

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gross, Kevin	2. Court or Organization U.S. Bankruptcy Court, DE	3. Date of Report 06/18/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2013 to 12/31/2013
7. Chambers or Office Address 824 N. Market St, 6th Floor Wilmington, DE 19801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.	American Bankruptcy Institute	05/16/2013	New York, NY	ABI NYC Annual Bankruptcy Conference	travel & parking
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2.	American Bankruptcy Institute	10/11/2013	New York, NY	ABI Mid Level Conference	travel & parking
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3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. State of Israel 7th Dev Reg Savings Amended Bond [REDACTED]	A	Interest	J	T					
2. State of Israel 8th Infr & Absp Issue Bond [REDACTED]		None			Redeemed	04/03/13	J		
3. State of Israel 8th Infr & Absp Issue Bond [REDACTED]	A	Interest	J	T					
4. State of Israel 8th Infr & Absp Issue Bond [REDACTED]	A	Interest	J	T					
5. Newberger Berman Brokerage Account #1									
6. -Dreyfus Treas Prime Cash Mgmt Inv Shs money market fund		None	J	T					
7. -Schlumberger Ltd common stock	A	Dividend	J	T					
8. -Neuberger Berman Genesis FD Institutional Class mutual fund	A	Dividend	K	T	Sold (part)	09/25/13	J	B	
9.	B	Distribution			Sold (part)	09/26/13	J	B	
10. -Sociedad Quimica Minera De Chile SA Apon ADR Ser B com stk	A	Dividend			Sold (part)	02/20/13	J	A	
11.					Sold	06/10/13	J	A	
12. -SPDR Gold Tr Gold Shs common stock		None			Sold (part)	04/11/13	J	B	
13.					Sold	09/10/13	J	B	
14. -Range Resources Corp common stock	A	Dividend			Sold	09/26/13	J	B	
15. -EMC Corp - Mass common stock	A	Dividend	J	T					
16. -Potash Corp of Saskatchewan Inc CA Listed common stock	A	Dividend			Sold	07/30/13	J		
17. -Google Inc Cl A common stock		None	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Kubota Corporation ADR common stock	A	Dividend			Sold (part)	04/03/13	J	B	
19.					Sold	08/16/13	J	B	
20. -Cenovus Energy Inc common stock	A	Dividend	J	T					
21. -Sanofi Aventis Spon ADR common stock	A	Dividend	J	T					
22. -Valmont Industries Inc common stock	A	Dividend	J	T					
23. -Crescent Point Energy Corp common stock	A	Dividend			Sold	01/23/13	J		
24. -Occidental Pete Corp common stock	A	Dividend	K	T					
25. -Treasury Wine Estates common stock	A	Dividend			Sold	03/22/13	J	B	
26. -Boeing Co common stock	A	Dividend			Sold	09/26/13	J	C	
27. -Honeywell Intl Inc common stock	A	Dividend	J	T	Sold (part)	09/26/13	J	B	
28. -Linear Technology Corp common stock		None			Sold	04/04/13	J	A	
29. -Neuberger Berman Eq FDS GBL Thematic Opp FD Instl mutual fd	A	Dividend	K	T	Sold (part)	09/25/13	J	A	
30.					Sold (part)	09/26/13	J	A	
31. -Unilever N V New York Shs New ADR	A	Dividend	J	T					
32. -Weyerhaeuser Co common stock	A	Distribution			Sold	06/17/13	J	A	
33. -Intel Corp common stock		None			Sold	01/18/13	J		
34. -Novartis AG American Depository Shrs	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -Southwestern Energy Co common stock		None			Sold	11/08/13	J	A	
36. -American International Group Inc New common stock	A	Dividend	J	T	Buy	01/25/13	J		
37. -Motorola Solutions Inc common stock	A	Dividend			Buy	01/18/13	J		
38.					Sold	09/16/13	J		
39. -Cisco Systems common stock	A	Dividend	J	T	Buy	01/18/13	J		
40. -General Dynamics Corp common stock	A	Dividend	J	T	Buy	02/26/13	J		
41.					Buy (add'l)	03/19/13	J		
42. -Deere & Co common stock	A	Dividend	J	T	Buy	05/20/13	J		
43. -Koninklijke Philips N V common stock		None	J	T	Buy	07/24/13	J		
44. -Foot Locker common stock	A	Dividend	J		Buy	08/20/13	J		
45. -Bed Bath & Beyond common stock		None	J	T	Buy	08/27/13	J		
46. -Express Scripts Holding Company common stock		None	J	T	Buy	08/27/13	J		
47. -Gap Inc common stock		None	J	T	Buy	10/11/13	J		
48. -Corning Inc common stock	A	Dividend	J	T	Buy	11/04/13	J		
49. -Antero Resources Corp common stock		None	J	T	Buy	11/08/13	J		
50. -General Motors Company common stock		None	J	T	Buy	12/06/13	J		
51. -Sandisk Corp common stock		None	J	T	Buy	12/06/13	J		

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52. M&T Bank checking account	A	Interest	K	T					
53. IRA #1									
54. -Guardian Fund Investor Class mutual fund	A	Interest	K	T					
55. IRA #2									
56. -Guardian Fund Investor Class mutual fund	A	Interest	K	T					
57. MetLife-whole life	A	Dividend	J	T					
58. MetLife-whole life	A	Dividend	J	T					
59. 1/3 interest in Trisis-oil & gas royalty interest & land in									
60. Scurry, Tyler & Wood Counties, TX	E	Royalty	O	W					
61. IRA Rollover Acct #1									
62. -Dreyfus Treas Prime Cash Mgmt Inv shs money mkt fd		None	N	T					
63. -Sociedad Quimica Minera de Chile SA Spon ADR Ser B common	A	Dividend			Sold (part)	02/20/13	J	C	
64.					Sold	06/07/13	J	B	
65. -Neuberger Berman Genesis FD Institutional Class mutual fund	A	Dividend	M	T	Buy (add'l)	02/19/13	K		
66.	D	Distribution			Buy (add'l)	05/07/13	K		
67.					Buy (add'l)	05/20/13	K		
68. -Philip Morris International common stock	B	Dividend			Buy (add'l)	07/03/13	K		

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69.					Sold	11/21/13	K	D	
70. -Ishares TR NASDAQ Biotechnology Index Fd common stock	A	Dividend			Sold	10/09/13	L	E	
71. -EMC Corp-Mass common stock		None			Sold	06/26/13	K		
72. -SPDR Gold Tr Gold Shs common stock		None			Sold (part)	04/11/13	K	D	
73.					Sold	09/10/13	K	E	
74. -Schlumberger Ltd common stock	A	Dividend			Sold	12/05/13	L	D	
75. -Southwestern Energy Co common stock		None			Sold	11/07/13	K	E	
76. -Range Resources Corp common stock	A	Dividend	L	T					
77. -Potash Corp of Saskatchewan Inc CA Listed common stock	A	Dividend			Buy (add'l)	02/19/13	K		
78.					Sold	07/30/13	K		
79. -Google Inc common stock		None	L	T					
80. -Unilever N V New York Shs New ADR common stock	C	Dividend	L	T					
81. -Kubota Corporation common stock	A	Dividend			Sold (part)	04/03/13	K	E	
82.					Sold	08/20/13	K	E	
83. -Cenovus Energy Inc common stock	B	Dividend	L	T					
84. -Sanofi Aventis Spon ADR common stock	A	Dividend	K	T	Sold (part)	01/29/13	J	C	
85. -Valmont Industries Inc common stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. -Crescent Point Energy Corp common stock	A	Dividend			Sold	02/07/13	K		
87. -Linear Technology Corp common stock		None			Sold	04/05/13	L	C	
88. -Centurylink Inc common stock	A	Dividend			Sold	05/16/13	J		
89. -Microchip Technology Inc common stock		None			Sold	01/17/13	K	C	
90. -Neuberger Berman Eq FDS GBL Thematic Opp Fd Inst mutual fun	A	Dividend	M	T	Buy (add'l)	02/19/13	J		
91.					Buy (add'l)	05/07/13	K		
92.					Buy (add'l)	05/20/13	K		
93. -Treasury Wine Estates US listed common stock	A	Dividend			Sold	04/05/13	K	D	
94. -Honeywell Intl Inc common stock	B	Dividend	L	T					
95. -Boeing Co common stock	B	Dividend	L	T	Sold (part)	11/04/13	K	E	
96. -Novartis AG American depository shares	B	Dividend	K	T					
97. -Roche Holding LTD Spon ADR REPSTG ORD	B	Dividend	L	T					
98. -Occidental Pete Corp common stock	A	Dividend	K	T					
99. -Weyerhaeuser Co common stock	A	Distribution			Sold	06/18/13	K	D	
100. -Intel Corp common stock		None			Sold	01/18/13	K		
101. -Newmont Mining Corp Holding Co common stock	A	Dividend			Sold	06/12/13	K		
102. -Cisco Systems Inc common stock	A	Dividend	K	T					

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103. -Deere & Co common stock	B	Dividend	L	T	Buy (add'l)	03/19/13	K		
104. -Allstate Corp common stock	A	Dividend			Buy	01/03/13	K		
105.					Sold	03/08/13	L	D	
106. -Motorola Solutions Inc common stock	A	Dividend			Buy	01/18/13	K		
107.					Sold	09/16/13	K		
108. -American International Group Inc New common stock	A	Dividend	K	T	Buy	01/25/13	K		
109. -Statoil ASA Sponsored ADR common stock	B	Dividend			Buy	02/07/13	K		
110.					Sold	07/17/13	K		
111. -Neuberger & Berman Equity FDS Equity Income FD Instl mutual fund	C	Dividend	M	T	Buy	02/19/13	K		
112.	C	Distribution			Buy (add'l)	05/07/13	K		
113.					Buy (add'l)	05/20/13	K		
114. -Bed Bath & Beyond Inc common stock		None	L	T	Buy	02/21/13	J		
115.					Buy (add'l)	11/18/13	K		
116. -Norfolk Southern Corp common stock	B	Dividend	K	T	Buy	02/21/13	K		
117. -General Dynamics Corp common stock	B	Dividend	L	T	Buy	02/27/13	K		
118.					Buy (add'l)	03/19/13	K		
119. -Koninklijke Philips NV common stock		None	K	T	Buy	06/19/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -C R Bard Inc common stock	A	Dividend	K	T	Buy	07/16/13	K		
121. -Exxon Mobil Corp common stock	A	Dividend	K	T	Buy	07/17/13	K		
122. -Foot Locker Inc common stock	A	Dividend	L	T	Buy	08/19/13	K		
123.					Buy (add'l)	11/19/13	K		
124. -Express Scripts Holding Company common stock		None	L	T	Buy	08/27/13	K		
125.					Buy (add'l)	12/03/13	K		
126. -Gap Inc common stock		None	K	T	Buy	10/11/13	K		
127. -Corning Inc common stock	A	Dividend	K	T	Buy	11/04/13	K		
128. -Antero Resources Corp common stock		None	K	T	Buy	11/07/13	K		
129. -Lennar Corp C1 A common stock		None	K	T	Buy	12/03/13	K		
130. -General Motors common stock		None	K	T	Buy	12/06/13	K		
131. -Sandisk Corp common stock			K	T	Buy	12/06/13	K		
132. IRA #3-Wells Fargo Bank N.A.	A	Interest	J	T					
133. IRA #4-Wells Fargo Bank N.A.	A	Interest	J	T					
134. Morgan Stanley Smith Barney brokerage account #1									
135. -Morgan Stanley Bank NA	A	Interest	J	T					
136. -Citizens Util Co bond	B	Interest			Sold	05/10/13	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Reinsurance Grp of America Co Bond	A	Interest			Sold	01/04/13	K	B	
138. -Lloyds TSB Group PLC REGS bond	A	Dividend			Buy	01/04/13	K		
139.					Sold	08/26/13	K		
140. -Assured GTY US Hldgs bond	B	Interest			Buy	01/04/13	K		
141.					Sold	08/26/13	K		
142. -Resource Capital Corp preferred stock	A	Dividend			Buy	05/10/13	K		
143.					Sold	08/26/13	K		
144. -Goodrich Petroleum Co preferred stock		None			Buy	08/26/13	K		
145.					Sold	09/25/13	J		
146. -Colony Finl Inc preferred stock		None			Buy	08/26/13	K		
147.					Sold	09/25/13	K	A	
148. American Capital Agency preferred stock		None			Buy	08/26/13	K		
149.					Sold	09/25/13	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 65: The mutual fund was mistakenly identified as Investor Class in prior reports. The name was corrected to Institutional Class in this report. All other items of income and gain were reported correctly in the past.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Kevin Gross**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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