

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Thompson, Anne E.	2. Court or Organization U.S. District Court	3. Date of Report 09/25/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 402 E. State Street Room 4000 Trenton, NJ 08608		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member - Criminal Law Drafting Committee	National Conference of Bar Examiners
2. Co-Trustee	Trust #1 - U/W Wm. Thompson Art. Fifth
3. Co-Trustee	Trust #2 - U/W Wm. Thompson Art. Sixth
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 21

Name of Person Reporting

Thompson, Anne E.

Date of Report

09/25/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2016	National Conference of Bar Examiners -- Fees earned for drafting, editing & revising bar exam and evaluating performance of test questions.	\$7,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. National Conference of Bar Examiners	April 21-24, 2016	Sarasota, FL	Activity of Organization	Airfare, Hotel, Meals & Local Transportation
2. National Conference of Bar Examiners	September 22-25, 2016	New York, NY	Activity of Organization	Train Fare, Hotel, Meals & Local Transportation
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 21

Name of Person Reporting

Thompson, Anne E.

Date of Report

09/25/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 21

Name of Person Reporting

Thompson, Anne E.

Date of Report

09/25/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Sun Nat'l Bank Checking Account	A	Interest	J	T					
2. Glenmede Tax-Exempt Fund	A	Dividend	J	T					
3. Metropolitan Life Insurance Company	A	Interest	J	T					
4. Chase Bank	A	Interest	K	T					
5. TRUST #1 -- U/W Wm. Thompson Art. Fifth (H)									
6. -- Fidelity Diversified Int'l Fund	A	Dividend	K	T					
7. -- Fidelity Money Market Cash Reserves	A	Dividend	J	T					
8. -- Fidelity Advisor New Insights Fund	A	Dividend	J	T	Sold (part)	04/21/16	J	A	
9. -- Fidelity Real Estate Investment Fund	A	Dividend	J	T	Sold (part)	12/22/16	J	A	
10. -- Fidelity Blue Chip Growth Fund	A	Dividend	K	T					
11. -- Fidelity Short-Inter Mediate Muni Income Fund	A	Dividend	J	T					
12. -- Baron Growth Fund	A	Dividend	J	T					
13. -- DWS Strategic High Yield Tax Free CL S	B	Dividend	K	T					
14. -- Diamond Hill Small Cap Fund CL A	A	Dividend	J	T					
15. -- Harbor Capital Appreciation Fund	A	Dividend	J	T					
16. -- Hartford Capital Appreciation Fund CL A	A	Dividend	J	T					
17. -- Hotchkiss & Wiley Mid Cap Value Fund CL A	A	Dividend	J	T					

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	F ~\$50,001 - \$100,000 (See Columns C1 and D3)	J ~\$15,000 or less K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 21

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. -- Morgan Stanley Mid Cap Growth Port CL P	C	Dividend	J	T	Sold (part)	12/22/16	J	A	
19. -- T Rowe Price Mid Cap Value Fund Adv Class	A	Dividend	J	T					
20. -- T Rowe Price Value Fund Adv Class	A	Dividend	K	T					
21. -- S&P 500 Depository Receipt	A	Dividend	K	T	Sold (part)	02/18/16	K	A	
22. -- Invesco Int'l Growth Fund	A	Dividend	J	T	Buy (add'l)	02/16/16	J		
23. -- Invesco Int'l Growth Fund	A	Dividend	J	T	Buy (add'l)	11/01/16	J		
24. -- Vitrus Foreign Opportunities Fund	A	Dividend	J	T					
25. -- MFS International Diversification Fund	A	Dividend	J	T					
26. -- T Rowe Tax Free Inc Fund	A	Dividend	J	T					
27. -- Aston/River Road Dividend All Cap	A	Dividend			Sold	04/21/16	J	A	
28. -- Causeway International Value	A	Dividend	J	T	Sold (part)	02/16/16	J	A	
29. -- FMI Large Cap Fund	A	Dividend	J	T	Sold (part)	09/01/16	J	A	
30. -- MFS Research Int'l	A	Dividend	J	T	Sold (part)	04/21/16	J	A	
31. -- Primecap Odyssey Growth Fund	A	Dividend	J	T					
32. -- iShares TR Russell 1000 Value Index	A	Dividend	J	T	Sold (part)	02/18/16	J	A	
33. -- iShares TR Russell 1000 Value Index	A	Dividend	J	T	Sold (part)	04/25/16	J	A	
34. -- iShares TR Russell 100 Growth Index	A	Dividend	J	T	Sold (part)	02/18/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 21

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35. -- Fidelity Municipal Income	C	Dividend	L	T	Sold (part)	02/16/16	K	B	
36. -- Artisan Small Cap Fund	A	Dividend	J	T					
37. -- Wells Fargo Short-Term Muni Inc	A	Dividend	J	T	Sold (part)	02/16/16	J	A	
38. -- Wells Fargo Short-Term Muni Inc	A	Dividend	J	T	Buy (add'l)	04/21/16	J		
39. -- Wells Fargo Short-Term Muni Inc	A	Dividend	J	T	Buy (add'l)	12/22/16	J		
40. -- iShares TR Russell 1000 Index Fund	A	Dividend	J	T	Sold (part)	02/18/16	J	A	
41. -- Vanguard Growth Vipers	A	Dividend	J	T	Sold (part)	02/18/16	J	A	
42. -- Vanguard Value Vipers	A	Dividend	K	T	Sold (part)	02/18/16	J	A	
43. -- Blackrock National Muni Fund Cl A	A	Dividend	K	T	Buy (add'l)	11/01/16	J		
44. -- Blackrock National Muni Fund Cl A	A	Dividend	K	T	Sold (part)	12/22/16	J	A	
45. -- Sector Spdr Tr Shs Ben Int Financial	A	Dividend	J	T	Sold (part)	02/18/16	J	A	
46. -- Sector Spdr Tr Shs Ben Int Financial	A	Dividend	J	T	Sold (part)	04/25/16	J	A	
47. -- Fidelity International Cap Appreciation Fund		None			Sold	02/16/16	J	A	
48. -- MFS International Value Fund		None			Sold	02/16/16	J	A	
49. -- Spdr Ser Tr Barclays Conv Secs Etf	A	Dividend			Sold	02/18/16	J	A	
50. -- Franklin International Sm Cap Grwth Adv		None			Sold (part)	02/16/16	J	A	
51. -- Franklin International Sm Cap Grwth Adv		None			Sold (part)	04/21/16	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 7 of 21

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52. -- Franklin International Sm Cap Grwth Adv		None			Sold	11/01/16	J	A	
53. -- Oppenheimer International Bond	A	Dividend			Sold	02/16/16	K	A	
54. -- Ishares Core S&P Small Cap		None			Sold	02/18/16	J	A	
55. -- Causeway Emerging Markets		None			Sold	02/16/16	J	A	
56. -- T Rowe Price Emerging Markets Stock		None			Sold	02/16/16	J	A	
57. -- Eaton Vance Income Fund of Boston	A	Dividend			Sold	02/16/16	J	A	
58. -- Templeton Frontier Markets		None			Sold (part)	01/19/16	J	A	
59. -- Templeton Frontier Markets		None			Sold (part)	01/25/16	J	A	
60. -- Templeton Frontier Markets		None			Sold	01/27/16	J	A	
61. -- Invesco Convertible Securities Fd		None			Sold	02/16/16	J	A	
62. -- Acadian Emerging Markets Investor	A	Dividend	J	T	Buy	02/16/16	J		
63. -- Blackrock High Yield Bond K	A	Dividend	J	T	Buy	02/16/16	J		
64. -- Blackrock High Yield Bond K	A	Dividend	J	T	Buy (add'l)	04/21/16	J		
65. -- Blackrock High Yield Bond K	A	Dividend	J	T	Buy (add'l)	11/01/16	J		
66. -- Franklin Convertible Securities	A	Dividend	J	T	Buy	02/16/16	J		
67. -- Morgan Stanley Inst International	A	Dividend	J	T	Buy	02/16/16	J		
68. -- Oppenheimer Development Markets	A	Dividend	J	T	Buy	02/16/16	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 21

Name of Person Reporting

Thompson, Anne E.

Date of Report

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69. -- Templeton Global Bond	A	Dividend	K	T	Buy	02/16/16	K		
70. -- Templeton Global Bond	A	Dividend	K	T	Buy (add'l)	04/21/16	J		
71. -- Templeton Global Bond	A	Dividend	K	T	Buy (add'l)	11/01/16	J		
72. -- Transamerica International Equity	A	Dividend	J	T	Buy	02/16/16	J		
73. -- Transamerica International Equity	A	Dividend	J	T	Buy (add'l)	06/28/16	J		
74. -- Wasatch Frontier Emerging Small Co's		None	J	T	Buy	02/16/16	J		
75. -- Wells Fargo Special Mid Cap Value Adm	A	Dividend	J	T	Buy	02/16/16	J		
76. -- Wells Fargo Special Mid Cap Value Adm	A	Dividend	J	T	Sold (part)	04/21/16	J	A	
77. -- iShares S&P 500 Growth	A	Dividend	J	T	Buy	02/18/16	J		
78. -- iShares S&P 500 Growth	A	Dividend	J	T	Sold (part)	04/25/16	J	A	
79. -- iShares S&P 500 Value	A	Dividend	J	T	Buy	02/18/16	J		
80. -- Vanguard Large Cap ETF	A	Dividend	K	T	Buy	02/18/16	K		
81. -- Vanguard Large Cap ETF	A	Dividend	K	T	Sold (part)	04/25/16	J	A	
82. -- Vanguard Sector Index	A	Dividend	J	T	Buy	02/18/16	J		
83. -- Vanguard Small-Cap ETF	A	Dividend	J	T	Buy	02/18/16	J		
84. -- Mainstay Tax-Free Bond	A	Dividend			Buy	04/21/16	J		
85. -- Mainstay Tax-Free Bond	A	Dividend			Sold (part)	06/28/16	J	A	

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Page 9 of 21

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86. -- Mainstay Tax-Free Bond	A	Dividend			Buy (add'l)	11/01/16	J		
87. -- Mainstay Tax-Free Bond	A	Dividend			Sold	12/22/16	J	A	
88. -- iShares Inc Core MSCI Emerging Markets	A	Dividend			Buy	04/25/16	J		
89. -- iShares Inc Core MSCI Emerging Markets	A	Dividend			Sold	06/30/16	J	A	
90. -- iShares MSCI Emerging Markets	A	Dividend	J	T	Buy	06/30/16	J		
91. -- iShares MSCI EAFE Small-Cap	A	Dividend	J	T	Buy	11/03/16	J		
92. -- Western Asset Management Municipals		None	K	T	Buy	12/22/16	K		
93. TRUST #2 -- U/W Wm. Thompson Art. Sixth (H)									
94. -- Fidelity Diversified Int'l Fund	A	Dividend	J	T					
95. -- Fidelity Money Market Cash Reserves	A	Dividend	J	T					
96. -- Fidelity Advisor Mid Cap Fund II CL I	A	Dividend	J	T					
97. -- Fidelity Advisor Int'l Discovery Fund CL I	A	Dividend	J	T	Sold (part)	01/25/16	J	A	
98. -- Fidelity Real Estate Investment Fund	A	Dividend	K	T	Sold (part)	12/22/16	J	A	
99. -- Fidelity Blue Chip Growth Fund	B	Dividend	K	T					
100. -- Baron Growth Fund	B	Dividend	J	T					
101. -- DWS Strategic High Yield Tax Free CL S	A	Dividend	K	T	Buy (add'l)	09/16/16	J		
102. -- DWS Strategic High Yield Tax Free CL S	A	Dividend	K	T	Buy (add'l)	11/01/16	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 21

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103. -- DWS Strategic High Yield Tax Free CL S	A	Dividend	K	T	Sold (part)	12/22/16	J	A	
104. -- Diamond Hill Small Cap Fund CL A	A	Dividend	J	T					
105. -- Harbor Capital Appreciation Fund	B	Dividend	K	T					
106. -- Hartford Capital Appreciation Fund CL A	A	Dividend	J	T					
107. -- iShares TR Russell 100 Growth Index Fund	A	Dividend	K	T	Buy (add'l)	01/27/16	J		
108. -- iShares TR Russell 100 Growth Index Fund	A	Dividend	K	T	Sold (part)	04/20/16	J	A	
109. -- Mutual Series Mutual Shares CL A	A	Dividend	J	T					
110. -- T Rowe Price Mid Cap Value Fund Adv Class	B	Dividend	K	T					
111. -- T Rowe Price Value Fund Adv Class	B	Dividend	K	T					
112. -- Royce PA Mutual Fund	A	Dividend	J	T					
113. -- S&P 500 Depository Receipt	B	Dividend	L	T	Sold (part)	01/27/16	K	A	
114. -- S&P 500 Depository Receipt	B	Dividend	K	T	Sold (part)	12/27/16	J	A	
115. -- Invesco Int'l Growth Fund	A	Dividend	J	T					
116. -- Artisan Int'l Value Fund	A	Dividend	K	T	Buy (add'l)	01/25/16	K		
117. -- American EuroPacific Growth Fund	A	Dividend	K	T					
118. -- MFS International Diversification Fund	A	Dividend	J	T					
119. -- Fidelity Advisor New Insights	A	Dividend	J	T	Sold (part)	04/18/16	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 21

Name of Person Reporting

Thompson, Anne E.

Date of Report

09/25/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -- T Rowe Price Tax Free Income	B	Dividend	K	T	Sold (part)	01/25/16	J	A	
121. -- Dodge & Cox Stock Fund	A	Dividend	J	T					
122. -- iShares TR 1000 Index	A	Dividend	J	T	Sold (part)	01/27/16	J	A	
123. -- iShares TR 1000 Value Index	A	Dividend	K	T	Sold (part)	01/27/16	J	A	
124. -- iShares TR 1000 Value Index	A	Dividend	K	T	Sold (part)	11/03/16	J	B	
125. -- Oakmark International	A	Dividend	J	T	Sold (part)	01/25/16	J	A	
126. -- iShares Msci EAFE Index	A	Dividend	J	T					
127. -- iShares TR Russell Midcap Value Index	A	Dividend	J	T	Sold (part)	04/20/16	J	A	
128. -- iShares TR Russell Midcap Value Index	A	Dividend	J	T	Sold (part)	07/07/16	J	A	
129. -- Templeton Global Bond	A	Dividend			Sold	01/25/16	J	A	
130. -- Templeton Global Bond	A	Dividend	J	T	Buy	12/22/16	J		
131. -- T Rowe Price International Stock	A	Dividend	K	T	Buy (add'l)	01/25/16	J		
132. -- Wells Fargo Short-Term Muni Inc	A	Dividend	K	T	Sold (part)	01/25/16	J	A	
133. -- Wells Fargo Short-Term Muni Inc	A	Dividend	K	T	Buy (add'l)	04/18/16	J		
134. -- Wells Fargo Short-Term Muni Inc	A	Dividend	K	T	Buy (add'l)	12/22/16	J		
135. -- Fidelity Emerging Markets		None			Sold	01/25/16	J	A	
136. -- Oppenheimer International Bond	A	Dividend	J	T	Buy (add'l)	01/25/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 21

Name of Person Reporting

Thompson, Anne E.

Date of Report

09/25/2017

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -- Oppenheimer International Bond	A	Dividend	J	T	Buy (add'l)	11/01/16	J		
138. -- Oppenheimer International Bond	A	Dividend	J	T	Sold (part)	12/22/16	K	A	
139. -- Blackrock National Muni	C	Dividend	M	T					
140. -- Sector Spdr Tr Shs Ben Int Financial		None			Sold	01/27/16	K	A	
141. -- Franklin International Small Cap Growth Advisor		None			Sold (part)	04/18/16	J	A	
142. -- Franklin International Small Cap Growth Advisor		None			Sold	11/01/16	J	A	
143. -- MFS International Value Fund		None			Sold	01/25/16	J	A	
144. -- Wasatch Forntier Emerging Small Cp's		None	J	T	Sold (part)	11/01/16	J	A	
145. -- Spdr Ser Tr Barclays Conv Secs Etf	A	Dividend			Sold	01/27/16	J	A	
146. -- Templeton Frontier Markets		None			Sold (part)	01/19/16	J	A	
147. -- Templeton Frontier Markets		None			Sold (part)	01/25/16	J	A	
148. -- Templeton Frontier Markets		None			Sold	01/27/16	J	A	
149. -- Eaton Vance Income Fund of Boston	A	Dividend			Sold	01/25/16	J	A	
150. -- Lazard Emerging Markets		None			Sold	01/25/16	J	A	
151. -- Oppenheimer Developing Markets Fund		None			Sold	01/25/16	J	A	
152. -- William Blair International Growth		None			Sold	01/25/16	J	A	
153. -- Ishares S&P Small-Cap		None			Sold	01/27/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 13 of 21

Name of Person Reporting

Thompson, Anne E.

Date of Report

09/25/2017

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -- Vanguard Index FDS Value		None			Sold	01/27/16	K	A	
155. -- Acadian Emerging Markets Investor	A	Dividend	J	T	Buy	01/25/16	J		
156. -- Blackrock High Yield Bond K	A	Dividend	J	T	Buy	01/25/16	J		
157. -- Blackrock High Yield Bond K	A	Dividend	J	T	Buy (add'l)	04/18/16	J		
158. -- Franklin Convertible Securities	A	Dividend	J	T	Buy	01/25/16	J		
159. -- Franklin Convertible Securities	A	Dividend	K	T	Buy (add'l)	04/18/16	J		
160. -- T Rowe Price Emerging Markets Stock	A	Dividend	K	T	Buy	01/25/16	K		
161. -- iShares Core S&P Small-Cap	A	Dividend	J	T	Buy	01/27/16	J		
162. -- iShares S&P 500 Value	A	Dividend	K	T	Buy	01/27/16	K		
163. -- iShares S&P 500 Value	A	Dividend	K	T	Sold (part)	04/20/16	J	A	
164. -- iShares US Financials	A	Dividend	K	T	Buy	01/27/16	J		
165. -- iShares US Financials	A	Dividend	K	T	Sold (part)	07/07/16	J	A	
166. -- Vanguard Large-Cap ETF	A	Dividend	K	T	Buy	01/27/16	K		
167. -- Vanguard Large-Cap ETF	A	Dividend	K	T	Sold (part)	04/20/16	J	A	
168. -- Mainstay Tax-Free Bond	A	Dividend			Buy	04/18/16	J		
169. -- Mainstay Tax-Free Bond	A	Dividend			Sold (part)	07/05/16	J	A	
170. -- Mainstay Tax-Free Bond	A	Dividend			Buy (add'l)	11/01/16	J		

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FINANCIAL DISCLOSURE REPORT

Page 14 of 21

Name of Person Reporting

Thompson, Anne E.

Date of Report

09/25/2017

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -- Mainstay Tax-Free Bond	A	Dividend			Sold	12/22/16	J	A	
172. -- FlexShares Mdstar Global Upstream	A	Dividend	J	T	Buy	04/20/16	J		
173. -- iShares Core Msci Emerging Markets	A	Dividend	J	T	Buy	04/20/16	J		
174. -- iShares Core Msci Emerging Markets	A	Dividend	J	T	Buy (add'l)	07/07/16	J		
175. -- iShares MSCI EAFE Small-Cap	A	Dividend	J	T	Buy	11/03/16	J		
176. -- Fidelity Tax-Free Bond Fund	A	Dividend	J	T	Buy	12/22/16	J		
177. -- T Rowe Price Tax Free High Yield		None	J	T	Buy	12/22/16	J		
178. -- Western Asset Management Municipals		None	J	T	Buy	12/22/16	J		
179. GLENMEDE IRA (H)									
180. -- Glenmede Core Fixed Income Port	C	Dividend	M	T	Buy (add'l)	05/20/16	L		
181. -- Glenmede Core Fixed Income Port	C	Dividend	M	T	Sold (part)	07/27/16	L	B	
182. -- Exxon Mobil Corp	A	Dividend	J	T					
183. -- Johnson & Johnson	A	Dividend	J	T					
184. -- Glenmede Govt Cash Fund	A	Interest	J	T					
185. -- Qualcomm Corp	A	Dividend	J	T					
186. -- AT&T Inc	A	Dividend			Sold	02/10/16	J	A	
187. -- Templeton Global Bond Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 21

Name of Person Reporting

Thompson, Anne E.

Date of Report

09/25/2017

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188. -- Legg Mason Brandywine Global Fund		None			Sold	04/01/16	K	A	
189. -- Conocophillips	A	Dividend			Sold	04/20/16	J	A	
190. -- Glenmede Fund Inc Advisor Small Cap	A	Dividend	K	T					
191. -- American Express Co.	A	Dividend			Sold	06/29/16	J	A	
192. -- Matthews Pacific Tiger Fund	A	Dividend	J	T					
193. -- Van Eck Global Hard Assets	A	Dividend	K	T	Sold (part)	12/02/16	J	A	
194. -- Apple Inc	A	Dividend	J	T					
195. -- Wells Fargo Co	A	Dividend			Sold	09/21/16	J	C	
196. -- Seyworks Solutions	A	Dividend	J	T					
197. --National Oilwell Varco Inc	A	Dividend			Sold	10/26/16	J	A	
198. -- Celgene Corp		None	K	T					
199. -- Cisco Systems	A	Dividend	J	T					
200. -- Union Pacific Corp	A	Dividend	K	T					
201. -- Loomis Sayles Bond Fund	B	Dividend	K	T					
202. -- McCormick & Co Inc	A	Dividend			Sold	02/10/16	J	A	
203. -- Whiting Petroleum		None			Sold	05/05/16	J	A	
204. -- Priceline.Com Inc		None			Sold	09/26/16	J	C	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 21

Name of Person Reporting

Thompson, Anne E.

Date of Report

09/25/2017

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205. -- Quintiles Transitional Holdings Inc		None	J	T	Sold (part)	08/02/16	J	B	
206. -- Roche Holdings Ltd Spons ADR	A	Dividend			Sold	06/16/16	J	A	
207. -- Novo-Nordisk		None			Sold	03/08/16	J	A	
208. -- Pepsico Inc	A	Dividend	J	T					
209. -- Chevron Corp	A	Dividend	J	T					
210. -- F5 Networks		None			Sold	03/30/16	J	A	
211. -- Astrazenca PLC Spons ADR	A	Dividend			Sold	03/24/16	J	A	
212. -- Mastercard Inc	A	Dividend	J	T					
213. -- Boeing Co	A	Dividend	J	T					
214. -- Raytheon Company	A	Dividend			Sold	02/11/16	J	B	
215. -- Ace Ltd	A	Dividend			Sold	01/15/16	J	A	
216. -- Paypal Holdings Inc		None	J	T					
217. -- Home Depot Inc	A	Dividend	J	T					
218. -- JP Morgan & Chase Co	A	Dividend	J	T					
219. -- Dollar Tree Inc		None	J	T	Sold (part)	07/19/16	J	C	
220. -- Dollar Tree Inc		None	J	T	Buy (add'l)	09/06/16	J		
221. -- Honeywell International Inc	A	Dividend	J	T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
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(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 21

Name of Person Reporting

Thompson, Anne E.

Date of Report

09/25/2017

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222. -- Ralph Lauren Corp	A	Dividend	J	T	Buy (add'l)	02/09/16	J		
223. -- Cummins Engine Inc		None			Sold	02/12/16	J	A	
224. -- Alphabet Inc Cap Stock		None	K	T					
225. -- Sherwin Williams Co	A	Dividend	J	T	Buy (add'l)	10/13/16	J		
226. -- US Bancorp	A	Dividend	J	T					
227. -- Gilead Sciences Inc	A	Dividend	J	T	Buy (add'l)	01/21/16	J		
228. -- Gilead Sciences Inc	A	Dividend	J	T	Buy (add'l)	09/16/16	J		
229. -- Southern Co	A	Dividend			Sold	07/01/16	J	B	
230. -- WEC Energy Corp		None			Sold	02/08/16	J	B	
231. -- TJX Cos Inc	A	Dividend	J	T					
232. -- Walt Disney Co	A	Dividend	J	T	Buy (add'l)	01/21/16	J		
233. -- Google Inc	A	Dividend	J	T					
234. -- Invesco Ltd	A	Dividend	J	T					
235. -- Cognizant Tech Solutions		None	J	T	Buy	01/12/16	J		
236. -- Chubb Ltd	A	Dividend			Buy	01/15/16	J		
237. -- Chubb Ltd	A	Dividend			Sold	05/23/16	J	B	
238. -- United Technologies Corp	A	Dividend	J	T	Buy	02/22/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 21

Name of Person Reporting

Thompson, Anne E.

Date of Report

09/25/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -- Intel Corp	A	Dividend	J	T	Buy	02/24/16	J		
240. -- Yum Brands Inc	A	Dividend	J	T	Buy	02/25/16	J		
241. -- Eli Lilly & Co	A	Dividend	J	T	Buy	03/14/16	J		
242. -- Valero Energy Corp	A	Dividend			Buy	04/25/16	J		
243. -- Valero Energy Corp	A	Dividend			Sold	06/23/16	J		
244. -- Mead Johnson Nutrition Co	A	Dividend			Buy	04/26/16	J		
245. -- Mead Johnson Nutrition Co	A	Dividend			Sold	06/27/16	J	A	
246. --Diageo PLC Spons ADR	A	Dividend	J	T	Buy	05/10/16	J		
247. -- Smith & Nephew PLC	A	Dividend			Buy	07/15/16	J		
248. -- Smith & Nephew PLC	A	Dividend			Sold	12/23/16	J	A	
249. -- Touchstone Sands Emerging Markets Growth Fund		None	K	T	Buy	07/25/16	K		
250. -- Glenmede International	A	Dividend	L	T	Buy	07/26/16	L		
251. -- Glenmede International	A	Dividend	L	T	Sold (part)	12/02/16	J	A	
252. -- Schlumberger Ltd	A	Dividend	J	T	Buy	07/26/16	J		
253. -- Advansix Inc		None			Spinoff (from line 221)	10/01/16	J		
254. -- Advansix Inc		None			Sold	10/25/16	J	A	
255. -- Abbott Laboratories		None	J	T	Buy	10/17/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 21

Name of Person Reporting

Thompson, Anne E.

Date of Report

09/25/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. -- Yum China Holdings Inc		None	J	T	Spinoff (from line 240)	11/01/16	J		
257. -- Edwards Lifesciences		None	J	T	Buy	12/07/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

Name of Person Reporting	Date of Report
Thompson, Anne E.	09/25/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 21 of 21

Name of Person Reporting

Thompson, Anne E.

Date of Report

09/25/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Anne E. Thompson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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