

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Donald, Bernice B.	2. Court or Organization Court of Appeals, Sixth	3. Date of Report 08/08/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Court of Appeals, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Court of Appeals 167 N. Main Street, #1132 Clifford Davis/Odell Horton Federal Building Memphis, TN 38103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|-----------------------------------|--------------------------|
| 1. Chair, Center for Human Rights | American Bar Association |
| 2. _____ | _____ |
| 3. _____ | _____ |
| 4. _____ | _____ |
| 5. _____ | _____ |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|----------|-------|
| 1. _____ | _____ |
| 2. _____ | _____ |
| 3. _____ | _____ |

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Donald, Bernice B.

Date of Report

08/08/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Hillsborough County Bar Association	January 10-11, 2017	Tampa, FL	Speakeer	Transportation, meals, and lodging
2. ABA Section of Litigation	January 12-14, 2017	Bermuda, Bermuda	Program Participant	Transportation, meals, and lodging
3. ABA	February 2-8, 2017	Miami, FL	Program Participant	Transportation, meals, and lodging
4. ABA Employment Rights & Responsibilities	March 21-25, 2017	Puerto Villarta, Mexico	Speakeer	Transportation, meals, and lodging
5. ABA Section of International Law	April 25-29, 2017	Washington, DC	Speakeer	Transportation, meals, and lodging
6. ABA Section of Litigation	May 2-3, 2017	San Francisco, CA	Speakeer	Transportation, meals, and lodging

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Donald, Bernice B.

Date of Report

08/08/2018

7.	Kansas City Metropolitan Bar Association	May 17-18, 2017	Kansas City, MO	Speakeer	Transportation, meals, and lodging
8.	National Employment Lawyers Association	June 21-22, 2017	San Antonio, TX	Speakeer	Transportation, meals, and lodging
9.	International Association of Defense Counsel	July 11-13, 2017	Quebec City, Canada	Speakeer	Transportation, meals, and lodging
10.	ABA Section of Litigation	October 5-7, 2017	Montreal, Canada	Speakeer	Transportation, meals, and lodging
11.	ABA Center for Human Rights	October 19-20, 2017	Washington, DC	Program Chair	Transportation, meals, and lodging
12.	ABA/Harvard Law	November 7-8, 2017	Cambridge, MA	Program Participant	Transportation, meals, and lodging
13.	ABA Labor & Employment Law	November 8-11, 2017	Washington, DC	Speaker	Transportation, meals, and lodging
14.	ABA Center for Human Rights	November 28-December 1, 2017	Geneva, Switzerland	Program Participant	Transportation, meals, and lodging

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Donald, Bernice B.

Date of Report

08/08/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Donald, Bernice B.

Date of Report

08/08/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. IRA #1 (H)									
2. AAM Bahl & Graynor Inc. GWC (AFYCX)	A	Dividend	K	T					
3. Allianzgi Income & Growth C (AZNCX)	A	Dividend	J	T					
4. American Balanced C (BALCX) (X)	A	Dividend	J	T					
5. American Mutual C (AMCFX) (X)	A	Dividend	J	T					
6. Blackrock Hi Yield Bd PTF C (BHYCX) (X)	A	Dividend	J	T					
7. American Global Growth C (Y)									
8. Highland Healthcare C (Y)									
9. Highland Healthcare (Y)									
10. Pioneer Mlti Ast. Ult Shr Inc		None			Sold	03/02/17	J	A	
11. PIMCO Foreign BD US Opps		None			Sold	03/02/17	J		
12. Amer Cent Intl GRWINV		None			Sold	03/02/17	J		
13. LORD ABBETT SHT DURATION INC F	A	Dividend			Sold	03/02/17	J		
14. BLACKROCK TOTAL RET I	A	Dividend			Sold (part)	01/13/17	J		
15.					Sold	03/02/17	K		
16. BROKERAGE #1 (H)									
17. Morgan Stanley Bank NA (cash)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Donald, Bernice B.

Date of Report

08/08/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Columbia (formerly EGShares) Emerging Mkts Cons (ECON)	A	Dividend	J	T					
19. Ishares S&P 500 Val ETF (IVE)	A	Dividend	J	T					
20. AB SMALL CAP GRW ADV (QUAYX)		None	J	T					
21. Allanzgi NFG J Div Value (ADJPX)	A	Dividend	J	T	Buy (add'l)	03/02/17	J		
22.					Buy (add'l)	12/29/17	J		
23. American AMCAP (AMCFX)	A	Dividend	J	T	Buy (add'l)	03/02/17	J		
24. AMERICAN GW FD OF AMERICA F2 (GFFFX)	A	Dividend	J	T	Buy (add'l)	03/02/17	J		
25. American WA Mutual (WMFFX)	A	Dividend	J	T	Buy (add'l)	03/02/17	J		
26. ARIEL FUND (ARGFX)	A	Dividend	J	T					
27. Blackrock US Opps	A	Dividend			Sold	06/05/17	J	A	
28. Brown Adv Sm Cp FNDMNTL (BIAUX)	A	Dividend	J	T					
29. Cohen & Steers Pref Sec&Inc I (CPXIX)	A	Dividend	K	T	Buy	03/02/17	J		
30. Domini Int'l Social Equity Fund (DOMIX)	A	Dividend	J	T					
31. First Trust Preferred Sec & Inc I (FPEIX)	A	Dividend	K	T	Buy	03/02/17	J		
32. Guggenheim Tot Ret Bd I (GIBIX)	A	Dividend	K	T	Buy	03/02/17	K		
33. Hartford Midcap I (HFMIX)		None	J	T	Buy	06/05/17	J		
34. John Hancock Shr Dur Cr Opp (JMBIX)	A	Dividend	J	T	Sold (part)	03/02/17	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Donald, Bernice B.

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08/08/2018

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35. LORD ABBETT INCOME F (LAUFEX)	A	Dividend	K	T	Buy (add'l)	03/02/17	J		
36.					Buy (add'l)	12/29/17	J		
37. BROKERAGE #2 (H)									
38. Morgan Stanley Bank NA (cash)	A	Interest	J	T					
39. Pioneer Cash Reserves (cash) (Y)									
40. British American Tobacco (BTI) (formerly Reynolds American Inc.)	C	Dividend	K	T					
41. Facebook (FB)		None	L	T					
42. First Horizon (FHN)	A	Dividend	J	T					
43. Ford Motor Co (F)	A	Dividend	J	T					
44. Proctor & Gamble (PG)	A	Distribution	K	T					
45. LINCOLN LNL-CHOICEPLUS ASSURANCE SERIES VARIABLE ANNUITY (H)									
46. LVIP Blackrock Dividend Value		None	L	T					
47. LVIP Dimensional US Equity MNGD		None	L	T					
48. LVIP PIMCO Low Duration Bond		None	J	T					
49. LVIP Multi-Manager Global Equity		None	J	T					
50. LVIP JPM Select MID CAP Value MNGD		None	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Donald, Bernice B.

Date of Report

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51. LVIP Delaware VIP Diversified Income		None	K	T					
52. MISC. HOLDINGS (H)									
53. TWITTER		None	J	T	Buy	05/12/17	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Donald, Bernice B.

Date of Report

08/08/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In 2017, assets were reorganized by account for ease of reporting. As a result, Morgan Stanley (cash) is now listed in more than one location. This is not indicative of reportable transactions.

Part VII, line 51: Name update; see 2016, line 3.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Donald, Bernice B.

Date of Report

08/08/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Bernice B. Donald**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544