	Dividend	L	T	Buy	02/24/15	N		
26. - FPA CRESCENT FUND	A	Dividend	K	T	Buy	04/06/15	K		
27. - LORD ABBETT CALIBRAT MID CAP VALUE F	A	Dividend	K	T	Buy	04/01/15	K		
28. - LORD ABBETT GROWTH LEADERS FUND F	A	Dividend	K	T	Buy	04/01/15	K		
29.					Buy (add'l)	04/27/15	K		
30. - VANGUARD BALANCED INDEX INVESTOR	A	Dividend	K	T	Buy	04/10/15	K		
31. - BLACKROCK HIGH YIELD BOND A	A	Dividend			Buy	04/06/15	K		
32.					Buy (add'l)	04/21/15	K		
33.					Sold	07/15/15	K		
34. AMFUNDS AMERICAN BALANCED F1	A	Dividend	K	T	Buy	05/19/15	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Buy (add'l)	07/15/15	K		
36. INDIV TOD BROKERAGE ACCT -S (H)									
37. - FIDELITY PRIME FUND CAPITAL RESERVES	A	Int./Div.	J	T	Buy	08/18/14	M		
38. - DEUTSCHE GLOBAL INFRASTRUCTURE A	B	Int./Div.	K	T	Buy	08/19/14	J		
39.					Buy (add'l)	08/28/14	J		
40.					Buy (add'l)	09/29/14	J		
41.					Buy (add'l)	10/28/14	J		
42.					Buy (add'l)	11/28/14	J		
43. - FPA CRESCENT FUND	B	Int./Div.	K	T	Buy	08/19/14	J		
44.					Buy (add'l)	09/15/14	J		
45.					Buy (add'l)	10/14/14	J		
46.					Buy (add'l)	11/14/14	J		
47.					Buy (add'l)	12/15/14	J		
48.					Buy (add'l)	12/26/14	J		
49. - BLACKROCK MULTI ASSET INCOME PORT A	B	Int./Div.	K	T	Buy	09/02/14	K		
50. - PIMCO INOCME FUND A	B	Int./Div.	K	T	Buy	08/21/14	K		
51. -AT&T	A	Dividend	J	T	Buy	01/23/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. -ALTRIA GROUP	A	Dividend			Sold	01/23/15	J		
53. -BRISTOL MYERS SQUIBB	A	Dividend	J	T					
54. -CHEMOURS CO.	A	Dividend	J	T	Distributed (part)	07/01/15	J		
55.					Buy	07/17/15	J		
56. -DOW CHEMICAL CO	A	Dividend	J	T					
57. -DU PONT	A	Dividend	J	T					
58. -EXXON MOBIL	A	Dividend	J	T					
59. -HOME DEPOT	A	Dividend	J	T					
60. -INTEL CORP	A	Dividend	J	T					
61. -MERCK & CO	A	Dividend	J	T					
62. -PHILIP MORRIS	A	Dividend	J	T					
63. -PROCTOR & GAMBLE	A	Dividend	J	T					
64. -SUBRUBAN PROPAN	A	Dividend	J	T					
65. -TRANSOCEAN LTD	A	Dividend	J	T	Buy	10/01/14	J		
66. -VALLEY NATIONAL	A	Dividend	J	T					
67. -WEIGHT WATCHERS	A	Dividend			Sold	10/01/14	J		
68. BANK ACCOUNT - SANTANDER -S	B	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. IRA - PNC (H)									
70. -AMERICAN BALANCED A	A	Int./Div.	J	T	Buy	01/29/15	J		
71. IRA - PNC -S (H)									
72. -AMERICAN BALANCED A	A	Int./Div.	J	T	Buy	01/29/15	J		
73. 529 PLAN - FRANKLIN TEMPLETON (H)									
74. -GROWTH ALLOCATION C	A	Int./Div.	J	T	Sold (part)	01/09/14	J		
75.					Sold	05/27/14	J		
76.					Sold	08/06/14	J		
77.					Sold	12/01/14	J		
78.					Sold	01/21/15	J		
79.					Sold	03/16/15	J		
80.					Buy (add'l)	01/06/14	J		
81.					Buy (add'l)	02/05/14	J		
82.					Buy (add'l)	03/05/14	J		
83.					Buy (add'l)	04/07/14	J		
84.					Buy (add'l)	05/05/14	J		
85.					Buy (add'l)	06/05/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86.					Buy (add'l)	07/07/14	J		
87.					Buy (add'l)	08/05/14	J		
88.					Buy (add'l)	09/05/14	J		
89.					Buy (add'l)	10/06/14	J		
90.					Buy (add'l)	11/05/14	J		
91.					Buy (add'l)	12/05/14	J		
92.					Buy (add'l)	01/05/15	J		
93.					Buy (add'l)	02/05/15	J		
94.					Buy (add'l)	03/05/15	J		
95.					Buy (add'l)	04/06/15	J		
96.					Buy (add'l)	05/05/15	J		
97.	TIAA CREF (H)								
98.	-MONEY MARKET	A	Int./Div.	K	T	Open	09/14/14	K	
99.	IRA - REGAL (H)								
100.	-MONEY MARKET	A	Int./Div.	J	T				
101.	-LEVEL 3 COMM	A	Dividend	J	T				
102.	-NEONODE	A	Dividend	J	T				

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103. IRA - REGAL -S (H)									
104. -MONEY MARKET	A	Int./Div.	J	T					
105. -VICAL INC	A	Dividend	J	T					
106. 401(K) SAIBER (H)									
107. -BLACKROCK GLOBAL SMALLCAP A	A	Int./Div.			Buy (add'l)	03/31/14	J		
108.					Buy (add'l)	06/30/14	J		
109.					Buy (add'l)	09/30/14	J		
110.					Buy (add'l)	12/31/14	J		
111.					Distributed	02/24/15	J		
112. -HARBOR BOND INST	A	Int./Div.			Distributed	02/24/15	K		
113. -HARBOR CAP APPRECIATION INV	A	Int./Div.			Buy (add'l)	03/31/14	J		
114.					Buy (add'l)	06/30/14	J		
115.					Buy (add'l)	09/30/14	J		
116.					Buy (add'l)	12/31/14	J		
117.					Distributed	02/24/15	J		
118. -MORLEY STABLE VALUE	A	Int./Div.			Distributed	02/24/15	L		
119. -PIMCO REAL RETURN D	A	Int./Div.			Buy (add'l)	03/31/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Papalia, Vincent F.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	06/30/14	J		
121.					Buy (add'l)	09/30/14	J		
122.					Buy (add'l)	12/31/14	J		
123.					Distributed	02/24/15	K		
124. -T.ROWE PRICE RTMT 2020	A	Int./Div.			Buy (add'l)	03/31/14	J		
125.					Buy (add'l)	06/30/14	J		
126.					Buy (add'l)	09/30/14	J		
127.					Buy (add'l)	12/31/14	J		
128.					Distributed	02/24/15	N		
129. -VANGUARD INT-TERM TREASURY ADM	A	Int./Div.			Distributed	02/24/15	J		
130. PNC BANK ACCOUNT -J	A	Interest							
131. Deutsche X Trackers MSCI (Common) (Symbol - DBEU)		None	J	T	Buy	03/05/15	J		
132.	A	Dividend	J	T	Buy	06/30/15	J		
133. Deutsche X Trackers MSCI EAFE (Common) (Symbol - DBEF)		None	J	T	Buy	03/05/15	J		
134.	A	Dividend	J	T	Buy	06/30/15	J		
135. First Trust Preferred (Common) (Symbol FPE)	A	Dividend			Buy	03/05/15	J		
136.	A	Dividend			Sold	06/30/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Papalia, Vincent F.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Guggenheim S&P 500 (Common) (Symbol RYT)	A	Dividend	J	T	Buy	03/05/15	J		
138.	A	Dividend	J	T	Buy	06/30/15	J		
139. iShares (Common) (Symbol EEMA)		None			Buy	03/05/15	J		
140.	A	Dividend			Sold	06/30/15	J		
141. iShares 1 to 3 Treasury (Common) (Symbol SHY)	A	Dividend			Buy	03/05/15	J		
142.	A	Dividend			Sold	06/30/15	J		
143. iShares 3 to 7 Treasury (Common) (Symbol IEI)	A	Dividend			Buy	03/05/15	J		
144.	A	Dividend			Sold	06/30/15	J		
145. iShares Core S&P 500 ETF (Common) (Symbol IVV)	A	Dividend	K	T	Buy	03/05/15	K		
146.	A	Dividend	J	T	Buy	07/13/15	K		
147. iShares Core S&P MidCap (Common) (Symbol IJH)	A	Dividend	J	T	Buy	03/05/15	J		
148.	A	Dividend	J	T	Buy	07/13/15	J		
149. iShares iBoxx \$ High Yield (Common) (Symbol HYG)	A	Dividend	J	T	Buy	03/05/15	J		
150.	A	Dividend	J	T	Buy	06/30/15	J		
151. iShares iBoxx \$ Investment (Common) (Symbol LQD)	A	Dividend	K	T	Buy	03/05/15	K		
152.	A	Dividend			Sold	06/30/15	J		
153.			J	T	Buy	07/13/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Papalia, Vincent F.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. IShares International (Common) (Symbol IDV)	A	Dividend			Buy	03/05/15	J		
155.	A	Dividend			Sold	06/30/15	J		
156. IShares JP Morgan USD (Common) (Symbol EMB)	A	Dividend	J	T	Buy	03/05/15	J		
157.	A	Dividend			Sold	06/30/15	J		
158.			J	T	Buy	07/13/15	J		
159. IShares US Financial Svcs (Common) (Symbol IYG)	A	Dividend			Buy	03/05/15	J		
160.	A	Dividend			Sold	06/30/15	J		
161. IShares Core S&P (Symbol IJR)		None	J	T	Buy	07/13/15	J		
162. IShares Core (Symbol GOVT)		None	J	T	Buy	07/13/15	J		
163. Power Shares Exchange Symbol PBS		None	J	T	Buy	06/30/15	J		
164. Vanguard Sector SPDR FD (Common) (Symbol XLG)	A	Dividend			Buy	03/05/15	J		
165.	A	Dividend			Sold	06/30/15	J		
166. Vanguard FTSE Developed (Common) (Symbol VEA)	A	Dividend	J	T	Buy	03/05/15	J		
167.	A	Dividend	J	T	Buy	06/30/15	J		
168.			J	T	Buy	07/13/15	K		
169. Vanguard FTSE Emerging (Symbol VWO)		None	J	T	Buy	07/13/15	J		
170. Vanguard Short Term Symbol VCSH	A	Dividend	J	T	Buy	06/30/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

171. Vanguard Total International ETF (Symbol BNDX)	A	Dividend	J	T	Buy	03/05/15	J		
172.	A	Dividend	J	T	Buy	06/30/15	J		
173.			J	T	Buy	07/13/15	J		
174. RBC Branch Sweep Program		None	J	T	Buy	03/05/15	J		
175. Bank of Montreal (Common) (Symbol - BMO)	A	Dividend			Buy	03/05/15	J		
176.					Buy (add'l)	03/13/15	J		
177.					Buy (add'l)	04/17/15	J		
178.					Buy (add'l)	05/26/15	J		
179.					Sold	07/09/15	J		
180. Bank of Nova Scotia (Common) (Symbol - BNS)	A	Dividend			Buy	03/05/15	J		
181.					Buy (add'l)	03/13/15	J		
182.					Buy (add'l)	04/17/15	J		
183.					Buy (add'l)	05/26/15	J		
184.	A	Dividend			Sold	07/09/15	J		
185. Baytex Energy (Common) (Symbol BTE)		None			Buy	03/05/15	J		
186.					Sold	03/10/15	J		
187. BCE Inc. (Common) (Symbol BCE)	A	Dividend			Buy	03/05/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Papalia, Vincent F.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	03/13/15	J		
189.					Buy (add'l)	04/17/15	J		
190.					Buy (add'l)	05/26/15	J		
191.	A	Dividend			Sold	07/09/15	J		
192. GlaxoSmithKline PLC (Common) (Symbol GSK)		None			Buy	03/10/15	J		
193.					Buy (add'l)	03/13/15	J		
194.					Buy (add'l)	04/17/15	J		
195.					Buy (add'l)	05/26/15	J		
196.	A	Dividend			Sold	07/09/15	J		
197. HSBC Holdings PLC (Common) (Symbol HSBC)		None			Buy	03/05/15	J		
198.					Buy (add'l)	03/13/15	J		
199.					Buy (add'l)	04/17/15	J		
200.					Buy (add'l)	05/26/15	J		
201.	A	Dividend			Sold	07/09/15	J		
202. Royal Dutch Shell PLC (Common) (Symbol RDSB)	A	Dividend			Buy	03/05/15	J		
203.					Buy (add'l)	03/13/15	J		
204.					Buy (add'l)	04/17/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Papalia, Vincent F.

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12/03/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	05/26/15	J		
206.	A	Dividend			Sold	07/09/15	J		
207. Toronto Dominion Bank (Common) (Symbol TD)	A	Dividend			Buy	03/05/15	J		
208.					Buy (add'l)	03/13/15	J		
209.					Buy (add'l)	04/17/15	J		
210.					Buy (add'l)	05/26/15	J		
211.	A	Dividend			Sold	07/09/15	J		
212. Delaware Pooled Trust Diversified Income FD CIA (Symbol DPDEFX)	A	Dividend			Buy	03/05/15	J		
213.					Buy (add'l)	03/13/15	J		
214.					Buy (add'l)	04/17/15	J		
215.		None			Buy	05/26/15	J		
216.		None			Sold	03/11/15	J		
217.	A	Dividend			Sold	07/09/15	J		
218. Fidelity Advisor Strategic Income Fund CL I (Symbol - FSRIX)	A	Dividend			Buy	03/05/15	J		
219.					Buy (add'l)	03/13/15	J		
220.					Buy (add'l)	04/17/15	J		
221.					Buy (add'l)	05/26/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Papalia, Vincent F.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.		None			Sold	03/10/15	J		
223.	A	Dividend			Sold	07/09/15	J		
224. Invesco Balanced Risk Alloc Y (Symbol ABRYX)		None			Buy	03/05/15	J		
225.					Buy (add'l)	03/13/15	J		
226.					Buy (add'l)	04/17/15	J		
227.					Buy (add'l)	05/26/15	J		
228.		None			Sold	03/10/15	J		
229.					Sold	07/09/15	J		
230. Oppenheimer Intl BD FD Class Y (Symbol OIBYX)	A	Dividend			Buy	03/05/15	J		
231.					Buy (add'l)	03/13/15	J		
232.					Buy (add'l)	04/17/15	J		
233.					Buy (add'l)	05/26/15	J		
234.		None			Sold	03/10/15	J		
235.	A	Dividend			Sold	07/09/15	J		
236. Thornburg Strategic Income FD CL I SHS (Symbol - TS11X)	A	Dividend			Buy	03/05/15	J		
237.					Buy (add'l)	04/01/15	J		
238.					Buy (add'l)	04/17/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Buy (add'l)	05/26/15	J		
240.		None			Sold	03/10/15	J		
241.	A	Dividend			Sold	07/09/15	J		
242. 3M Company (Common) (Symbol MMM)	A	Dividend			Buy	03/05/15	J		
243.					Buy (add'l)	03/13/15	J		
244.					Buy (add'l)	04/17/15	J		
245.					Buy (add'l)	06/12/15	J		
246.	A	Dividend			Sold	07/09/15	J		
247. Altria Group Inc. (Common) (Symbol MO)	A	Dividend			Buy	03/05/15	J		
248.					Buy (add'l)	03/13/15	J		
249.					Buy (add'l)	04/17/15	J		
250.					Buy (add'l)	05/26/15	J		
251.	A	Dividend			Sold	07/09/15	J		
252. American Electric Power Co. (Common) (Symbol AEP)	A	Dividend			Buy	03/05/15	J		
253.					Buy (add'l)	03/13/15	J		
254.					Buy (add'l)	04/17/15	J		
255.					Buy (add'l)	05/26/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Papalia, Vincent F.

Date of Report

12/03/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.	A	Dividend			Sold	07/09/15	J		
257. AT&T Inc. (Common) (Symbol T)	A	Dividend			Buy (add'l)	03/05/15	J		
258.					Buy (add'l)	03/13/15	J		
259.					Buy (add'l)	04/17/15	J		
260.					Buy (add'l)	05/26/15	J		
261.					Sold	07/09/15	J		
262. Automatic Data Processing (Common) (Symbol ADP)	A	Dividend			Buy	03/05/15	J		
263.					Buy (add'l)	03/13/15	J		
264.					Buy (add'l)	04/17/15	J		
265.					Buy (add'l)	05/26/15	J		
266.		None			Sold	03/10/15	J		
267.	A	Dividend			Sold	07/09/15	J		
268. Chevron Corporation (Common) (Symbol CVX)	A	Dividend			Buy	03/05/15	J		
269.					Buy (add'l)	03/13/15	J		
270.					Buy (add'l)	04/17/15	J		
271.					Buy (add'l)	05/26/15	J		
272.	A	Dividend			Sold	07/09/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Papalia, Vincent F.

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12/03/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. Coca Cola Company (Common) (Symbol KO)	A	Dividend			Buy	03/05/15	J		
274.					Buy (add'l)	03/13/15	J		
275.					Buy (add'l)	04/17/15	J		
276.					Buy (add'l)	05/26/15	J		
277.	A	Dividend			Sold	07/09/15	J		
278. Dow Chemical Co. (Common) (Symbol DOW.N)	A	Dividend			Buy	03/05/15	J		
279.					Buy (add'l)	03/13/15	J		
280.					Buy (add'l)	04/17/15	J		
281.					Buy (add'l)	05/26/15	J		
282.	A	Dividend			Sold	07/09/15	J		
283. Eli Lilly & Co. (Common) (Symbol LLY)	A	Dividend			Buy	03/05/15	J		
284.					Buy (add'l)	03/13/15	J		
285.					Buy (add'l)	04/17/15	J		
286.					Buy (add'l)	05/26/15	J		
287.	A	Dividend			Sold	07/09/15	J		
288. Energy Transfer Partners LP (Common) (Symbol ETP)		None			Buy	03/05/15	J		
289.					Buy (add'l)	03/10/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Papalia, Vincent F.

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12/03/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	03/13/15	J		
291.					Buy (add'l)	04/17/15	J		
292.					Buy (add'l)	05/26/15	J		
293.					Sold	07/09/15	J		
294. Enbridge Energy Partners LP (Common) (Symbol EEP)		None			Buy	03/05/15	J		
295.					Buy (add'l)	03/13/15	J		
296.					Buy (add'l)	04/17/15	J		
297.					Buy (add'l)	05/26/15	J		
298.					Sold	07/09/15	J		
299. Enterprise Products Partners (Common) (Symbol EPD)		None			Buy	03/05/15	J		
300.					Buy (add'l)	03/13/15	J		
301.					Buy (add'l)	04/17/15	J		
302.					Buy (add'l)	05/26/15	J		
303.					Sold	07/09/15	J		
304. Exxon Mobile Corp. (Common) (Symbol XOM)	A	Dividend			Buy	03/05/15	J		
305.					Buy (add'l)	03/13/15	J		
306.					Buy (add'l)	04/17/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Papalia, Vincent F.

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12/03/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

307.					Buy (add'l)	05/26/15	J		
308.	A	Dividend			Sold	07/09/15	J		
309. General Electric Co. (Common) (Symbol GE)		None			Buy	03/05/15	J		
310.					Buy (add'l)	03/13/15	J		
311.					Buy (add'l)	04/17/15	J		
312.					Buy (add'l)	05/26/15	J		
313.		None			Sold	03/10/15	J		
314.	A	Dividend			Sold	07/09/15	J		
315. Health Care Reit Inc. (Common) (Symbol HCN)	A	Dividend			Buy	03/05/15	J		
316.					Buy (add'l)	03/13/15	J		
317.					Buy (add'l)	04/17/15	J		
318.					Buy (add'l)	05/26/15	J		
319.					Sold	07/09/15	J		
320. Johnson & Johnson (Symbol JNJ)	A	Dividend			Buy	03/05/15	J		
321.					Buy (add'l)	04/17/15	J		
322.					Buy (add'l)	05/26/15	J		
323.	A	Dividend			Sold	07/09/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Papalia, Vincent F.

Date of Report

12/03/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. Kinder Morgan Inc. (Common) (Symbol KMI)	A	Dividend			Buy	03/05/15	J		
325.					Buy (add'l)	03/13/15	J		
326.					Buy (add'l)	04/17/15	J		
327.					Buy (add'l)	05/26/15	J		
328.					Sold	07/09/15	J		
329. Lockheed Martin Corp. (Common) (Symbol LMT)		None			Buy	03/05/15	J		
330.					Buy (add'l)	03/13/15	J		
331.					Buy (add'l)	04/17/15	J		
332.					Buy (add'l)	05/26/15	J		
333.	A	Dividend			Sold	07/09/15	J		
334. Lorillard Inc. (Common) (Symbol LO)		None			Buy	03/05/15	J		
335.					Sold	03/10/15	J		
336. McDonalds Corp. (Common) (Symbol MCD)	A	Dividend			Buy	03/05/15	J		
337.					Buy (add'l)	04/17/15	J		
338.					Buy (add'l)	05/26/15	J		
339.					Buy (add'l)	06/15/15	J		
340.	A	Dividend			Sold	07/09/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Papalia, Vincent F.

Date of Report

12/03/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. Merck & Co. Inc. (Common) (Symbol MRK)	A	Dividend			Buy	03/05/15	J		
342.					Buy (add'l)	03/13/15	J		
343.					Buy (add'l)	04/17/15	J		
344.					Buy (add'l)	05/26/15	J		
345.		None			Sold	03/10/15	J		
346.	A	Dividend			Sold	07/09/15	J		
347. Microchip Technology Inc. (Common) (Symbol MCHP)	A	Dividend			Buy	03/05/15	J		
348.					Buy (add'l)	03/13/15	J		
349.					Buy (add'l)	04/17/15	J		
350.					Buy (add'l)	05/26/15	J		
351.	A	Dividend			Sold	07/09/15	J		
352. RBC Branch Sweep Program		None	M	T	Buy	03/15/15	M		
353. Natl Retail Prop. Inc. (Common) Symbol NNN)	A	Dividend			Buy	03/05/15	J		
354.					Buy (add'l)	03/13/15	J		
355.					Buy (add'l)	04/17/15	J		
356.					Buy (add'l)	05/26/15	J		
357.					Sold	07/09/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Papalia, Vincent F.

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12/03/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. Nextera Energy Inc. (Common) (Symbol NEE)	A	Dividend			Buy	03/05/15	J		
359.					Buy (add'l)	03/13/15	J		
360.					Buy (add'l)	04/17/15	J		
361.					Buy (add'l)	05/26/15	J		
362.	A	Dividend			Sold	07/09/15	J		
363. Philip Morris International (Common) (Symbol PM)	A	Dividend			Buy	03/05/15	J		
364.					Buy (add'l)	03/13/15	J		
365.					Buy (add'l)	04/17/15	J		
366.					Buy (add'l)	05/26/15	J		
367.	A	Dividend			Sold	07/09/15	J		
368. Plains All American Pipeline (Common) (Symbol PAA)		None			Buy	03/05/15	J		
369.					Buy (add'l)	03/13/15	J		
370.					Buy (add'l)	04/17/15	J		
371.					Buy (add'l)	05/26/15	J		
372.					Sold	07/09/15	J		
373. Plum Creek Timber Co. Inc. (Common) (Symbol PCL)	A	Dividend			Buy	03/05/15	J		
374.		None			Sold	03/10/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Papalia, Vincent F.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Buy	03/13/15	J		
376.					Buy (add'l)	04/17/15	J		
377.					Buy (add'l)	05/26/15	J		
378.					Sold	07/09/15	J		
379. Proctor & Gamble Co. (Common) (Symbol PG)	A	Dividend			Buy	03/05/15	J		
380.					Buy (add'l)	03/13/15	J		
381.					Buy (add'l)	04/17/15	J		
382.					Buy (add'l)	05/26/15	J		
383.					Sold	07/09/15	J		
384. Qualcomm Inc (Common) (Symbol QCom)	A	Dividend			Buy	03/05/15	J		
385.		None			Sold	03/10/15	J		
386.					Buy	03/13/15	J		
387.					Buy (add'l)	04/17/15	J		
388.					Buy (add'l)	05/26/15	J		
389.	A	Dividend			Sold	07/09/15	J		
390. Reynolds American Inc. (Common) (Symbol RAI)		None			Buy	03/10/15	J		
391.					Buy (add'l)	03/13/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Buy (add'l)	04/17/15	J		
393.					Buy (add'l)	05/26/15	J		
394.	A	Dividend			Sold	07/09/15	J		
395. Simon Property Group Inc. (Common) (Symbol SPG)	A	Dividend			Buy	03/10/15	J		
396.					Buy (add'l)	03/13/15	J		
397.					Buy (add'l)	04/17/15	J		
398.					Buy (add'l)	05/26/15	J		
399.					Sold	07/09/15	J		
400. SPDR (Symbol JNK)		None	J	T	Buy	07/13/15	J		
401. United Parcel Svc. Inc. (Common) (Symbol UPS)	A	Dividend			Buy	03/05/15	J		
402.					Buy (add'l)	03/13/15	J		
403.					Buy (add'l)	04/17/15	J		
404.					Buy (add'l)	05/26/15	J		
405.	A	Dividend			Sold	07/09/15	J		
406. United Health Group Inc. (Common) (Symbol UNH)	A	Dividend			Buy	03/05/15	J		
407.					Buy (add'l)	03/13/15	J		
408.					Buy (add'l)	04/17/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Buy (add'l)	05/26/15	J		
410.	A	Dividend			Sold	07/09/15	J		
411. Ventas Inc. (Common) (Symbol VTR)		None	J	T	Buy	03/05/15	J		
412.					Buy (add'l)	03/10/15	J		
413. VF Corporation (Common) (Symbol VFC)	A	Dividend			Buy	03/05/15	J		
414.					Buy (add'l)	03/13/15	J		
415.					Buy (add'l)	04/17/15	J		
416.					Buy (add'l)	05/26/15	J		
417.	A	Dividend			Sold	07/09/15	J		
418. Wells Fargo & Co. (Common) (Symbol WFC)	A	Dividend			Buy	03/05/15	J		
419.					Buy (add'l)	03/13/15	J		
420.					Buy (add'l)	04/17/15	J		
421.					Buy (add'l)	05/26/15	J		
422.	A	Dividend			Sold	07/09/15	J		
423. CO-EXECUTOR OF ESTATE NO. 1 (H)									
424. --MORGAN STANLEY ACCOUNT									
425. ---Public Service Enterprise Group	B	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Papalia, Vincent F.

Date of Report

12/03/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. ---Eversource Energy Com	A	Dividend	J	T					
427. ---Five Star Quality Care		None	J	T					
428. ---Penn West Pete Ltd.	A	Dividend	J	T					
429. ---Senior Housing Property Trust	A	Dividend	J	T					
430. ---Apollo Investment Corp.	A	Dividend	J	T					
431. ---Ares Capital Corp.	A	Dividend	J	T					
432. ---Royal Globe Trust, Inc.	A	Dividend	J	T					
433. ---Royal Value Trust, Inc.	A	Dividend	J	T					
434. ---Cash		None	J	T					
435. --DREYFUS GNMA FUND	A	Dividend	J	T					
436. --TD BANK ESTATE ACCOUNT	A	Int./Div.	K	T					
437. Vac/Rent. Prpt., Seaside Park, NJ (Purchase dd. 3/05; price-\$590,000)	E	Rent	N	R					
438.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Papalia, Vincent F.

Date of Report

12/03/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I. Positions:

Line 1. I was the Trustee of my Homeowners Association with respect to my residence. There are no trust assets, and there is no real trust. The Homeowners Association is charged with maintaining the common areas of the Association, in which each property owner owns an undivided common interest.

Line 2. I am also co-executor of Estate No. 1. The assets in the estate are reported in Section VII.

VI. Liabilities and VII. Investments and Trusts: Vacation/rental property in Seaside Park is jointly and equally owned (50-50) by [REDACTED] and [REDACTED]. This property was purchased in March 2005 for \$590,000. We rent the property about 5-6 weeks a year. Also, property-related expenses (including mortgage, insurance, taxes, etc.) are shared equally.

VII. Investments and Trusts (Column D): Because this is my initial report, I understand I am exempt from completing section VII (Column D). However, my financial advisor assisting me with preparing this report indicated that it would be helpful to complete that section at this time so as to make it easier to complete next year's Disclosure Report.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Vincent F. Papalia**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544