

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ADLER, JAN M.	2. Court or Organization US DISTRICT COURT, SDCA	3. Date of Report 04/17/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US MAGISTRATE JUDGE FULL-TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address UNITED STATES DISTRICT COURT 940 FRONT STREET, ROOM 2140 SAN DIEGO, CA 92101-8928		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	MEMBER	EQUITY PARTNERS SPECIAL PURPOSE LLC
2.	CO-TRUSTEE	TRUST #1
3.	9TH CIRCUIT DIRECTOR	FEDERAL MAGISTRATE JUDGES ASSOCIATION
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2016	FEE INCOME FROM FORMER LAW FIRM TO BE COLLECTED FOR WORK PERFORMED BEFORE LEAVING THE FIRM, APPROXIMATELY \$35,000 PER YEAR THROUGH 2025.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	EQUITY PARTNERS SPECIAL PURPOSE LLC- MEMBER INCOME	\$34,200.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Nuskin; redeemed value of ADR points
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. FEDERAL MAGISTRATE JUDGES ASSOCIATION	3/21/2016-3/23/2016	WASHINGTON, D.C.	BOARD MEETINGS	TRANSPORATION, HOTEL AND FOOD
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TRUST #1									
2. AMERIPRISE BROKERAGE ACCOUNT - CASH EQUIVALENTS		None	J	T	Sold (part)	01/06/16	K		
3.					Sold (part)	01/22/16	K		
4.					Sold (part)	08/01/16	K		
5.					Sold (part)	08/16/16	K		
6. - AMCAP CL A	C	Dividend	L	T					
7. - AMERICAN BALANCED CL A	C	Dividend	L	T					
8. - AMERICAN SHORT TERM TAX EXEMPT BOND CL A	A	Dividend			Sold (part)	07/27/16	K	A	
9.					Sold	09/13/16	L	A	
10. - CAPITAL INCOME BUILDER CL A		None			Sold	01/06/16	L	A	
11. - GROWTH FUND OF AMERICA CL A	C	Dividend	K	T					
12. - LIMITED TERM TAX EXEMPT BOND AMERICA CL A	B	Dividend			Sold	09/13/16	L	B	
13. - NEW PERSPECTIVE CL A	C	Dividend	L	T					
14. - NEW WORLD CL A	A	Dividend	K	T	Sold (part)	07/27/16	K	C	
15. - WASHINGTON MUTUAL INVESTORS CL A	C	Dividend	K	T	Sold (part)	07/27/16	K	C	
16.					Sold (part)	09/13/16	L	E	
17. AMERIPRISE BROKERAGE ACCOUNT IRA #1 - MM - CASH EQUIVALENTS		None	J	T	Sold (part)	05/23/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000.00	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - AMG YACKTMAN FOCUSED SERVICE CL		None			Sold	07/07/16	K		
19. - CAPITAL INCOME BUILDER CL A	B	Dividend			Sold	07/07/16	L	D	
20. - CAPITAL WORLD GROWTH & INCOME - A	A	Dividend			Sold	07/07/16	K	D	
21. - FUNDAMENTAL INVESTORS - A	A	Dividend			Sold	07/07/16	L	D	
22. - NEW PERSPECTIVE - A		None			Sold	07/07/16	L	E	
23. - NEW WORLD		None			Sold	07/07/16	K		
24. - XENIA HOTELS & RESORTS	A	Dividend			Sold	05/24/16	J		
25. - CNL LIFESTYLE PROPERTIES INC REIT	C	Distribution	J	T					
26. - HIGHLANDS REIT	B	Dividend	K	T	Buy	04/28/16	J		
27. - INVESTRUST PROPERTIES	A	Dividend	K	T					
28. AMERIPRISE BROKERAGE ACCOUNT IRA #2 - MM - CASH EQUIVALENTS		None	J	T					
29. - AMERICAN CAPITAL INCOME BUILDER - A	B	Dividend	K	T					
30. - AMERICAN CAPITAL WORLD GROWTH & INCOME	B	Dividend	K	T					
31. AMERIPRISE ONE FINANCIAL ACCOUNT - MM - CASH EQUIVALENTS		None	K	T	Buy (add'l)	01/06/16	K		
32.					Buy (add'l)	01/13/16	L		
33.					Buy (add'l)	01/22/16	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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34.					Buy (add'l)	06/20/16	J		
35.					Buy (add'l)	06/30/16	K		
36.					Buy (add'l)	08/01/16	K		
37.					Buy (add'l)	08/16/16	K		
38.					Sold (part)	10/18/16	K		
39.					Buy (add'l)	12/09/16	J		
40.					Sold (part)	12/15/16	J		
41.					Buy (add'l)	12/19/16	K		
42.	- FRANKLIN LIMITED TAX-FREE INCOME CA	A	Dividend		Sold	09/13/16	K		
43.	- INTERMEDIATE BOND FUND OF AMERICA CL A	A	Dividend		Sold	09/13/16	K		
44.	- CNL HEALTHCARE TR INC	B	Dividend	L	T				
45.	STRATEGIC PORTFOLIO SERVICE ADVANTAGE #1 - CASH EQUIVALENTS		None	K	T	Sold (part)	01/13/16	L	
46.					Sold (part)	06/20/16	J		
47.					Buy (add'l)	09/16/16	K		
48.					Buy (add'l)	09/16/16	M		
49.					Sold (part)	12/09/16	J		

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50.					Sold (part)	12/19/16	K		
51. - BARON SMALL CAP FUND	D	Dividend	K	T					
52. - COLUMBIA DIVIDEND OPPORTUNITY CL A	B	Dividend	L	T					
53. - DOUBLELINE TOTAL RETURN BOND CL N	A	Dividend			Sold	09/13/16	K		
54. - 1ST EAGLE GLOBAL - A FIRST EAGLE FUND		None			Sold	01/06/16	L	B	
55. - FRANKLIN MANAGED TR RISING DIVIDEND FUND CL A	B	Dividend	L	T					
56. - GABELLI ASSET AAA	D	Dividend	L	T					
57. - CLEARBRIDGE MIDCAP CORE A	B	Dividend	L	T					
58. - METROPOLITAN WEST LOW DURATION BOND CL M	A	Dividend			Sold	09/13/16	K		
59. - VIRTUS REAL ESTATE SECURITIES	D	Dividend	K	T	Sold (part)	10/11/16	K	D	
60. - iSHARES TIPS	A	Dividend	K	T	Buy	10/11/16	K		
61.					Sold (part)	12/08/16	J		
62. - iSHARES iBOXX INVESTMENT GRADE CORP BOND ETF	A	Dividend	L	T	Buy	09/16/16	L		
63.					Buy (add'l)	10/11/16	J		
64.					Buy (add'l)	11/11/16	J		
65.					Sold (part)	12/08/16	J		
66. - iSHARES SHORT TERM BOND ETF	A	Dividend	K	T	Buy	09/16/16	K		

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67.					Sold (part)	12/08/16	J		
68. - iSHARES CALIFORNIA MUNI BOND ETF	A	Dividend	L	T	Buy	09/16/16	L		
69.					Buy (add'l)	10/11/16	J		
70.					Sold (part)	12/08/16	J		
71. - POWERSHARES SENIOR LOAN ETF		None			Buy	09/16/16	J		
72.					Sold	10/11/16	J	A	
73. - POWERSHARES EMERGING MARKETS SOVEREIGN DEBT ETF	A	Dividend	K	T	Buy	09/16/16	J		
74.					Buy (add'l)	10/11/16	J		
75.					Sold (part)	12/08/16	J		
76. - VANECK VECTORS ETF	A	Dividend	J	T	Buy	09/16/16	J		
77.					Buy (add'l)	10/11/16	J		
78. - VANGUARD TOTAL INTL BOND INDEX ETF	A	Dividend			Buy	09/16/16	K		
79.					Sold	10/11/16	K	A	
80. - VANGUARD SHORT TERM CORP BOND ETF	A	Dividend	K	T	Buy	09/16/16	K		
81.					Buy (add'l)	10/11/16	J		
82.					Sold (part)	12/08/16	J		
83. - S&P 500 ETF TRUST (SPDR) UNIT SER I	B	Dividend	M	T					

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84. - VANGUARD TOTAL STOCK MARKET	A	Dividend	K	T	Sold (part)	10/11/16	J	C	
85. STRATEGIC PORTFOLIO SERVICE ADVANTAGE, IRA #2 - CASH EQUIVALENTS		None			Buy (add'l)	05/23/16	K		
86.					Sold	07/14/16	O		
87. - BLACKROCK HEALTH SCIENCES INVESTOR CL A		None			Sold	07/07/16	K		
88. - COLUMBIA DIVIDEND OPPORTUNITY CL A	A	Dividend			Sold	07/07/16	L		
89. - DOUBLELINE TOTAL RETURN BOND CL N	B	Dividend			Buy (add'l)	05/25/16	L		
90.					Sold	07/07/16	M		
91. - FRANKLIN INCOME CL A	C	Dividend			Sold	07/07/16	L		
92. - FRANKLIN RISING DIVIDENDS	A	Dividend			Sold	07/07/16	L	E	
93. - FRANKLIN STRATEGIC INCOME CA	A	Dividend			Sold	05/23/16	K		
94. - FRANKLIN BIOTECH DISCOVERY CL A		None			Sold	05/23/16	K		
95. - AMG YACKTMAN FOCUSED SERVICE CL		None			Sold	07/07/16	L		
96. - METROPOLITAN WEST LOW DURATION BOND CL M	A	Dividend			Sold	07/07/16	M		
97. - METROPOLITAN WEST TOTAL RETURN BOND CL M	A	Dividend			Sold	07/07/16	K	A	
98. - NATIXIS ASG MANAGED FUTURES STRATEGY CL A		None			Sold	07/07/16	K	A	
99. - NUVEEN SANTANA BARBARA GROWTH CL A		None			Sold	07/07/16	K	C	

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100. - WEITZ SHORT INTERIM INCOME	A	Dividend			Sold	07/07/16	L		
101. - iSHARES EDGE MSCI MIN VOLATILITY ETF	A	Dividend			Buy	05/23/16	L		
102.					Sold	07/07/16	L	C	
103. - XENIA HOTELS & RESORTS		None			Buy	05/24/16	J		
104.					Sold	05/25/16	J		
105. - S&P (SPDR) GOLD TRUST		None			Sold	07/07/16	K		
106. - VANGUARD TOTAL STOCK MARKET	A	Dividend			Sold	07/07/16	L	D	
107. AMERIPRISE ACTIVE PORTFOLIO IRA - CASH EQUIVALENTS		None	J	T	Open	12/12/16	M		
108. - iSHARES CORE S&P 500 ETF	B	Dividend	M	T	Buy	12/13/16	M		
109. - iSHARES CORE US AGGREGATE BOND ETF	A	Dividend	L	T	Buy	12/13/16	L		
110. - iSHARES 20+YR TREASURY BOND ETF		None	J	T	Buy	12/13/16	J		
111. - iSHARES US TELECOMMUNICATIONS ETF	A	Dividend	K	T	Buy	12/13/16	K		
112. - iSHARES TECHNOLOGY ETF	A	Dividend	L	T	Buy	12/13/16	L		
113. - SPDR BLOOMBERG BARCLAYS HIGH YIELD BOND ETF		None	K	T	Buy	12/13/16	K		
114. - SECTOR HEALTHCARE SELECT SECTOR SPDR ETF	A	Dividend	K	T	Buy	12/13/16	K		
115. - SELECT SECTOR TR REAL ESTATE SPDR ETF	A	Dividend	K	T	Buy	12/13/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
116. AMERPRISE STRATEGIC PORTFOLIO SERVICE ADVISOR IRA #1 - CASH EQUIVALENT		None	J	T	Open	07/12/16	M		
117. - ABBVIE INC	A	Dividend	J	T	Buy	07/13/16	J		
118. - ALTRIA GROUP INC	A	Dividend	J	T	Buy	07/13/16	J		
119. - BCE INC NEW	A	Dividend	J	T	Buy	07/13/16	J		
120. - CISCO SYSTEMS INC	A	Dividend	J	T	Buy	07/13/16	J		
121. - CLOROX COMPANY	A	Dividend	J	T	Buy	07/13/16	J		
122. - CRACKER BARREL OLD COUNTRY STORE INC	A	Dividend	J	T	Buy	07/13/16	J		
123. - DOMINION RESOURCES INC VA NEW	A	Dividend	J	T	Buy	07/13/16	J		
124. - GENERAL ELECTRIC	A	Dividend	J	T	Buy	07/13/16	J		
125. - GLAXOSMITHKLINE PLC SPONSORED ADR	A	Dividend	J	T	Buy	07/13/16	J		
126. - JOHNSON & JOHNSON	A	Dividend	J	T	Buy	07/13/16	J		
127. - KIMBERLY CLARK CORP	A	Dividend	J	T	Buy	07/13/16	J		
128. - LOCKHEED MARTIN CORP	A	Dividend	J	T	Buy	07/13/16	J		
129. - MAXIM INTEGRATED PRODUCTS INC	A	Dividend	J	T	Buy	07/13/16	J		
130. - MERCK & COMPANY INC NEW	A	Dividend	J	T	Buy	07/13/16	J		
131. - METLIFE INC	A	Dividend	J	T	Buy	09/30/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
132. - MICROSOFT CORP	A	Dividend	J	T	Buy	07/13/16	J		
133. - NATIONAL GRID PLC SPONSORED ADR NEW		None	J	T	Buy	07/13/16	J		
134. - NUCOR CORP	A	Dividend	J	T	Buy	07/13/16	J		
135. - PAYCHEX INC	A	Dividend	J	T	Buy	07/13/16	J		
136. - REALTY INCOME CORP	A	Dividend	J	T	Buy	07/13/16	J		
137. - SIMON PROPERTY GROUP INC NEW	A	Dividend	J	T	Buy	07/13/16	J		
138. - SOUTHERN COMPANY	A	Dividend	J	T	Buy	07/13/16	J		
139. - TARGET CORP	A	Dividend	J	T	Buy	07/13/16	J		
140. - UNITED BANKSHARES INC WV	A	Dividend	J	T	Buy	07/13/16	J		
141. - VERIZON COMMUNICATIONS INC	A	Dividend	J	T	Buy	07/13/16	J		
142. - WELLS FARGO & CO NEW	A	Dividend			Buy	07/13/16	J		
143.					Sold	09/30/16	J		
144. AMERIPRISE STRATEGIC PORTFOLIO SERVICE ADVISOR #2 - CASH EQUIVALENTS		None	J	T	Open	07/12/16	L		
145.					Buy (add'l)	07/14/16	O		
146.					Sold (part)	12/12/16	N		
147. - ALPHABET INC CL A		None			Buy	07/15/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
148.					Sold (part)	10/04/16	J	A	
149.					Sold	11/01/16	K	B	
150. - AMGEN INC	A	Dividend			Buy	07/15/16	K		
151.					Sold (part)	10/04/16	J	A	
152.					Sold	11/01/16	K		
153. - CHINA MOBILE LIMITED SPONSORED ADRS	A	Dividend			Buy	07/15/16	K		
154.					Sold (part)	10/04/16	J	A	
155.					Sold	11/01/16	K		
156. - CHUBB LTD	A	Dividend			Buy	07/15/16	K		
157.					Sold	11/01/16	K		
158. - CISCO SYSTEMS INC	A	Dividend			Buy	07/15/16	K		
159.					Sold (part)	10/04/16	J	A	
160.					Sold	11/01/16	K	A	
161. - WALT DISNEY CO		None			Buy	07/15/16	K		
162.					Buy (add'l)	10/04/16	J		
163.					Sold	11/01/16	K		
164. - EXXON MOBILE CORP	A	Dividend			Buy	07/15/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
165.					Buy (add'l)	10/04/16	J		
166.					Sold	11/01/16	K		
167. - GENERAL ELECTRIC COMPANY	A	Dividend			Buy	07/15/16	K		
168.					Buy (add'l)	10/04/16	J		
169.					Sold	11/01/16	K		
170. - HOME DEPOT INC	A	Dividend			Buy	07/15/16	K		
171.					Sold	11/01/16	K		
172. - iSHARES TIPS BOND ETF	A	Dividend	K	T	Buy	10/04/16	K		
173.					Sold (part)	12/07/16	K		
174. - iSHARES EDGE MISCI MIN VOLATILITY ETF	A	Dividend			Buy	07/15/16	L		
175.					Sold (part)	10/04/16	K		
176.					Sold	11/01/16	L		
177. - iSHARES iBOXX SINVESTMENT GRADE CORP BOND ETF	B	Dividend	L	T	Buy	07/15/16	L		
178.					Buy (add'l)	10/04/16	K		
179.					Sold (part)	12/07/16	K		
180. - iSHARES 7-10 YR TREASURY BOND ETF	A	Dividend	L	T	Buy	07/15/16	M		
181.					Sold (part)	11/01/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
182.					Sold (part)	12/07/16	K		
183. - iSHARES EDGE MSCI MIN VOLATILITY EAFE ETF		None			Buy	07/15/16	K		
184.					Sold (part)	10/04/16	J	A	
185.					Sold	11/01/16	K		
186. - iSHARES 1-3 YR TREASURY BOND ETF	A	Dividend	K	T	Buy	07/15/16	L		
187.					Sold (part)	10/04/16	J		
188.					Sold (part)	11/01/16	J		
189.					Sold (part)	12/07/16	K		
190. - JPMORGAN CHASE & COMPANY	A	Dividend			Buy	07/15/16	K		
191.					Sold (part)	10/04/16	J	A	
192.					Sold	11/01/16	K	B	
193. - iSHARES CORE S&P MID CAP ETF	A	Dividend	L	T	Buy	11/01/16	M		
194.					Sold (part)	12/07/16	L	D	
195. - iSHARES CORE S&P SMALL CAP ETF	A	Dividend	K	T	Buy	11/01/16	K		
196.					Sold (part)	12/07/16	K	C	
197. - iSHARES INTL SELECT DIVIDEND ETF	A	Dividend	L	T	Buy	11/01/16	M		
198.					Sold (part)	12/07/16	K	B	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
199. - KROGER COMPANY	A	Dividend			Buy	07/15/16	K		
200.					Sold	10/04/16	K		
201. - LOCKHED MARTIN GROUP		None			Buy	10/04/16	K		
202.					Sold	11/01/16	K	A	
203. - MICROSOFT CORP	A	Dividend			Buy	07/15/16	K		
204.					Sold (part)	10/04/16	J	A	
205.					Sold	11/01/16	K	B	
206. - NATIONAL GRID PLC SPONSORED ADR NEW		None			Buy	07/15/16	K		
207.					Sold	11/01/16	K		
208. - NOVO NORDISK AS ADR	A	Dividend			Buy	07/15/16	K		
209.					Buy (add'l)	10/04/16	J		
210.					Sold	11/01/16	K		
211. - ORACLE CORP	A	Dividend			Buy	07/15/16	K		
212.					Sold	11/01/16	K		
213. - PEPSICO INC	A	Dividend			Buy	07/15/16	K		
214.					Sold	11/01/16	K		
215. - POWERSHARES EMERGING MARKET SOVEREIGN DEBT ETF	A	Dividend	K	T	Buy	09/15/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
216.					Buy (add'l)	10/04/16	K		
217.					Sold (part)	12/07/16	K		
218. - POWERSHARES EXCHANGE TRADED FUND TR II S&P 600 LOW VOLATILITY PORT	A	Dividend			Buy	07/15/16	K		
219.					Sold	11/01/16	K		
220. - POWERSHARES EXCHANGE TRADED FUND TR II S&P 400 LOW VOLATILITY PORT	A	Dividend			Buy	07/15/16	K		
221.					Sold	11/01/16	K		
222. - POWERSHARES SENIOR LOAN PORT ETF	A	Dividend			Buy	07/15/16	K		
223.					Sold	10/04/16	K	A	
224. - SCHLUMBERGER LTD	A	Dividend			Buy	07/15/16	K		
225.					Sold	11/01/16	K		
226. - SPDR BLOOMBERG BARCLAYS HIGH YIELD BOND ETF	A	Dividend	J	T	Buy	07/15/16	K		
227.					Sold (part)	12/07/16	J	A	
228. - STARBUCKS CORP	A	Dividend			Buy	07/15/16	K		
229.					Sold	11/01/16	K		
230. - UNILEVER PLC SPONSORED ADR NEW	A	Dividend			Buy	07/15/16	K		
231.					Sold	11/01/16	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

ADLER, JAN M.

Date of Report

04/17/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

232. - VANGUARD TOTAL INTL BOND INDEX ETF	A	Dividend			Buy	07/15/16	K		
233.					Sold	10/04/16	K	A	
234. - VANGUARD FTSE ALL WORLD EX US SMALL CAP ETF	A	Dividend	K	T	Buy	11/01/16	K		
235.					Sold (part)	12/07/16	J		
236. - VANGUARD FTSE EMERGING MARKETS ETF	A	Dividend	K	T	Buy	11/01/16	K		
237.					Sold (part)	12/07/16	K		
238. - VANGUARD SHORT TERM CORP BOND ETF	A	Dividend	K	T	Buy	07/15/16	L		
239.					Buy (add'l)	10/04/16	J		
240.					Sold (part)	11/01/16	J		
241.					Sold (part)	12/07/16	K		
242. - VANGUARD S&P 500 INDEX ETF NEW	A	Dividend	M	T	Buy	11/01/16	M		
243.					Sold (part)	12/07/16	M	D	
244. - VISA INC CLASS A	A	Dividend			Buy	07/15/16	K		
245.					Sold (part)	10/04/16	J	A	
246.					Sold	11/01/16	K	A	
247. RIVERSOURCE LIFE INSURANCE COL RAVA 5 - COLUMBIA VP		None	N	T					
248. RIVERSOURCE RETIREMENT ADVISOR 4 - VP MODERATE CL 4		None	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

ADLER, JAN M.

Date of Report

04/17/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

249. RIVERSOURCE LIFE PROTECTIONI PLUS UL INSURANCE		None	M	T					
250. JP MORGAN CHASE BANK ACCOUNTS	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII, LINE 1: HEADER FOR INVESTMENTS LINES 2-250 HELD IN TRUST #1.
PART VII, LINES 24 & 104: XENIA HOTELS & RESORTS LISTED AS SOLD WAS A TRANSFER TO STRATEGIC PORTFOLIO SERVICE ADVANTAGE IRA #2.
PART VII, LINE 26: HIGHLANDS REIT IS A SPIN-OFF FROM INLAND AMERICA N/K/A INVESTRUST PROPERTIES (LINE 27). THIS PROGRAM WOULD NOT ALLOW ME TO SELECT THE SPIN-OFF OPTION FOR COLUMN D(1).

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Name of Person Reporting

ADLER, JAN M.

Date of Report

04/17/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ JAN M. ADLER

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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