

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dunn, Randall L.	2. Court or Organization U.S. Bankruptcy Court, OR	3. Date of Report 10/20/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States Bankruptcy Court 1001 S.W. 5th Av., #700 Portland, OR 97204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 1-12/2015	American Federation of Musicians - Pension Benefits	\$3,148.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 1-12/2015	American Federation of Musicians - Pension Benefits
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. California Bankruptcy Forum	05/14/2015 - 05/17/2015	Napa, CA	Annual Education Program - activity of professional association	Privately funded by: California Bankruptcy Forum - 3 days Lodging and meals
2. American Bankruptcy Institute	09/10/2015 - 09/12/2015	Las Vegas, NV	ABI Southwest Education Program - activity of professional association	Privately funded by: American Bankruptcy Institute - 3 days Transportation, lodging and some meals
3. National Conference of Bankruptcy Judges	09/27/2015 - 10/01/2015	Miami, FL	NCBJ Annual Conference - activity of professional association	Privately funded by: National Conference of Bankruptcy Judges - 5 days, 2 nights lodging and some meals
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. GAIAM		None	J	T					
2. Schwab Money Market Fund	A	Dividend	J	T					
3. Schwab Money Market Fund (IRA)	A	Dividend	J	T					
4. First Eagle Overseas Fund, fka Sogen Overseas Fund SGOVX (IRA)	A	Dividend	J	T					
5. Biosolar, Inc.		None	J	T	Buy (add'l)	06/23/15	J		
6. Spider Gold Trust GLD (IRA)		None	J	T	Buy (add'l)	03/20/15	J		
7. Schwab Fundamental U.S. - Large (IRA) SFLNX	A	Dividend	K	T					
8. Schwab Fundamental U.S. - Small (IRA) SFSNX	A	Dividend	J	T					
9. Consolidated Edison (IRA) ED	A	Dividend	K	T	Buy (add'l)	02/06/15	J		
10. Spider Gold Trust GLD		None			Sold	09/22/15	J	A	
11. Wells Fargo (Savings Account)	A	Interest	J	T					
12. Honeywell	A	Dividend	J	T	Buy (add'l)	04/14/15	J		
13. Boeing (IRA)	A	Dividend	J	T	Sold (part)	12/14/15	J	B	
14. Diana Container Ship-DCIX (IRA)		None	J	T					
15. Verizon-VZ (IRA)	A	Dividend	K	T	Sold (part)	12/23/15	J	A	
16. Schwab Funda. Emer - SFENX (IRA)	A	Dividend	J	T					
17. Schwab Funda. Int'l - SFNNX (IRA)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. I Shares CoreTotal - AGG (IRA)	A	Dividend	K	T					
19. Apple	A	Dividend	J	T					
20. HAIN (IRA)		None	J	T					
21. WY (IRA)	A	Dividend	J	T					
22. I Shares Index Fund EFA (IRA)	A	Dividend	J	T					
23. ONVO		None	J	T					
24. BIIB (IRA)		None			Sold	08/04/15	J	A	
25. SNA (IRA)	A	Dividend	J	T	Buy (add'l)	09/02/15	J		
26. GOBIX (IRA)	A	Dividend	J	T					
27. GSRLX (IRA)	A	Dividend	J	T					
28. MAPTX (IRA)	A	Dividend	J	T					
29. VIG (IRA)	A	Dividend	K	T					
30. GOOGL (IRA)		None	J	T	Buy (add'l)	01/02/15	J		
31. QRVO		None	J	T	Buy (add'l)	03/23/15	J		
32. WEC	A	Dividend	J	T					
33. DIS	A	Dividend	J	T	Buy (add'l)	01/15/15	J		
34. MTUM (IRA)	A	Dividend	J	T					

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35. LCRIX (IRA)	A	Dividend	J	T					
36. IVW (IRA)	A	Dividend			Sold	01/05/15	K	B	
37. PMDRX (IRA)	A	Dividend	J	T					
38. PTUIX (IRA)	A	Dividend	J	T					
39. FEYE		None	J	T	Buy	08/07/15	J		
40. HAIN		None	J	T	Buy	05/07/15	J		
41. QRVO (IRA)		None	J	T	Buy	03/28/15	J		
42. MIPIX (IRA)	A	Dividend	K	T	Buy	01/05/15	K		
43. IJH (IRA)	A	Dividend	K	T	Buy	12/07/15	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Randall L. Dunn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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