

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Alston, Christopher M.	2. Court or Organization U.S. Bankruptcy Court, Western District of Washington	3. Date of Report 01/15/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2015
7. Chambers or Office Address 700 Stewart Street Suite 6301 Seattle, WA 98101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	Foster Pepper PLLC
2.	Vice President and Treasurer	Puget Sound Trustee Services, Inc.
3.	Director	Women's Funding Alliance
4.	Director	Center for Children & Youth Justice
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Foster Pepper PLLC - member draws	\$342,000.00
2. 2014	Foster Pepper PLLC - member draws	\$336,225.00
3. 2015	Foster Pepper PLLC - member draws	\$180,554.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. EXEMPT				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	EXEMPT		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express-Traditional Green	Credit card	K
2.	American Express--SPG	Credit Card	K
3.	Bank of America	Credit Card	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Northwestern Mutual: Whole Life Policy	B	Int./Div.	K	T	Exempt				
2. Fidelity® Contrafund®	A	Dividend	L	T	Exempt				
3. Merger Institutional	A	Dividend	L	T	Exempt				
4. T. Rowe Price International Discovery	A	Dividend	K	T					
5. T. Rowe Price Equity Income	A	Dividend	K	T	Exempt				
6. American Funds Capital World Bond F2	A	Dividend	K	T	Exempt				
7. Berkshire Hathaway Inc Class B	A	Dividend	K	T	Exempt				
8. PIMCO CommoditiesPLUS® Strategy Instl	A	Dividend	K	T	Exempt				
9. Nuveen Large Cap Growth Opps I	A	Dividend	K	T	Exempt				
10. T. Rowe Price Real Estate	A	Dividend	K	T	Exempt				
11. PIMCO Total Return Active ETF	A	Dividend	K	T	Exempt				
12. Harbor International Investor	A	Dividend	J	T	Exempt				
13. PIMCO 1-5 Year US TIPS ETF	A	Dividend	J	T	Exempt				
14. American Funds Europacific Growth F1	A	Dividend	J	T	Exempt				
15. Royce Total Return Invmt	A	Dividend	J	T	Exempt				
16. Oak Ridge Small Cap Growth I	A	Dividend	J	T	Exempt				
17. T. Rowe Price Real Estate Adv	A	Dividend	J	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Lazard Emerging Markets Equity Instl	A	Dividend	J	T	Exempt				
19. T. Rowe Price Small-Cap Value	A	Dividend	J	T	Exempt				
20. Vanguard Inflation-Protected Secs Inv	A	Dividend	J	T	Exempt				
21. Loomis Sayles Bond Instl	A	Dividend	J	T	Exempt				
22. American Funds Capital World Bond F1	A	Dividend	J	T	Exempt				
23. Nuveen Large Cap Growth Opps A	A	Dividend	J	T	Exempt				
24. T. Rowe Price Equity Income Adv	A	Dividend	J	T	Exempt				
25. PIMCO Commodity Real Ret Strat Instl	A	Dividend	J	T	Exempt				
26. Fidelity® Government Cash Reserves	A	Dividend	J	T	Exempt				
27. Merger Investor	A	Dividend	J	T	Exempt				
28. Loomis Sayles Bond Retail	A	Dividend	J	T	Exempt				
29. PIMCO Low Duration Instl	A	Dividend	J	T	Exempt				
30. Oak Ridge Small Cap Growth A	A	Dividend	J	T	Exempt				
31. Fidelity Municipal Money Market	A	Dividend	J	T	Exempt				
32. PIMCO Low Duration Active ETF	A	Dividend	J	T	Exempt				
33. T. Rowe Price High Yield Adv	A	Dividend	J	T	Exempt				
34. Eaton Vance Bond A	A	Dividend	J	T	Exempt				

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Prudential High-Yield A	A	Dividend	J	T	Exempt				
36. T. Rowe Price Small-Cap Value Adv	A	Dividend	J	T	Exempt				
37. PIMCO Commodity Real Ret Strat D	A	Dividend	J	T	Exempt				
38. PIMCO CommoditiesPLUS® Strategy D	A	Dividend	J	T	Exempt				
39. Lazard Emerging Markets Equity Open	A	Dividend	J	T	Exempt				
40. Royce Total Return Svc	A	Dividend	J	T	Exempt				
41. Fidelity® Contrafund® K	A	Dividend			Exempt				
42. American Funds Europacific Growth F2	B	Dividend	L	T	Exempt				
43. Harbor International Institutional	B	Dividend	L	T	Exempt				
44. Dodge & Cox Income	B	Dividend	L	T	Exempt				
45. PIMCO Total Return Instl	B	Dividend	K	T	Exempt				
46. Templeton Global Bond A	B	Dividend	K	T	Exempt				
47. California ScholarShare 529-Passive Age-Based Portfolio 5-8	A	Dividend			Exempt				
48. California ScholarShare 529-Passive Age-Based Portfolio 9-10	A	Dividend	J	T	Exempt				
49. California ScholarShare 529-Passive Diversified Equity Portfolio	A	Dividend	J	T	Exempt				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Personal Information, Section 6: amending reporting period to end 12/31/15
- 2) Part I, Positions, line 2, the entity is not a trust but is a corporation formed under Washington law.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Christopher M. Alston**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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