

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Barnes, Timothy A.	2. Court or Organization US Bankruptcy Court, Northern District of Illinois	3. Date of Report 05/9/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 219 S. Dearborn St. Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	Curtis, Mallet-Prevost, Colt & Mosle LLP
2.	Member	GWBG LLC
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2011-2013	CMP Retirement Plan (retirement plan with former law firm -- no control)
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Barnes, Timothy A.

Date of Report

05/9/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2. American Bankruptcy Institute	3/10/2013-3/12/2013	New York, NY	Dubenstein moot court competition (judging) and associated activities	Travel, lodging and food
3. Tulane University	3/13/2013-3/16/2013	New Orleans, LA	Admiralty Institute (conference)	Travel, lodging and food
4. Federal Judicial Center	4/14/2013-4/18/2013	New Orleans, LA	Educational seminar	Travel, lodging and food
5. INSOL International	5/19/2013-5/25/2013	The Hague, The Netherlands	United Nations / World Bank / INSOL conference	Travel, lodging and food

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Barnes, Timothy A.

Date of Report

05/9/2014

6.	Association of Insolvency and Restructuring Advisors	6/5/2013-6/6/2013	Chicago, IL	Annual conference	Travel, lodging and food
7.	New York Institute of Credit	10/9/2013-10/9/2013	New York, NY	Award reception	Travel and lodging
8.	National Conference of Bankruptcy Judges	10/30/2013-11/3/2013	Atlanta, Georgia	Annual conference	Travel, lodging and food

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Barnes, Timothy A.

Date of Report

05/9/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	JP Morgan Chase Co.	Mortgage on Chicago rental property	N
2.	JP Morgan Chase Co.	2nd mortgage on Chicago rental property	L
3.	American Express	Credit card	K
4.	Citibank	Credit card	J
5.	Wells Fargo	Credit card	K

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Barnes, Timothy A.

Date of Report

05/9/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Rental property, Chicago IL	E	Rent	N	W					
2. IBM Common Stock		None			Sold	02/06/13	J	A	N/A
3. PhilanTech LLC		None	J	U					
4. GWBG LLC		None	J	T					
5. E*trade account (cash only)		None			Closed	10/04/13	J	A	N/A
6. TIAA-Cref Lifecycle 2040	A	Int./Div.	J	T					
7. Vanguard Life Strategy Growth	A	Int./Div.	K	T					
8. Nationwide Variable Universal Life Ins. #1	A	Int./Div.			Sold	02/14/13	J	A	N/A
9. -- NW NVIT MidCap Index									
10. -- Dreyfus Stock Index									
11. -- Dreyfus Small Capital Stock Index.									
12. -- Franklin Templeton Foreign Securities 1									
13. -- Franklin Templeton Foreign Securities 3									
14. Nationwide Variable Universal Life Ins. #2	A	Int./Div.			Sold	02/14/13	J	A	N/A
15. -- NW NVIT MidCap Index									
16. -- Dreyfus Stock Index Initial									
17. -- Dreyfus Investment Small Cap Stock Index									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Barnes, Timothy A.

Date of Report

05/9/2014

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- Franklin Templeton VIP Small Cap Value									
19. JP Morgan Chase Co. IRA #1	C	Int./Div.	M	T	Sold (part)	03/11/13	L	A	
20.					Sold (part)	07/01/13	L	A	
21. -- JPM Investor Growth & Income FD									
22. -- JPM Tax Free Resv Sweep									
23. JP Morgan Chase Co. IRA #2	A	Int./Div.	J	T					
24. -- JPM Investor Growth & Income FD									
25. -- JPM Tax Free Resv Sweep									
26. JP Morgan Chase Co. Roth IRA	A	Int./Div.	J	T	Sold (part)	03/07/13	J	A	N/A
27. -- JPM Investor Growth & Income FD - SEL									
28. -- JPM Tax Free Resv Sweep FD									
29. CMP HR-10 Retirement Plan (Sentinel Benefits)	D	Int./Div.	M	T					
30. -- Artisan International									
31. -- American Beacon Lg Cap Value									
32. -- T. Rowe Price Equity Inc.									
33. -- Fidelity Retirement Money Market									
34. -- Prudential Jennison Natural Resources Z									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Barnes, Timothy A.

Date of Report

05/9/2014

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35. -- Morley Stable Value									
36. -- Fidelity Worldwide									
37. CMP 401k (Sentinel Benefits)	B	Int./Div.	K	T					
38. -- Artisan International									
39. -- Fidelity Blue Chip									
40. -- T. Rowe Price Equity Income									
41. -- Fidelity Retirement Money Market									
42. -- Prudential Jennison Natural Resources Z									
43. -- Morley Stable Value									
44. BlackRock College Advantage 529 Plan (OH) #1		None	J	T					
45. -- Blackrock Equity Dividend Fund									
46. -- BlackRock Base Value Fund, Inc.									
47. -- BlackRock Large Cap Growth Fund									
48. -- BlackRock Capital Appreciation Fund, Inc.									
49. -- BlackRock U.S. Opportunities Portfolio									
50. -- BlackRock Value Cap Growth Equity Portfolio									
51. -- BlackRock International Opportunities Fund									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Barnes, Timothy A.

Date of Report

05/9/2014

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52. -- BlackRock Global Allocation Fund, Inc.									
53. -- BlackRock Total Return Fund									
54. -- BlackRock Bond Portfolio									
55. -- BlackRock Long Duration Bond Portfolio									
56. -- BlackRock Low Duration Bond Portfolio									
57. -- BlackRock Money Market Portfolio									
58. BlackRock College Advantage 529 Plan (OH) #2		None	J	T					
59. -- BlackRock Equity Divident Fund									
60. -- BlackRock Base Value Fund, Inc.									
61. -- BlackRock Large Cap Growth Fund									
62. -- BlackRock Capital Appreciation Fund, Inc.									
63. -- BlackRock U.S. Opportunities Portfolio									
64. -- BlackRock Value Cap Growth Equity Portfolio									
65. -- BlackRock International Opportunities Fund									
66. -- BlackRock Global Allocation Fund									
67. -- BlackRock Total Return Fund									
68. -- BlackRock Bond Portfolio									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Barnes, Timothy A.

Date of Report

05/9/2014

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69. -- BlackRock Long Duration Bond Portfolio									
70. -- BlackRock Low Duration Bond Portfolio									
71. -- BlackRock Money Market Portfolio									
72. JP Morgan checking account	A	Interest	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Barnes, Timothy A.

Date of Report

05/9/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Barnes, Timothy A.

Date of Report

05/9/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Timothy A. Barnes

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544