

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pregerson, Harry	2. Court or Organization United States Court of Appeals for Ninth Circuit	3. Date of Report 08/04/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Circuit Court Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 21800 Oxnard Street Suite 1140 Woodland Hills, Ca 91367		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member		Marine Corps Reserve Officers Association
2. Member		Advisory Board, Childhelp USA
3. Member		Advisory Board, Southern California Divisional Headquarters, The Salvation Army
4. Member		Board of Directors, Devil Pups, Inc
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	State of California Judge's Retirement System	\$18,168.00
2. 2015	Raymond James & Assoc - IRA	\$407.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	CA Public Employees Retirement System
2. 2015	CA State Teachers Retirement System
3. 2015	First Clearing, LLC IRA
4. 2015	State Street Retiree Services for Calstrs Pension

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Lot 59, Oxnard Shores, CA (1964)		None	O	W					
2. Lot 60, Oxnard Shores, CA (1964)		None	O	W					
3. Lot 88, Woodland Hills, CA		None	O	W					
4. Ltd Partnership Interest-Penn Cal Investors, Pittsburgh,PA	H1	Rent	N	U					
5. Chase cash accounts	A	Interest	L	T					
6. Wells Fargo Joint (H)									
7. Wells Fargo Money Market	A	Interest	M	T	Buy (add'l)	11/18/15	L		
8. Bank of Baroda	B	Interest	M	T					
9. Synchrony Bank	C	Interest	M	T					
10. Discover Bank	C	Interest	M	T					
11. Northern Trust (H)									
12. MFB Northern Fds Stk Index	D	Dividend	N	T	Buy (add'l)	01/30/15	K		
13. - MFO American Centy CA Tax-Free Bd Fd Inv	D	Dividend	N	T					
14. - MFB NTHN CA Mun Money Mkt	A	Interest	O	T					
15. Raymond James (H)									
16. - JP Morgan Chase & Co. Notes	A	Interest			Sold	07/31/15	K	A	
17. - Microsoft Coporation Stock	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. - Seadrill Limited Corporate Stock	A	Dividend	J	T	Buy (add'l)	01/02/15	K		
19. American Express Centurion	A	Interest	M	T	Open	08/01/15	M		
20. Citibank	A	Interest	J	T	Open	08/01/15	J		
21. Regions Bank	A	Interest			Closed	07/31/15	J		
22. Synchrony Bank	A	Int./Div.			Closed	07/31/15	J		
23. Goldman Sachs Bank USA	A	Interest	M	T					
24. Raymond James Money Market	A	Interest	M	T					
25. Raymond James IRA #2: Raymond James Bank	A	Interest	J	T					
26. Raymone James IRA #2: Seadrill Ltd Stock	A	Dividend	J	T					
27. Capital One (was Ing Direct - Orange Savings)	A	Interest	M	T					
28. City National Bank (H)									
29. CD/Money Market	A	Interest	N	T					
30. - Checking Accts	A	Interest	M	T					
31. Janus Money Market Fund D	A	Int./Div.	N	T					
32. Wells Fargo Bank cash account	A	Interest	N	T					
33. Chase (H)									
34. JP Morgan Trust II Liquid Assets	A	Dividend	J	T					

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35. SPDR S&P 500 ETF TR	A	Dividend	J	T	Buy (add'l)	03/06/15	J		
36.					Buy (add'l)	04/08/15	J		
37.					Sold (part)	09/25/15	J	A	
38. Blackrock Funds II US	A	Dividend	J	T					
39. Blackrock Funds High Yield Bond Port	A	Dividend	J	T	Buy	09/25/15	J		
40. Blackrock International	A	Dividend	J	T					
41. Brown Advisory Funds	A	Dividend	J	T	Buy	09/25/15	J		
42. Deutsche X Trackers MSCI	A	Dividend	J	T	Buy	04/08/15	J		
43. Dodge & Cox Funds	A	Dividend	J	T					
44. Dodge & Cox Income Fund	A	Dividend	J	T					
45. Federated Institutional High Yield Bond Fund	A	Dividend	J	T					
46. Goldman Sachs Emerging Market Debt Fund	A	Dividend			Sold	05/19/15	J	A	
47. John Hancock Income Fund	A	Dividend	J	T					
48. Oakmark Fund	A	Dividend	J	T					
49. Ishares Trust Russell 2000 Index	A	Dividend			Sold	09/25/15	J	A	
50. Ishares MSCI EAFE (X)	A	Dividend	J	T	Sold (part)	04/08/15	J	A	
51. JP Morgan Trust I US Equity Fund Select Cl	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. JP Morgan Trust II Core Bond Fund Select C1	A	Dividend	J	T					
53. JP Morgan Trust I MidCap Equity Fund Select C1	A	Dividend	J	T					
54. JP Morgan Trust II High Yield Select C1	A	Dividend	J	T					
55. JP Morgan Short Duration Bond Fund Select C1	A	Dividend	K	T					
56. JP Morgan Trust I Int'l Value Fund Select C1	A	Dividend			Sold	04/08/15	J	A	
57. Mainstay High Yield Corp Bond Fund	A	Dividend	J	T	Buy	03/06/15	J		
58.					Buy (add'l)	05/19/15	J		
59. MFS Ser Tr X Emerging Market Debt Fund Class I	A	Dividend			Sold	05/19/15	J	A	
60. MFS Research International Fund	A	Dividend	J	T					
61. Massachusetts Investors Trust	A	Dividend	J	T					
62. PIMCO Short Term Fund Institutional	A	Dividend	K	T	Sold (part)	03/06/15	J	A	
63.					Sold (part)	05/19/15	J	A	
64. T Rowe Price New Income Fund Inc	A	Dividend	J	T					
65. Transamerica High Yield Bond Fund X)	A	Dividend			Sold	03/06/15	J	A	
66. Vanguard Bond Index Fund	A	Dividend	J	T					
67. Wisdomtree Japan Hedged Equity	A	Dividend	J	T	Buy	09/25/15	J		
68. Wells Fargo IRA (H)									

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69. Energy Select Sector SPDR	A	Dividend	K	T	Buy (add'l)	01/07/15	J		
70. Financial Select Sector SPDR	A	Dividend	K	T	Buy (add'l)	01/07/15	J		
71.					Sold (part)	11/13/15	J	A	
72. Industrial Select Sector SPDR	A	Dividend	K	T	Buy (add'l)	01/07/15	J		
73.					Sold (part)	11/13/15	J	A	
74.					Sold (part)	11/13/15	J	A	
75. Ishares Core S&P Midcap	B	Dividend	M	T	Sold (part)	01/07/15	J	A	
76.					Sold (part)	07/07/15	J	A	
77.					Buy (add'l)	08/06/15	J		
78.					Sold (part)	11/13/15	J	B	
79. Ishares Core S&P Smallcap	B	Dividend	M	T	Sold (part)	01/07/15	J	A	
80.					Sold (part)	05/01/15	J	B	
81.					Sold (part)	07/07/15	J	A	
82.					Sold (part)	08/06/15	K	C	
83.					Sold (part)	11/13/15	J	B	
84. Ishares MSCI Malaysia Index Fund	A	Dividend			Sold	01/07/15	J	A	
85. Ishares MSCI Germany	A	Dividend	K	T	Buy (add'l)	07/07/15	J		

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86.					Sold (part)	08/06/15	J	A	
87.					Sold (part)	11/13/15	J	A	
88. Ishares MSCI Eafe Index Fund	A	Dividend	J	T					
89. Ishares MSCI Mexico Capped Investable Market Fund	A	Dividend	J	T	Sold (part)	01/07/15	J	A	
90.					Sold (part)	11/13/15	J	A	
91. Ishares MSCI South Korea Capped Index Fund	A	Dividend			Sold	07/07/15	J	A	
92. Ishares MSCI United Kingdom Index Fund	B	Dividend	J	T	Sold (part)	11/13/15	J	A	
93. Ishares S&P North America Tech. Networking Index	A	Dividend	J	T					
94. Powershares DB Commodity Index Tracking Fund	A	Dividend	J	T	Buy (add'l)	01/07/15	J		
95. Powershares DB Energy Fund	A	Dividend			Sold	01/07/15	J	A	
96. Select Sector SPDR Consumer Staples	A	Dividend	J	T	Sold (part)	11/13/15	J	A	
97. Select Sector SPDR Fd. Materials	A	Dividend	J	T					
98. Select Sector SPDR Fd Health Care	A	Dividend	K	T	Sold (part)	11/13/15	J	A	
99. Select Sector SPDR Fd Consumer Discretionary	A	Dividend	K	T	Sold (part)	11/13/15	J	A	
100. SPDR Euro StoXX50	B	Dividend	K	T	Sold (part)	05/01/15	J	A	
101.					Buy (add'l)	07/07/15	J		
102.					Sold (part)	08/06/15	J	A	

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103.					Sold (part)	11/13/15	J	A	
104. SPDR S&P 500 Trust	A	Dividend	J	T	Buy (add'l)	01/07/15	K		
105.					Sold (part)	05/01/15	J	A	
106.					Buy (add'l)	08/06/15	J		
107. SPDR S&P Bank	A	Dividend			Sold	01/07/15	J	B	
108. SPDR S&P Retail	A	Dividend	J	T	Buy (add'l)	08/06/15	J		
109.					Sold (part)	11/13/15	J	A	
110. Vanguard Telecommunications Svc	A	Dividend	J	T					
111. Vanguard Info. Technology	A	Dividend	L	T	Sold (part)	11/13/15	J	B	
112. Vanguard International Equity Index Funds Emerging Markets	B	Dividend	K	T	Buy (add'l)	01/07/15	J		
113.					Buy (add'l)	05/01/15	K		
114.					Buy (add'l)	07/07/15	J		
115.					Sold (part)	08/06/15	J	A	
116.					Sold (part)	11/13/15	J	A	
117. Vanguard Developed markets	A	Dividend	K	T	Sold (part)	11/13/15	J	A	
118. Vanguard REIT	B	Dividend	K	T	Buy (add'l)	08/06/15	J		
119.					Sold (part)	11/13/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Egshares Emerging Markets Consumer	A	Dividend	J	T					
121. Wells Fargo cash	A	Interest	K	T					
122. Wells Fargo Joint Account (H)									
123. Energy Select Sector SPDR	A	Dividend			Buy (add'l)	01/07/15	J		
124.					Sold	12/23/15	K	A	
125. Egshares Energy Markets	A	Dividend	J	T					
126. Financial Select Sector SPDR	A	Dividend	K	T	Buy (add'l)	01/07/15	J		
127. Industrial Select Sector SPDR	A	Dividend	K	T	Buy (add'l)	01/07/15	J		
128. Ishares Core S&P Midcap	B	Dividend	M	T	Sold (part)	07/07/15	J	A	
129.					Buy (add'l)	08/06/15	J		
130. Ishares Core S&P Smallcap	B	Dividend	L	T	Buy (add'l)	01/07/15	J		
131.					Sold (part)	05/01/15	J	B	
132.					Sold (part)	07/07/15	J	A	
133.					Sold (part)	08/06/15	J	C	
134. Ishares MSCI Emerging Markets	A	Dividend	K	T	Buy	12/17/15	K		
135. Ishares MSCI Germany	A	Dividend	K	T	Buy (add'l)	07/07/15	J		
136.					Sold (part)	08/06/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Ishares MSCI Malaysia Index Fund	A	Dividend			Sold	01/07/15	J	A	
138. Ishares MSCI EAFE Index Fund	A	Dividend	J	T					
139. Ishares MSCI Mexico Capped	A	Dividend	J	T					
140. Ishares MSCI S. Korea Capped Index	A	Dividend			Sold	07/07/15	J	A	
141. Ishares MSCI United Kingdom Index Fund	A	Dividend	J	T					
142. Ishares S&P N. Am. Tech.	A	Dividend	J	T					
143. Powershares DB Commodity Index Tracking	A	Dividend	J	T	Buy (add'l)	01/07/15	J		
144. Powershares DB Enrgy Et Fund	A	Dividend			Sold	01/07/15	J	A	
145. Select Sector SPDR TR Consumer Staples	A	Dividend	J	T					
146. Select Sector SPD Fd Materials	A	Dividend	J	T	Sold (part)	01/07/15	J	A	
147. Select Sector SPDR Fd Health Care	A	Dividend	K	T					
148. Select Sector SPDR Fd Consumer Discretionary	A	Dividend	K	T	Sold (part)	07/07/15	J	A	
149. SPDR Euro StoXX 50	B	Dividend			Sold (part)	05/01/15	J	A	
150.					Buy (add'l)	07/07/15	J		
151.					Sold (part)	08/06/15	J	A	
152.					Sold	12/23/15	K	A	
153. SPDR S&P 500 Trust	A	Dividend	J	T	Buy	01/07/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold (part)	05/01/15	J	A	
155.					Buy (add'l)	08/06/15	J		
156. SPDR S&P Bank	A	Dividend			Sold	01/07/15	J	B	
157. SPDR S&P Retail	A	Dividend	J	T	Buy (add'l)	08/06/15	J		
158. Vanguard Energy	A	Dividend	J	T	Buy	12/23/15	J		
159. Vanguard FTSE European	A	Dividend	K	T	Buy	12/23/15	K		
160. Vanguard Telecommunication Services	A	Dividend	J	T					
161. Vanguard Information Technology	A	Dividend	K	T					
162. Vanguard International Equity Index Fd Emerging	A	Dividend			Buy (add'l)	01/07/15	J		
163.					Buy (add'l)	05/01/15	K		
164.					Buy (add'l)	07/07/15	J		
165.					Sold (part)	08/06/15	J		
166.					Sold	12/15/15	K	A	
167. Vanguard Developed Markets	A	Dividend	K	T					
168. Vanguard REIT	A	Dividend	K	T	Buy (add'l)	08/06/15	K		
169. Wells Fargo Money Market	A	Dividend	K	T					
170.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

Name of Person Reporting	Date of Report
Pregerson, Harry	08/04/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.									
172.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Harry Pregerson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544