

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) PRATT, ROBERT W.	2. Court or Organization US DISTRICT COURT - SO IOWA	3. Date of Report 05/09/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US DISTRICT COURT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address US COURTHOUSE 123 E. WALNUT STREET DES MOINES, IA 50309		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANKERS TRUST COMPANY	A	Interest	K	T					
2. MORGAN STANLEY DEAN WITTER MARKETABLE SECURITIES:									
3. MORGAN STANLEY BANK	A	Interest	N	T					
4. MS EMERGING MKTS DOMESTIC DEBT	C	Dividend	L	T	Buy (add'l)	01/06/12	J		
5. MS EMERGING MKTS DOMESTIC DEBTDEBT	A	Dividend			Buy (add'l)	04/13/12	J		
6. MS EMERGING MKTS DOMESTIC DEBT	A	Dividend			Buy (add'l)	07/13/12	J		
7. MS EMERGING MKTS DOMESTIC DEBT	A	Dividend			Buy (add'l)	10/15/12	J		
8. TACOMA PUB UTIL LIGHT & PWR	A	Interest			Redeemed	01/03/12	J		
9. INKSTER MI GEN OBLIG	A	Interest			Redeemed	03/01/12	M		
10. PASCO WA GEN OBLIG	A	Interest			Redeemed	04/02/12	J		
11. ODESSA TX HSG FIN CORP ZERO COUPON	A	Interest			Redeemed	06/01/12	J		
12. IA ST UNIV SCI & TECH	B	Interest			Redeemed	07/02/12	L		
13. MINN HIGHER EDUC FACS AUTH	A	Interest			Redeemed	10/01/12	J		
14. AURORA COLO SINGLE FAM MTG REV SER A ZERO	A	Interest			Redeemed	11/01/12	J		
15. SCHILLER PARK ILL GO-C BE 4.000%	B	Interest			Redeemed	12/03/12	L		
16. DOUGLAS CO COLO SCH DIST 1 ZERA	A	Interest			Redeemed	12/17/12	K		
17. WASH CTY UTAH SCH DIST	A	Interest	L	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. WRIGHT ST UNIV	A	Interest	J	T					
19. WASH ST GEN OBLIG MOTOR VEH FUEL TAX ZERO	B	Interest	K	T					
20. IOWA CIT IOWA SWR REV REF CAP LN NTS	B	Interest	L	T					
21. LOUISIANA ST GO GULF OPP	A	Interest	J	T					
22. E-470 PUB HWY AUTH COLO ZERO	A	Interest	M	T					
23. ALASKA ST INTL AIRPORTS	B	Interest	K	T					
24. METRO WASH DC AIRPORT AUTH	A	Interest	J	T					
25. MODESTO CA IRR DIST	A	Interest	K	T					
26. WILL CNTY ILL SCH DIST NO ZERO	A	Interest	J	T					
27. FAYETTE CNTY KY SCH DIST	A	Interest	J	T					
28. LAKE CNTY ILL CMNTY SCH DIST NO	A	Interest	L	T					
29. BENSON ARIZ EXCISE TAX & ST SHARED REV OBLIGS	A	Interest	K	T					
30. DENVER CO CONV CTR HOTEL	A	Interest	K	T					
31. WA STATE CERTIFICATES OF PARTICIPATION SER	A	Interest	K	T					
32. FLORIDA ST BRD ED FL INTL UNIV	A	Interest	J	T					
33. WALLA WALLA WASH WATER & WASTE	C	Interest	M	T					
34. VIRGINIA HSG DEV COMWLTH SER A	B	Interest	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. APOPKA FLA UTIL SYS REF IMPT	A	Interest			Redeemed	12/20/12	J		
36. POMWAY CALIF UNI SCH DIST SPL TAX	B	Interest	L	T					
37. SIOUX CITY IOWA CMNTY SCH DIST SCH INFRASTRUCTURE LOC OPT-B	A	Interest	J	T					
38. OGLE & WINNEBAGO CNTYS SCH DIST ZERO	A	Interest	J	T					
39. RIVERSIDE CNTY PALM DESERT CA FIN AUTH	A	Interest			Redeemed	11/19/12	J		
40. INDIANAPOLIS GAS UTIL DIST SYS	B	Interest	K	T					
41. PUERTO RICO PUB IMPR ZERO	A	Interest	K	T					
42. STATE UNIV IOWA REC FACS	A	Interest	K	T					
43. ALASKA ST HSG FIN CORP	B	Interest			Redeemed	10/01/12	K		
44. WINNEBAGO BOONE ETC CNTYS ILL ZERO	A	Interest	J	T					
45. OSCEOLA CNTY FL SCH BOARD	A	Interest	J	T					
46. WAUKEE IOWA CMNTY SCH DIST	A	Interest	J	T					
47. BENSON ARIZ EXCISE TAX & ST SHARED REV OBLIGS	A	Interest	K	T					
48. MIAMI DADE CNTY FL EXPWY AUTH TOLL SYS	A	Interest	J	T					
49. UNIV NORTHN IA STUDENT UNION SER UNI 4.800%	A	Interest			Redeemed	07/02/12	K		
50. STATE UNIV IOWA UTIL SYS	A	Interest	K	T					
51. CLARK CNTY NEV GEN OBLIG	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. WASHINGTON ST GENL OBLIG MTR VEHICLE FUEL TAX	A	Interest	J	T					
53. OHIO ST HOSP CLEVE CLINIC	B	Interest	K	T					
54. WYLIE CITY TEX COMB TAX REV	A	Interest	K	T					
55. ANKENY IA COMM SCH DIST	B	Interest	L	T					
56. BETTENDORF IOWA GNEL OBLIG SER-A	A	Interest	K	T					
57. UNIVERSITY N C CHAPEL HILL REV REF STUDENT FEE UTILS OY 5.45	A	Interest	K	T					
58. ST CLAIR CNTY ILL GEN OBLIG ZERO	A	Interest	K	T					
59. DELTONA FLA TRANS CAP IMPT	A	Interest	J	T					
60. TAMPA FLA UTIL TAX OY 6.15	A	Interest	J	T					
61. COVINA CALIF PUB FIN WASTEWATER	A	Interest	J	T					
62. CLARK CNTY NEV GEN OBLIG	A	Interest	K	T					
63. INDIANAPOLIS IN WATER WORKS	B	Interest	K	T					
64. WYLIE CITY TEX COMB TAX REV	A	Interest	K	T					
65. STERLING HEIGHTS MICH TRANS FD	A	Interest			Redeemed	04/02/12	K		
66. IOWA ST PRISON INFRASTRUCTURE FUND OBLIG	C	Interest	M	T					
67. NEVADA STATE HIGHER EDUC	B	Interest	K	T					
68. IOWA STUDENT LOAN LIQUIDITY CORP STUDENT LOAN REV-1	A	Interest	J	T					

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69. LONG ISLAND PWR AUTH N Y ELEC SYS REV SER A OY 5.83	A	Interest	K	T					
70. RENO CITY NEVADA GEN OBLIG	B	Interest	L	T					
71. IOWA FIN AUTH SINGLE FAMILY MTG REV SER-I	B	Interest	L	T					
72. HOUSTON TEX WTR & SWR SYS REV SER A	A	Interest	K	T					
73. KANE COOK & DUPAGE CNTYS ILL	A	Interest			Redeemed	12/17/12	K		
74. IOWA ST UNIV SCIENCE & TECH	A	Interest	J	T					
75. FLORIDA ST DEPT ENVIRONMENTAL PROTN PRES	B	Interest	L	T					
76. WATERLOO IOWA COMNTY SCH DIST SCH INFRAS SALES & SVCS REV	A	Interest	K	T					
77. CLARK CNTY NEV BOND BK	B	Interest	L	T					
78. POWSHIEK IOWA WTR ASSN WTR REV	B	Interest	K	T					
79. CLARK CO NV VEGAS ARPT FAC	A	Interest			Redeemed	08/01/12	J		
80. ALASKA ST INTL ARPTS SYS	A	Interest	J	T					
81. IOWA STUDENT LOAN LIQUIDITY CO RP STUDENT LOAN REV SENIOR A1	C	Interest	L	T					
82. IOWA STUDENT LOAN LIQUIDITY CORP STUDENT LOAN REV-I	A	Interest	J	T					
83. ALASKA MUN BND BK SER-I	B	Interest	K	T					
84. STATE UNIV IOWA ATHLETIC FACS REV	B	Interest	L	T					
85. STATE UNIV IOWA UNIV REV DORM REV SER S.U.I.	A	Interest	J	T					

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86. TENNESSEE ENERGY ACQUISITION CORP GAS REV [REDACTED]	B	Interest	K	T					
87. UNIVERSITY WASH UNIV REV [REDACTED]	B	Interest	K	T					
88. SOUTHEAST POLK IOWA CMNTY SCH DIST REV	A	Interest	J	T					
89. COLUMBUS OHIO SWR REV [REDACTED]	B	Interest	L	T					
90. IOWA ST SPL OBLIG	B	Interest	K	T					
91. IOWA ST UNIV ACADEMIC BLDG [REDACTED]	C	Interest	M	T					
92. DOW CHEMICAL COMPANY	A	Interest	K	T					
93. RHODE ISLAND H BE [REDACTED]	A	Interest	K	T	Buy	06/06/12	K		
94. MORGAN STANLEY DEAN WITTER IRA #1:									
95. MORGAN STANLEY BANK	A	Interest	J	T					
96. MS EMERGING MKTS DOMESTIC DEBT	A	Dividend	J	T					
97. CREDIT SUISSE GU [REDACTED]	A	Dividend	J	T					
98. DB CAPITAL CUNFING X [REDACTED]	A	Dividend	J	T					
99. NATIONAL RURAL UTILITIES COOP [REDACTED]	A	Interest			Redeemed	04/16/12	K		
100. CAP PRTECT NOTE ON FX BASKET ZERO	A	Interest			Redeemed	09/28/12	K		
101. MS HYBRID PARTICIPATION COMMODITY COUPON	A	Interest	J	T					
102. SOUTHWEST AIRLINES CO [REDACTED]	B	Interest	K	T					

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103. INTL PAPER CO	A	Interest	K	T					
104. PROLOGIS TRUST	A	Interest	J	T					
105. SOUTHWEST AIRLINES CO	A	Interest	J	T					
106. GMAC	B	Interest	K	T					
107. AMERICAN INTL GROUP	A	Interest	J	T					
108. CITIGROUP CAP XIX	A	Interest			Redeemed	08/15/12	J		
109. WELLS FARGO CAPITAL XII	A	Interest	J	T					
110. WELLS FARGO BK ZERO CPN	A	Interest	J	T					
111. 10NC3Y MS STEP UP	A	Interest	K	T					
112. NASDAQ OMX GROUP	B	Interest	K	T					
113. BP CAPITAL MARKETS PLC	A	Interest			Redeemed	03/12/12	K		
114. 15NC1 MS STEP UP	A	Interest			Redeemed	12/11/12	J		
115. WESTERN ASSET HIGH YIELD (HYI)	B	Dividend	K	T	Buy (add'l)	02/24/12	J		
116. WESTERN ASSET HIGH YIELD (HYI)					Buy (add'l)	03/30/12	J		
117. WESTERN ASSET HIGH YIELD (HYI)					Buy (add'l)	04/27/12	J		
118. WESTERN ASSET HIGH YIELD (HYI)					Buy (add'l)	05/25/12	J		
119. WESTERN ASSET HIGH YIELD (HYI)					Buy (add'l)	06/29/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/09/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. WESTERN ASSET HIGH YIELD (HYI)					Buy (add'l)	07/27/12	J		
121. WESTERN ASSET HIGH YIELD (HYI)					Buy (add'l)	08/31/12	J		
122. WESTERN ASSET HIGH YIELD (HYI)					Buy (add'l)	09/28/12	J		
123. WESTERN ASSET HIGH YIELD (HYI)					Buy (add'l)	10/26/12	J		
124. WESTERN ASSET HIGH YIELD (HYI)					Buy (add'l)	11/30/12	J		
125. WESTERN ASSET HIGH YIELD (HYI)					Buy (add'l)	12/21/12	J		
126. TRANS-CANADA PIPELINES 6.350% FIXED	A	Interest	K	T					
127. MERRILL LYNCH & CO	A	Interest			Redeemed	08/15/12	K		
128. FORD MOTOR CREDIT CO	A	Interest	K	T					
129. HCP INC	A	Interest	K	T					
130. DOW CHEMICAL CO/THE	B	Interest	L	T					
131. ARCELORMITTAL	A	Interest	J	T					
132. HEWLETT PACKARD CO	A	Interest	K	T					
133. CENTURYLINK INC	A	Interest	K	T					
134. PITNEY BOWES INC	A	Interest	K	T					
135. TRANSOCEAN INC SER-C	A	Int./Div.			Redeemed	12/17/12	J		
136. MORGAN STANLEY	A	Interest	K	T	Buy	02/10/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/09/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. COMPUTER SCIENCE	A	Interest	J	T	Buy	02/10/12	J		
138. BEST BUY CO INC	A	Interest	J	T	Buy	02/10/12	J		
139. AVON PRODUCTS INC	A	Interest	K	T	Buy	05/15/12	K		
140. GECC	A	Interest	L	T	Buy	05/31/12	L		
141. SAFEWAY INC	A	Interest	J	T	Buy	09/06/12	J		
142. BANK O FAMERICA 8.20%	A	Int./Div.	L	T	Buy	12/17/12	K		
143. BANK O FAMERICA 8.20%					Buy (add'l)	12/18/12	K		
144. MORGAN STANLEY DEAN WITTER IRA #2:									
145. MORGAN STANLEY BANK	A	Interest	K	T					
146. ABBOTT LABORATORIES	A	Dividend	K	T					
147. ALTRIA GROUP INC	A	Dividend	J	T					
148. AT&T INC	B	Dividend	K	T					
149. BOEING CO	A	Dividend	K	T					
150. COCA COLA CO	A	Dividend	J	T					
151. DWS DREMAN VALUE INC EDGE FUND	A	Dividend			Sold	04/23/12	J		
152. GENERAL ELECTRIC CO	A	Dividend	K	T	Buy (add'l)	04/24/12	J		
153. ISHARES S&P 100 INDEX FD	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/09/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. ISHARES FTSE/CHINA 25 INDEX FD (FXI)	A	Dividend			Sold	04/23/12	J		
155. JOHNSON & JOHNSON	A	Dividend	K	T	Buy (add'l)	04/23/12	J		
156. PHARMACEUTICAL HOLDERS TR	A	Dividend			Sold (part)	04/17/12	J		
157. PHARMACEUTICAL HOLDERS TR					Sold	04/23/12	K		
158. PROCTOR & GAMBLE	A	Dividend	J	T					
159. SPDR S&P MIDCAP 400 ETF TRUST	B	Dividend	L	T					
160. AEGON NV	B	Dividend	K	T	Buy (add'l)	02/06/12	J		
161. PUBLIC STORAGE	A	Dividend			Redeemed	07/02/12	K		
162. ROYAL BK SCOTLAND GP	A	Dividend	J	T					
163. ML PFD CAPITAL TRUST III 7375	A	Interest	J	T					
164. INTEL CORP	A	Dividend	K	T					
165. PEPSICO INC NC (PEP)	B	Dividend	K	T					
166. APPLE, INC.	A	Dividend	J	T	Buy	05/17/12	J		
167. INTL BUSINESS MACHINES CORP	A	Dividend	J	T	Buy	05/22/12	J		
168. MORGAN STANLEY DEAN WITTER IRA #3:									
169. ALLIANCE BERNSTEIN SMALL CAP GROWTH		None	J	T	Buy (add'l)	12/17/12	J		
170. WALGREEN CO	A	Dividend	J	T	Buy (add'l)	05/10/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/09/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. MORGAN STANLEY BANK	A	Interest	J	T					
172. PITNEY BOWES INC	A	Interest	J	T					
173. GOLDMAN SACHS GRO	A	Interest	J	T					
174. MORGAN STANLEY DEAN WITTER IRA #4:									
175. MORGAN STANLEY BANK	A	Interest	J	T					
176. ISHARES BARCLAYS SHORT TREAS	A	Dividend	J	T	Buy (add'l)	04/18/12	J		
177. ISHARES BARCLAYS SHORT TREAS					Sold (part)	08/29/12	J		
178. ISHARES BARCLAYS SHORT TREAS					Sold (part)	11/15/12	J		
179. ISHARES RUSSELL 1000 GR INDEX	A	Dividend	J	T	Sold (part)	08/29/12	J		
180. ISHARES RUSSELL 1000 GR INDEX					Buy (add'l)	11/15/12	J		
181. ISHARES RUSSELL 1000 VALUE IDX	A	Dividend	J	T	Buy (add'l)	04/18/12	J		
182. ISHARES RUSSELL 1000 VALUE IDX					Sold (part)	08/29/12	J		
183. ISHARES RUSSELL 1000 VALUE IDX					Buy (add'l)	11/15/12	J		
184. SPDR BARCLAYS CAPITAL HIGH YIE	A	Dividend	J	T	Buy (add'l)	04/18/12	J		
185. SPDR BARCLAYS CAPITAL HIGH YIE					Buy (add'l)	08/29/12	J		
186. SPDR BARCLAYS CAPITAL HIGH YIE					Sold (part)	11/15/12	J		
187. SPDR BARCLAYS CAPITAL INERNAT	A	Dividend	J	T	Buy (add'l)	04/18/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/09/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. SPDR BARCLAYS CAPITAL INERNAT					Sold (part)	08/29/12	J		
189. SPDR BARCLAYS CAPITAL INERNAT					Sold (part)	11/15/12	J		
190. VANGUARD MSCI EAFE ETF (VEA)	A	Dividend	J	T	Buy (add'l)	04/18/12	J		
191. VANGUARD MSCI EAFE ETF (VEA)					Buy (add'l)	08/29/12	J		
192. VANGUARD MSCI EAFE ETF (VEA)					Buy (add'l)	11/15/12	J		
193. VANGUARD TOTAL BOND MARKET	A	Dividend	K	T	Buy (add'l)	04/18/12	J		
194. VANGUARD TOTAL BOND MARKET					Sold (part)	08/29/12	J		
195. VANGUARD TOTAL BOND MARKET					Sold (part)	11/15/12	J		
196. ISHARES 2000 VALUE FD	A	Dividend	J	T	Buy	08/29/12	J		
197. ISHARES BARCLAYS TIPS BD FD	A	Dividend	J	T	Buy	08/29/12	J		
198. ISHARES RUSSELL 2000 GROWTH	A	Dividend	J	T	Buy	08/29/12	J		
199. ISHARES RUSSELL MIDCAP G IN	A	Dividend	J	T	Buy	08/29/12	J		
200. ISHARES RUSSELL MIDCAP V IN	A	Dividend	J	T	Buy	08/29/12	J		
201. VANGUARD MSCI EMERGING MKTS	A	Dividend	J	T	Buy	08/29/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/09/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/09/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **ROBERT W. PRATT**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544