

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Whyte, Ronald M.	2. Court or Organization Northern District California	3. Date of Report 12/07/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. District Court 280 S. First Street San Jose, CA 95113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	Intellectual Property Board of Advisors - George Washington Law School
2.	Member	Santa Clara Law School IP Advisory Board
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Sedona Conference	04/09/2014-04/11/2014	San Francisco, CA	Patent Program	Reimbursement for food, travel and lodging
2. Practicing Law Institute	04/28/2014	San Francisco, CA	Patent Conference	Reimbursement for food and travel
3. American Antitrust Institute	08/14/2014-08/16/2014	Palo Alto, CA	Antitrust Sseminar	Reimbursement for food, travel and lodging
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. California Water Bond due 2016	A	Interest	J	T					
2. California Water Bond due 2018	A	Interest	J	T					
3. Templeton Foreign Fund	C	Dividend	L	T					
4. Prudential CA Muni Frind	B	Dividend	L	T					
5. Pax World Fund	E	Dividend	M	T					
6. First Eagle (Sogen) International Fund	D	Dividend	N	T					
7. Bank of the West Acct	A	Interest	J	T					
8. Bank of the West Acct	A	Interest	J	T					
9. Condo, Blaine County, Ketchum, ID	D	Rent	N	S					
10. Calamos Investment Trust Growth Fund	E	Dividend	M	T					
11. von Weise Properties, LLC	E	Distribution	N	W					
12. Oppenheimer Dev Mkrs Fd	B	Dividend	K	T					
13. ML Bank Deposit	A	Interest	N	T					
14. Jackson Nat. Life Ins. Co Annuity Policy formerly Reserve Annuity	D	Interest	M	T					
15. Fremont CA Un High Sch Bond	B	Interest	K	T					
16. Alameda Corridor Tran Bond		None	L	T					
17. San Jose Redev Agy Bond	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Dreyfus Appreciation Fund	D	Dividend	M	T					
19. FIA Card Services NA (now Bank of America RASP)	A	Interest	L	T					
20. Pimco Short Term Fd.	C	Dividend	M	T					
21. USAA Cornerstone Strat Fund	A	Dividend			Sold	02/10/14	J	A	
22. Blackrock Global Alloc. Fund	D	Dividend	M	T					
23. iShares Barclays 1-3 Year Credit	A	Dividend	K	T					
24. iShares Barclays 1-3 Treas.	A	Dividend	K	T					
25. Ivy Asset Strategy Fd	D	Dividend	L	T					
26. Merck Hard Currency Fd	A	Dividend	K	T					
27. Pimco Commodity RR Strat.	A	Dividend	K	T					
28. Sector SPDR Utilities	B	Dividend	K	T					
29. SPDR Gold Trust		None	K	T					
30. SPDRS P Div ETF	D	Dividend	M	T					
31. Vanguard MSCI Emerg	A	Dividend	K	T					
32. Wisdomtree Inv. Inc. (now Wisdom Tree Emerging Currency)		None	J	T					
33. ML Bank Deposit	A	Interest	J	T					
34. ETFS Physical Prec. Metal		None	J	T					

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35. First Trust Global Copper	A	Dividend	J	T					
36. SPDR BD Int. Govt. Infl	A	Dividend	K	T					
37. Blackrock Muni	B	Dividend	K	T					
38. Healthcare Select SPDR	A	Dividend	L	T					
39. Sector SPDR Consrms STPL	B	Dividend	L	T					
40. Sector SPDR Industrial Fund	A	Dividend	L	T					
41. TIAA CRF Retirement Portfolio Fund	A	Interest	K	T					
42.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Item 9: Ketchum ID condo's assessed value is \$282,750.

Item 14 of Part VII:: My understanding is that Jackson National Life Insurance Company Co. acquired Reserve and the annuity. Neither my wife nor I own the underlying assets of the annuity or direct how they are invested..

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Ronald M. Whyte**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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