

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lenihan, Lisa P.	2. Court or Organization Western District of PA	3. Date of Report 05/04/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2014 to 12/31/2014
7. Chambers or Office Address US COURTHOUSE 700 GRANT ST. PITTSBURGH, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	BOARD MEMBER	UPMC HEALTH PLAN
2.	BOARD MEMBER	UPMC ALTOONA
3.	ADJUNCT FACULTY MEMBER	UNIVERSITY OF PITTSBURGH
4.	LIMITED PARTNER	FOUR NORTHSORE ASSOCIATES
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. AMERICAN CONFERENCE INSTITUTE	1/23/14 TO 1/25/14	NEW YORK, NY	SPEAKER AT EDUCATIONAL SEMINAR	TRAVEL AND LODGING
2. NEW YORK INTELLECTUAL PROPERTY LAW ASSOC.	3/28/14 TO 3/29/14	NEW YORK, NY	DINNER FOR BENCH AND BAR	TRAVEL, DINNER AND LODGING FOR ME AND GUEST
3. ALLEGHENY COUNTY BAR ASSOC.	6/18/14 TO 6/21/14	CHAMPION, PA	SEMINAR AND DINNERS FOR BENCH AND BAR	MEALS AND LODGING
4. ACADEMY OF TRIAL LAWYERS OF WESTERN PA	10/01/14 TO 10/03/14	FARMINGTON, PA	EDUCATIONAL CONFERENCE	MEALS AND LODGING

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5.	AMERICAN CONFERENCE INSTITUTE	10/27/14 TO 10/28/14	NEW YORK, NY	SPEAKER AT EDUCATIONAL SEMINAR	TRAVEL AND LODGING
6.	AMERICAN CONFERENCE INSTITUTE	1/21/18/14 TO 11/19/143/14 TO 1/25/14	ORLANDO, FLA	SPEAKER AT EDUCATIONAL SEMINAR	TRAVEL AND LODGING
7.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Limited Partner, 4 Northshore Associates		None	O	S					
2. IRA - Commonwealth									
3. ALIBABA Group HLDG Common Shrs (148)		None	K	T	Buy	12/24/14	K		
4. Allianz Funds: NFJ Small Cap Fund CIA		None			Sold	02/03/14	J	B	
5. Allianz Health Sciences CI A		None	J	T					See Note in Part VIII
6. American Income Fund of America CI F	B	Dividend	J	T					
7. Allianz RCM Global Water Fund CI A	A	Dividend	J	T	Buy (add'l)	02/03/14	J		
8. Copeland Risk Mgmt Div Growth CI A	A	Dividend	K	T	Buy (add'l)	01/31/14	J		
9. Fidelity Adv BioTech CL I		None	K	T	Buy	10/08/14	K		
10. Fidelity Adv Limited Bond CL I		None			Buy	09/25/14	L		
11. Fidelity Adv Limited Bond CL I		None			Sold (part)	10/08/14	K	A	
12. Fidelity Adv Limited Bond CL I		None			Sold (part)	12/12/14	K	A	
13. Fidelity Adv Limited Bond CL I		None			Sold	12/19/14	J	A	
14. Fidelity Advisor Consumer Staples CI I		None			Sold	09/25/14	L	E	
15. Fidelity Advisor Consumer Staples CI I	A	Dividend	K	T	Buy	12/12/14	K		
16. Fidelity Advisor Consumer Staples CI I		None			Buy (add'l)	12/19/14	J		
17. Franklin Floating Rate CI A	A	Dividend	K	T	Buy (add'l)	01/14/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Mainstay Mkt C1 A		None			Sold (part)	05/15/14	K	A	
19. Mainstay Mkt C1 A		None			Sold	09/29/14	K	A	
20. Oppenheimersteel MLP A		None			Sold	10/27/14	K	C	
21. Oppenheimersteel MLP A	C	Dividend	K	T	Buy	12/19/14	K		
22. PIMCO EQS Long/Short C1 A		None			Buy (add'l)	01/29/14	J		
23. PIMCO EQS Long/Short C1 A		None			Sold	11/20/14	K	A	
24. PIMCO EQS Long/Short C1 D		None	K	T	Buy	05/14/14	K		
25. PIMCO Fund Index Plus A		None			Buy	08/12/14	K		
26. PIMCO Fund Index Plus A		None			Sold	09/29/14	K	A	
27. PIMCO Fund Index Plus A	C	Dividend	K	T	Buy	12/22/14	K		
28. PIMCO SMALL Fundamental Indexplus CL A	C	Dividend	K	T	Buy	12/22/14	K		
29. PIMCO Stockplus Short Strategy CL A		None			Buy (add'l)	01/29/14	J		
30. PIMCO Stockplus Short Strategy CL A		None			Sold	08/12/14	K	A	
31. PIMCO Stockplus Short Strategy CL A		None			Buy	09/29/14	K		
32. PIMCO Stockplus Short Strategy CL A		None			Buy (add'l)	11/20/14	K		
33. PIMCO Stockplus Short Strategy CL A		None			Sold (part)	12/22/14	K	A	
34. PIMCO Stockplus Short Strategy CL A		None			Sold	12/22/14	K	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Wasatch Long/Short Fund		None	K	T					
36. Franklin US Govt Cl A	A	Dividend	J	T	Sold (part)	01/16/14	J	A	
37. Mainstay Income Bldr Cl A	C	Dividend	K	T	Buy	10/10/14	K		
38. Mainstay Income Bldr Cl A		None			Buy (add'l)	12/23/14	K		
39. Mainstay Unconstrd Bond Opp Cl A	A	Dividend	K	T	Buy (add'l)	05/15/14	K		
40. PIMCO Total Return Cl D		None			Sold (part)	05/14/14	K	A	
41. PIMCO Total Return Cl D		None			Sold	12/11/14	J	B	
42. Templeton Global Bond Fund Cl A	B	Dividend	K	T					
43. M&I Bank -Money Market Account	A	Interest	J	T					
44. Mainstay Money Mkt Cl A		None			Buy	09/29/14	K		
45. Mainstay Money Mkt Cl A		None			Sold (part)	10/10/14	K	A	
46. Mainstay Money Mkt Cl A		None			Sold	12/23/14	K	A	
47. Oppenheimer Money Mkt CL A		None			Buy	10/27/14	K		
48. Oppenheimer Money Mkt CL A		None			Sold	12/19/14	K	A	
49. Brokerage Account - National Financial									
50. Fidelity Funds: Prime Money Mkt Reserves Fund	A	Interest	J	T					
51. Gulf Keystone Petro Common Stock		None	K	T					

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52. IShares S&P Stock Index ETF		None			Sold	12/26/14	J	A	
53. Altria Group Common Stock	A	Dividend	J	T					
54. Columbia Div Opp Fund CL C		None			Sold	01/09/14	J	B	
55. Fidelity New Adv Insights Fund CL A	A	Dividend	J	T					
56. Ivy Mid Cap Growth Fund CI C	A	Dividend	J	T					
57. Thornburg Invest Income Builder Fund CL C	A	Dividend	J	T					
58. SouthernSun Funds: Small Cap	A	Dividend	J	T					
59. Delaware Diversified Income Fund CI C		None			Sold	01/09/14	J	A	
60. Brokerage Account - Ameriprise									
61. Ameriprise Insured Money Market	A	Interest	J	T					
62. Eaton Vance Limited Duration Fund									See Note in Part VIII
63. Eaton Vance Funds: Active Tax MGD GLBL Fund	B	Dividend	J	T					
64. ISHARES Core S&P Small Cap ETF	A	Dividend	J	T					
65. Vanguard Div Appreciation Fund	A	Dividend	K	T					
66. Vanguard Int Term Bond Fund	A	Dividend	J	T					
67. Vanguard Short Term Corp Bond ETF	A	Dividend	J	T					
68. General Electric Cap Corp Note 4.75% Due 09/15/14	A	Int./Div.			Sold	09/15/14	J	A	

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69. First Eagle Funds: Global Fund C1 A	A	Dividend	K	T					
70. Ivy Balanced C1 A	A	Dividend	K	T					
71. JPMorgan Value Advantage C1 A	A	Dividend	K	T	Buy (add'l)	10/01/14	J		
72. MFS Intl Value C1 A	A	Dividend	J	T					
73. Calamos Market Neutral Fund	A	Dividend	K	T					
74. Thornburg LTD Muni Fund CL 1	A	Interest	K	T					
75. Virtus Prem Alphasector Fund	A	Dividend	J	T					
76. ALPS Alerian MLP ETF	A	Dividend	J	T					
77. Miscellaneous Accounts									
78. PNC Bank Checking Account	A	Interest	J	T					
79. PA TAP Accounts for Children		None			Closed	12/31/14	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Investment and Trusts

Line 1 (Limited Partner, 4 Northshore Associates) - Assessed value

Line 5 - Mutual fund Alliance Wellness CL A renamed Allianz Health Sciences CL A. Ticker remains RAGHX.

Line 62 - Eaton Vance Limited Duration Fund was sold as reported on Line 101 of the 2013 Report. Erroneously reported on Line 76 (2013 Report) as having a value on 12/31/

I took no salary from Pitt Law to teach in 2014

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Lisa P. Lenihan

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544