

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dickson, Joseph A.	2. Court or Organization District Court - New Jersey	3. Date of Report 5/10/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2011 to 12/31/2011
7. Chambers or Office Address Martin Luther King, Jr. Courthouse & Federal Bldg. 50 Walnut Street P.O. Box 999 Newark, New Jersey 07102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011 _____

(Salary)

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		Christmas Gift	\$590.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ING PHR 026 401K									
2. -Bonds Alliance Bern f/k/a ING Opp Glb Strat Inc.	A	Dividend	K	T					
3. -Fidelity VIP Contrafund Port - Init		None	K	T					
4. -Lord Abbett Mid-Cap Value Fund - A		None	K	T					
5. -Lord Abbett Small-Cap Fund - A		None	K	T					
6. -Templeton Foreign Fund - A		None	J	T					
7. Bank of America Accounts (cash)	A	Interest	L	T					
8. Morgan Stanley Smith Barney (IRA)									
9. -Morgan Stanley Bank N.A. cash	E	Interest	K	T					
10. -Citibank NA South Dakota Bank Deposit program cash (Y)									
11. -Amgen Inc. (stock)	A	Dividend	J	T					
12. -AT&T (stock)	A	Dividend			Sold	04/18/11	J	A	
13. -Exxon Mobile Corp. (stock)	A	Dividend	J	T					
14. -Mastercard Inc. CL A (stock)	A	Dividend			Sold	03/22/11	J	A	
15. -Vanguard Financials ETF (VHF) (stock)		None	J	T					
16. -Allianceber Intl Value C (ABICX) mutual fund		None	J	T					
17. -Legg Mason Capmdmt Opport C (LMOPX) mutual fund		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Loomis Sayles Strat Inc C (NECZX) mutual fund	A	Dividend	J	T					
19. -Equitable Life AXA Equitable - Elite 07 annuity		None	J	T					
20. -Metlife Investors Class L annuity		None	K	T					
21. Met Life 403(b) Savings Plan									
22. -Am Funds Gbl SC mutual fund		None	J	T					
23. -American Funds GI Fund mutual fund		None	J	T					
24. -Harris Oakmark Intl mutual fund		None	J	T					
25. -Janus Forty mutual fund		None	J	T					
26. -TRP Midcap Growth mutual fund		None	J	T					
27. -PIMCO Infltn Prcet mutual fund		None	J	T					
28. -Russel 2000 Index mutual fund		None	J	T					
29. -MFS Research Intl Mutual Fund		None	J	T					
30. Met Life 403(b) Savings Plan T Flex Annuity	E	Interest	L	W					
31. Met Life 457 Deferred Compensation Plan	B	Interest	J	T	Buy	01/13/11	J		
32. Nationwide Universal Life Insurance Policy		None	J	T					
33. Nationwide Life Insurance Policy									
34. -Fidelity VIP Asset Manager, Initial Class (Mutual Fund)		None	J	T					

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35. -Fidelity VIP Growth, Initial Class (Mutual Fund)		None	J	T					
36. -Janus Aspen Series Forty, Service Shares (Mutual Fund)		None	J	T					
37. -NWD Var Ins Trst NVIT S&P 500 Index (Mutual Fund)		None	J	T					
38. -NWD Var Ins Trst Oppen. NVIT Lg Cap Grth (Mutual Fund)(X)		None	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part VII, page 4, Line 2 - Alliance Bern High Inc was formerly known as Oppenheimer Global Strat.
- 2) Part VII, page 4, Line 10 - Assets transferred to Morgan Stanley Bank N.A. Cash Account. See Part VII, page 4, Line 9.
- 3) Part VII, page 4, Line 14 - 12/9/10 sale of Mastercard Stock was actually a PARTIAL sale of only half the stock. The remaining stock was sold on 3/22/11

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joseph A. Dickson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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