

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Battani, Marianne O.	2. Court or Organization USDC, Eastern District of MI	3. Date of Report 04/02/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge, senior status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 231 West Lafayette Detroit, Michigan 48226		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1982	State of Michigan Defined Benefit Plan(pension)
2. 1982	County of Wayne Defined Benefit Plan(pension)
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Michigan Judges' Retirement System	\$36,311.16
2. 2014	Wayne County Retirement System	\$19,208.76
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. FIDELITY INDIVIDUAL ACCOUNT (H)									
2. -Fidelity Cash Reserves Mutual Fund	A	Dividend	K	T					
3. -Fidelity Core Account - Cash	A	Interest	K	T					
4. EDWARD JONES IRA ACCOUNT	E	Int./Div.	O	T					
5. -Capital Income Builder Fund CI A									
6. -Capital Income Builder Funds CI B					Sold (part)	05/28/14	J		
7. -Euro Pacific Growth Fund CI B					Sold	11/11/14	J		
8. -Euro Pacific Growth Fund CI A					Buy	11/11/14	J		
9. -Franklin Income Fund CI A					Sold	01/29/14	K		
10. -Fundamental Investors Fund CI A					Sold (part)	07/25/14	J		
11.					Sold (part)	08/29/14	J		
12. -Fundamental Investors Fund CI B					Sold (part)	05/28/14	J		
13. -Hartford Balanced Income Fund CI A									
14. -American Balanced Fund CI A									
15. -American Balanced Fund CI B									
16. -American High Income Trust CI A					Sold (part)	01/29/14	J		
17.					Sold (part)	08/27/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	12/16/14	J		
19. -American Mutual Fund C1 A					Buy (add'l)	08/27/14	K		
20.					Sold (part)	08/29/14	J		
21. -Bond Fund of America C1 A									
22. -Capital World Bond Fund C1 A									
23. -Franklin Small Cap Value Fund C1 A					Buy (add'l)	01/29/14	J		
24.					Sold (part)	07/25/14	J		
25. -Growth Fund of America C1 A									
26. -Income Fund of America Fund C1 A					Buy (add'l)	01/29/14	J		
27.					Sold (part)	08/29/14	J		
28. -New Economy Fund C1 A					Buy (add'l)	01/29/14	J		
29.					Sold (part)	07/25/14	J		
30. -New World Fund C1 A									
31. -Invesco Build America Bonds Income Trust Series # 47					Sold	08/29/14	J		
32. -Invesco Build America Bonds Income Trust series # 61									
33. -First Trust Build America Bonds Monthly Cash Series 28									
34. -Invesco Invst Grd Incm 10-20 Yr Series # 2									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Inveso Invst Grd Incm 10-20 Yr Series #6									
36. -Hartford Mid Cap Value Fund C1 A					Buy	01/30/14	J		
37. -Franklin Balanced Fund C1 A					Buy	01/30/14	K		
38.									
39. EDWARD JONES SINGLE ACCOUNT (H)									
40. -Edward Jones Money Market Fund	A	Dividend	K	T					
41. -Lord Abbett Core Fixed Incm A	A	Dividend			Sold	08/29/14	J		
42. -Lord Abbett Short Dur Inc Fd A	A	Dividend	J	T					
43. -Franklin High Yield Tax Free Income Fund C1 A	B	Dividend	K	T	Buy (add'l)	03/27/14	J		
44.					Buy (add'l)	05/30/14	J		
45. -Franklin Michigan Tax Free Income Fund C1 A	A	Dividend	K	T	Buy (add'l)	03/27/14	J		
46.					Buy (add'l)	05/30/14	J		
47. -American Balanced Fund C1 A	B	Dividend	K	T	Buy	01/31/14	K		
48.					Buy (add'l)	08/29/14	J		
49. -Franklin Convertible Secs Fd A	A	Dividend	J	T	Buy	01/31/14	J		
50.					Buy (add'l)	03/27/14	J		
51. -Hartford Fltg Rate HI Inc C1 A	A	Dividend			Buy	01/31/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.					Sold	12/16/14	J		
53. -Hartford Equity Income Fd C1 A		None	J	T	Buy	12/16/14	J		
54.									
55. CHASE BANK (cash account)	A	Interest	L	T					
56. CHASE INVESTMENT PORTFOLIO (H)									
57. -JPMorgan TR II Liquid Assets Mmkt Instl	A	Dividend	J	T					
58. -Blackrock Funds High Yield Bond Portfolio Instl	A	Dividend	J	T	Buy	07/17/14	J		
59. -Cohen & Steers Real Estate Securities Fund C1 I	A	Dividend	J	T	Buy	07/17/14	J		
60. -Causeway Cap Mgmt Tr Intl Value Fd Instl C1	A	Dividend	J	T	Buy	07/17/14	J		
61. -Delaware Group Emergings Markets Fund Instl C1	A	Dividend	J	T	Buy	07/17/14	J		
62. -Dreyfus Strategic Value Fund C1 I	A	Dividend	J	T	Buy	07/17/14	J		
63. -Dodge & Cox Income Fund	A	Dividend	J	T	Buy	07/17/14	J		
64. -Federated High Income Bond Fund Inc C1 A	A	Dividend	J	T	Buy	07/17/14	J		
65. -Fidelity Advisor New Insights Fd C1 I	A	Dividend	J	T	Buy	07/17/14	J		
66. -Federated Total Return SERS Inc Ultrashort Bd Fd Instl Shs	A	Dividend	J	T	Buy	07/17/14	J		
67. -Franklin Mutual Shares Fund C1 Z	A	Dividend	J	T	Buy	07/17/14	J		
68. -Goldman Sachs Small Cap Value Funds- Instl C1	A	Dividend	J	T	Buy	07/17/14	J		

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69. -Goldman Sachs Emerging Mkts Debt Fd Instl Cl	A	Dividend	J	T	Buy	07/17/14	J		
70. -Hartford Mutual Funds Inc Midcap Fund Cl I	A	Dividend	J	T	Buy	07/17/14	J		
71. -Oakmark Fund	A	Dividend	J	T	Buy	07/17/14	J		
72. -Investment Managers SER Tr Oak Ridge Sml Cap Gth Fd Y	A	Dividend	J	T	Buy	07/17/14	J		
73. -JPMorgan Tr II Core Bd Fd Select Cl	A	Dividend	J	T	Buy	07/17/14	J		
74. -JPMorgan Diversified Mid Cap Growth Fd Select Cl	A	Dividend	J	T	Buy	07/17/14	J		
75. -Lazard Fds Inc Intl Strategic Egi Port Instl	A	Dividend	J	T	Buy	07/17/14	J		
76. -MFS SER TR X Intl Value Fd Cl I	A	Dividend	J	T	Buy	07/17/14	J		
77. -MFS SER TR X Emerging Market Debt Fund Class I	A	Dividend	J	T	Buy	07/17/14	J		
78. -Neuberger Berman Genesis Fd Institutional Class	A	Dividend	J	T	Buy	07/17/14	J		
79. -Oppenheimer Developing Markets Fund Cl Y	A	Dividend	J	T	Buy	07/17/14	J		
80. -Oppenheimer International Growth Fund Cl Y	A	Dividend	J	T	Buy	07/17/14	J		
81. -Oppenheimer Real Estate Fd Cl Y	A	Dividend	J	T	Buy	07/17/14	J		
82. -Pimco Total Return Fund Instl Cl	A	Dividend	J	T	Buy	07/17/14	J		
83. -Pimco Short Term Fund Instl Cl	A	Dividend	J	T	Buy	07/17/14	J		
84. -Putnam High Yield Trust Sh Ben Int Cl Y	A	Dividend	J	T	Buy	07/17/14	J		
85. -T Rowe Price Blue Chip Growth Fund Inc Advisor Cl	A	Dividend	J	T	Buy	07/17/14	J		

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86.	-T Rowe Price Intl Funds Inc Emerging Markets Bond Fund	A	Dividend	J	T	Buy	07/17/14	J	
87.	-Vanguard Fixed Inc Secs Fd Inc Short Term Invst Grade Fund	A	Dividend	J	T	Buy	07/17/14	J	
88.	-Wells Fargo Advantage Mid Cap Disciplined Fd C1 I	A	Dividend	J	T	Buy	07/17/14	J	
89.									
90.	MassMutual: whole life policy	A	Dividend	J	T				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

LINE 58, HEADING, IS A NEW INVESTMENT ACCOUNT THROUGH CHASE BANK.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Marianne O. Battani**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544