

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BALDOCK, Bobby R.	2. Court or Organization U.S. Court of Appeals-10th Cir	3. Date of Report 05/09/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address P.O. Box 2388 Roswell, New Mexico 88202-2388		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1 (line 29)
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

BALDOCK, Bobby R.

Date of Report

05/09/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. The Blackstone Legal
Fellowship

August 1-3, 2016

Washington, DC

Speaker

Transportation, meals, lodging

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

BALDOCK, Bobby R.

Date of Report

05/09/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

BALDOCK, Bobby R.

Date of Report

05/09/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Merrill Lynch IRA (H)									
2. -XCEL (aka XCEL Energy Inc.)	B	Dividend	L	T					
3. -Lord Abbett Total Return Fund CL A - See VIII	B	Dividend	K	T	Sold (part)	11/16/16	K	A	
4. -Franklin Equity Income					Sold	11/22/16	J	A	
5. -Merrill Lynch Retirement Reserves - See VIII	A	Dividend	K	T					
6. Hunker Commune (Holly, Bluestem, Rio Oil & Gas, COG Operating)	A	Royalty	J	W					
7. Lot in South Spring Acres, Roswell, NM		None	J	W					
8. Stock in South Spring Acres, Roswell, NM		None	K	W					
9. Minerals, Washita County, OK (BBX Oil Corp.)	A	Rent	J	W					
10. Silver and silver coins		None	J	T					
11. Gold coins		None	J	T					
12. Navajo, Hockley County, TX	B	Royalty	J	W					
13. Pioneer Savings Bank	A	Interest	M	T					
14. Working interest in Hodges - Hockley County, TX	A	Royalty	J	T					
15. Working interest in Jeffers-Hockley County, TX	A	Royalty	J	T					
16. Working interest in Pair- Hockley County, TX	A	Royalty	J	T					
17. Working interest in Reed-Hockley County, TX	A	Royalty	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

Name of Person Reporting

BALDOCK, Bobby R.

Date of Report

05/09/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Working interest in White-Hockley County, TX	A	Royalty	J	T					
19. Working interest in Terry-Hockley County, TX	A	Royalty	J	T					
20. Cash Value Life Insurance, AXA Equitable(Money Market Acct)	A	Interest	J	T					
21. Oil & Gas Items: (H)									
22. XTO Energy Production	A	Royalty	J	W					
23. BP Am Production Company	C	Royalty	K	W					
24. Conoco Phillips	C	Royalty	K	W					
25. Sunco Oil & Gas Production	A	Royalty	J	W					
26. Great Western Drilling Company	B	Royalty	K	W					
27. El Paso Production Company -(Finley Resources, Inc.)	B	Royalty	J	W					
28. Working interest in Mewbourne Oil, Eddy County, NM	B	Royalty	J	W					
29. Trust #1 (H)									
30. - ISHARES MSCI EAFE	A	Dividend	K	T	Distributed (part)	04/21/16	K		
31.					Distributed (part)	04/25/16	K		
32.					Buy (add'l)	05/27/16	J		
33.					Buy (add'l)	06/22/16	K		
34.					Sold (part)	10/24/16	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

Name of Person Reporting

BALDOCK, Bobby R.

Date of Report

05/09/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -ISHARES IBOXXS INVT GRADE CORP BD FUND	A	Dividend	K	T	Distributed (part)	04/21/16	J		
36.					Distributed (part)	04/25/16	J		
37.					Buy (add'l)	05/27/16	J		
38.					Buy (add'l)	10/24/16	J		
39. - ISHARES MBS	A	Dividend	L	T	Distributed (part)	04/21/16	K		
40.					Distributed (part)	04/25/16	J		
41.					Buy (add'l)	05/27/16	J		
42.					Buy (add'l)	10/24/16	K		
43. -ISHARES IBOXXS HIGH YIEL CORPORATE BOND FUND	A	Dividend	J	T	Buy	06/22/16	J		
44.					Buy (add'l)	10/24/16	J		
45. -ISHARES 3-7 YEAR TREASURY BOND ETF	A	Dividend	K	T	Distributed (part)	04/21/16	J		
46.					Distributed	04/25/16	J		
47.					Buy (add'l)	05/27/16	J		
48.					Buy (add'l)	06/27/16	J		
49.					Buy (add'l)	10/24/16	J		
50. -POWERSHARES EM SOVEREIGN BOND-See VIII	A	Dividend	K	T	Distributed (part)	04/21/16	J		
51.					Distributed (part)	04/25/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 12

Name of Person Reporting

BALDOCK, Bobby R.

Date of Report

05/09/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	05/27/16	J		
53.					Buy (add'l)	06/27/16	J		
54.					Buy (add'l)	10/24/16	J		
55. -VANGUARD INTERMEDIATE TERM BOND ETF	A	Dividend	L	T	Distributed (part)	04/21/16	K		
56.					Distributed (part)	04/25/16	K		
57.					Buy (add'l)	05/27/16	J		
58.					Buy (add'l)	06/27/16	J		
59.					Buy (add'l)	10/24/16	K		
60. -VANGUARD SHORT TERM BOND	A	Dividend	K		Distributed (part)	04/21/16	K		
61.					Distributed (part)	04/25/16	J		
62.					Buy (add'l)	05/27/16	J		
63.					Sold (part)	06/27/16	K	A	
64.					Buy (add'l)	10/24/16	J		
65. -VANGUARD SMALL CAP VALUE	A	Dividend	K	T	Distributed (part)	04/21/16	J		
66.					Distributed (part)	04/25/16	J		
67.					Buy (add'l)	05/27/16	J		
68.					Sold (part)	06/27/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 12

Name of Person Reporting

BALDOCK, Bobby R.

Date of Report

05/09/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	10/24/16	J		
70. -VANGUARD SMALL CAP GROWTH ETF	A	Dividend	J	T	Distributed (part)	04/21/16	J		
71.					Distributed (part)	04/25/16	J		
72.					Sold (part)	05/27/16	J		
73.					Sold (part)	10/24/16	J	A	
74. -ML BANK DEPOSIT PROGRAM	A	Interest	L	T	Distributed (part)	12/31/16	L		
75. -POWERSHARES PREFERRED PORTFOLIO	A	Dividend	J	T	Distributed (part)	04/21/16	J		
76.					Distributed (part)	04/25/16	J		
77.					Buy (add'l)	05/27/16	J		
78.					Buy (add'l)	10/24/16	J		
79. -VANGUARD VALUE ETF	A	Dividend	M	T	Distributed (part)	04/21/16	L		
80.					Distributed (part)	04/25/16	K		
81.					Buy (add'l)	05/27/16	K		
82.					Sold (part)	06/27/16	J	A	
83.					Sold (part)	10/24/16	K	B	
84. -VANGUARD GROWTH ETF	A	Dividend	L	T	Distributed (part)	04/21/16	L		
85.					Distributed (part)	04/25/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 12

Name of Person Reporting

BALDOCK, Bobby R.

Date of Report

05/09/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Buy (add'l)	05/27/16	J		
87.					Sold (part)	06/27/16	J		
88.					Sold (part)	10/24/16	K		
89. -SPDR BARCLAYS HIGH YIELD BOND ETF	A				Distributed (part)	04/21/16	J		
90.					Distributed (part)	04/25/16	J		
91.					Buy (add'l)	05/27/16	J		
92.					Sold	06/27/16	J	A	
93. -MARKET VECTORS J.P. MORGAN EM LOCAL CURRENCY BOND ETF	A				Distributed (part)	04/21/16	J		
94.					Distributed (part)	04/25/16	J		
95.					Merged (with line 96)	05/02/16	K		
96. -VANECK VECTORS JP MORGAN EM	A	Dividend	J	T	Open	05/02/16	K		
97.					Buy (add'l)	05/27/16	J		
98.					Buy (add'l)	06/27/16	J		
99.					Buy (add'l)	10/24/16	J		
100. -ISHARES INC CORE MSCI EMERGING MKTS ETF	A	Dividend	J	T	Distributed (part)	04/21/16	J		
101.					Distributed (part)	04/25/16	J		
102.					Buy (add'l)	05/27/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 12

Name of Person Reporting

BALDOCK, Bobby R.

Date of Report

05/09/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Sold (part)	06/27/16	J		
104.					Sold (part)	10/24/16	K	B	
105. -ISHARES TIPS BOND ETF	A	Dividend	K	T	Distributed (part)	04/21/16	J		
106.					Distributed (part)	04/25/16	J		
107.					Buy (add'l)	05/27/16	J		
108.					Buy (add'l)	06/27/16	J		
109.					Buy (add'l)	10/24/16	J		
110. ALLSTATE ANNUITY - FIXED	F	Distribution	N	T	Distributed (part)	04/21/16	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

BALDOCK, Bobby R.

Date of Report

05/09/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Page 4, line 3 - Also known as Lord Abbett (Mutual R Fund)

Part VII, Page 4, line 5 - Merrill Lynch Retirement Reserves and Merrill Lynch Cash Equivalent Accounts should be listed as one asset. On prior report listed on Line 5 and 6. The primary depository institution is Bank of America, N.A.

Part VII, Page 5, line 29 - Trust - 50% of the trust assets were distributed to beneficiary out of household

Part VII, Page 6, Line 50 - Powershares Global EXCHANGE TRADED FD TR is now called POWERSHARES EM SOVEREIGN BOND

Part VII, Page 10, Line 110 - Allstate Annuity - 50% of the annuity was distributed to beneficiary out of household

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

BALDOCK, Bobby R.

Date of Report

05/09/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Bobby R. BALDOCK**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544