

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Harrison, Marian F.	2. Court or Organization U.S. Bankruptcy Court-TN-M	3. Date of Report 5/14/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2017 to 12/31/2017
7. Chambers or Office Address United States Bankruptcy Court 232 Customs House-701 Broadway Nashville, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Treasurer, Executive Committee Member (Terms ended in October of 2017)	National Conference of Bankruptcy Judges
2. Sixth Circuit Board Member	National Conference of Bankruptcy Judges
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. NCBJ	March 14-16, 2017	Charleston, South Carolina	Mid-Year Meeting	Lodging
2. NCBJ	October 9-11, 2017	Las Vegas, Nevada	Annual Meeting	Transportation, lodging
3. Seigenthaler Moot Court Competition	March 24-26, 2017	Washington, D.C.	Judge of Moot Court Competition	Lodging, food
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Northwestern Mutual	Insurance Policy Loan	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Coca Cola Stock	A	Dividend	J	T					
2. UBS Bank USA Deposit Acct.		None	J	T					
3. John Hancock Policy - Flexible Premium Adjustable Life	A	Interest	K	T					
4. Northwestern Mutual Policy - Whole Life	B	Dividend	L	T					
5. Savings Account- U.S. Community Credit Union	A	Interest	J	T					
6. SunTrust Checking Account #1		None	J	T					
7. SunTrust Checking Account #2		None	J	T					
8. UBS Bank USA Dep. Acct. - IRA	A	Interest	L	T					
9. Janus Henderson Int'l Opport. Fund A - IRA	A	Dividend	L	T					
10. Fidelity Adv. Strateg. Inc. Fund Class A - IRA	B	Dividend	K	T					
11. UBS Pace Money Mkt. Fund Class P - IRA	A	Dividend	J	T					
12. Oppenheimer Int'l Bond Fd. Class A - IRA	B	Dividend	K	T					
13. Apple, Inc. - IRA	A	Dividend	L	T					
14. Touchstone Mid Cap Growth Fund Class A IRA	B	Dividend	K	T					
15. Alphabet, Inc. - IRA - Class A (formerly known as Google)		None	L	T					
16. Alphabet, Inc. - IRA - Class C (formerly known as Google)		None	L	T					
17. Johnson & Johnson - IRA	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. NY Times Co. - IRA	A	Dividend	J	T					
19. Mainstay Funds Hi Yld Corp. Bond A - IRA	A	Dividend	J	T					
20. Alger Spectra Fund Class A - IRA	B	Dividend	K	T					
21. Parnassus Fund - IRA	C	Dividend	K	T					
22. Blackrock Global Alloc Fund A - IRA		None			Sold	03/22/17	K		
23. Siemens A.G. Spon Adr - IRA	A	Dividend	K	T					
24. Natixis Vaughan Nelson Value Opp Fund Class Y - IRA		None			Sold	03/22/17	K		
25. AIG Focused Div Strategy Class W - IRA	B	Dividend	K	T					
26. Whole Foods MKT - IRA	A	Dividend			Sold	03/24/17	J		
27. American Funds Dev Wrld Grwth & Inc.-IRA-Class F-2	A	Dividend	K	T					
28. Lomis Sayles Global Eq Inc.-IRA-Class Y	A	Dividend	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 9, Henderson Global merged with Janus Capital Group on May 30, 2017, resulting in name change from Henderson Global Investment International Opportunity Fund to Janus Henderson International Opportunity Fund.

Part VII, Line 25, Sun America rebranded to AIG Funds effective February 28, 2017, resulting in name change from Sun America Focused Dividend Strategy to AIG Focused Dividend Strategy.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Marian F. Harrison**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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