

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Crenshaw, Jr., Waverly D.	2. Court or Organization US District Court - Middle District of Tennessee	3. Date of Report 05/21/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2017 to 12/31/2017
5b. ☐ Amended Report		
7. Chambers or Office Address 801 Broadway Room A-845 Nashville, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board of Trustees	Nashville School of Law
2.	Life Member	NAACP
3.	Fellow	American Bar Foundation
4.	Fellow	Nashville Bar Foundation
5.	Fellow	Tennessee Bar Foundation
6.	Fellow	Napier-Looby Bar Foundation
7.	Member	Belle Meade Country Club
8.	Executor of Estate	Betty Nixon
9.	Board Member	Community Foundation Middle Tennessee

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		

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3. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ **NONE** *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	National Employment Law Council - NELC	April. 26-28, 2018	Denver, CO	Speaker at Annual Conference	Flight and lodging
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Brokerage (H)									
2. Federated Capital Reserve	A	Dividend	L	T					
3. The Bond Fund of America	A	Dividend	M	T	Buy	07/27/17	L		
4. Brandes Emerging Markets Value Fund	A	Dividend	M	T					
5. Davis New York Venture	E	Dividend	M	T	Buy	01/19/17	M		
6. Fidelity Advisor Strategic Income Fund Class C	D	Dividend	N	T					
7. Fidelity Advisor Stock Selector All Cap Fund Class C		None			Sold	01/19/17	N	D	
8. Fidelity Advisor Growth Opportunities Fund Class C	E	Dividend	N	T	Buy	01/19/17	N		
9. Fidelity Advisor Growth Opportunities Fund Class C		None			Sold (part)	07/26/17	L	E	
10. Fidelity Advisor New Insights Fund Class A	E	Dividend	M	T					
11. Fidelity Advisor New Insights Fund Class C	C	Dividend	K	T	Sold (part)	07/26/17	M	E	
12. Fundamental Investors Class C	D	Dividend	M	T					
13. Fidelity Advisor Total Bond Fund Class C	C	Dividend	N	T	Buy	06/07/17	M		
14. Fidelity Advisor Total Bond Fund Class C		None			Buy (add'l)	07/26/17	L		
15. Fidelity Advisor Total Bond Fund Class C		None			Buy (add'l)	07/26/17	M		
16. Fundamental Investors Class C	E	Dividend	M	T					
17. The Growth Fund of America Class C	D	Dividend	M	T	Buy	01/19/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Nuveen Municipal Income Fund Inc	C	Dividend	L	T					
19. Pimco All Asset Fund Class C	D	Dividend	N	T					
20. Pimco Muni Income Fund II	D	Dividend	L	T					
21. Pimco Income Fund Class C	E	Dividend	N	T					
22. Pimco Commodities Plus Strategy Fund Class C	D	Dividend	M	T	Buy	04/03/17	K		
23. Small Cap World Fund Class C	D	Dividend	M	T					
24. ALPS ETF TR Alerian MLP ETF	B	Distribution	K	T	Sold (part)	10/25/17	K		
25. ALPS ETF TR Alerian MLP ETF		None			Buy	11/27/17	K		
26. ALPA ETF TRT Alerian MLP ETF		None	K	T	Buy (add'l)	12/26/17	K		
27. Ishares Tr US Oil & Gas Expl & Production ETF	A	Dividend	K	T	Buy	02/28/17	K		
28. Ishares Tr US Oil & Gas Expl & Production ETF		None			Buy (add'l)	03/17/17	K		
29. Ishares Tr US Oil & Gas Expl & Production ETF		None			Buy (add'l)	05/04/17	K		
30. Ishares Tr US Oil & Gas Expl & Production ETF		None			Sold	10/25/17	L		
31. Ishares Tr US Oil & Gas Expl & Production ETF		None			Buy	11/27/17	K		
32. Localshares Invt TR Nashville Area ETF	A	Dividend	K	T					
33. Select Sector SPDR TR Energy	A	Dividend	K	T					
34. Blackstone/GSO Strategic CR FD		None			Sold	03/08/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Capital Income Builder Fund Class A		None			Sold	01/19/17	K	A	
36. Capital Income Builder Fund Class C		None			Sold	01/19/17	K	A	
37. Davis Appreciation & Income Fund Class C		None			Sold	01/19/17	M	B	
38. Washington Mutual Investors Fund	B	Dividend			Buy	01/19/17	K		
39. Washington Mutual Investors Fund		None			Sold	07/26/17	L	B	
40. Traditional IRA (H)									
41. Pershing Government Account		None	J	T					
42. Brandes Emerging Mkts Value Fund Class C	A	Dividend	L	T					
43. Davis New York Venture Class A	C	Dividend	K	T	Sold (part)	07/26/17	L	A	
44. Davis New York Venture Class C	E	Dividend	M	T					
45. Europacific Growth Fund Class A	C	Dividend	L	T					
46. Europacific Growth Fund Class C	B	Dividend	K	T					
47. Fidelity Advisor Strategic Income Fund Class C	C	Dividend	L	T					
48. Fidelity Advisor Growth Opportunities Fund Class C	D	Dividend	M	T	Sold (part)	07/26/17	K	A	
49. Fidelity Advisor International Discovery Fund Class A	C	Dividend	L	T					
50. Fidelity Advisor New Insights Fund Class M	D	Dividend	L	T	Sold (part)	07/26/17	K	A	
51. Fidelity Advisor New Insights Fund Class C	D	Dividend	M	T					

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52. Fidelity Advisor Total Bond Fund Class M	A	Dividend	L	T	Buy	07/26/17	L		
53. Fidelity Advisor Total Bond Fund Class C	A	Dividend	L	T	Buy	07/26/17	L		
54. Fundamental Investors Class C	C	Dividend	K	T					
55. The Growth Fund of America Class C	C	Dividend	L	T					
56. Pimco Total Return Class C	A	Dividend	L	T					
57. Pimco All Asset Fund Class C	D	Dividend	M	T					
58. Pimco All Asset Fund Class A	A	Dividend	J	T					
59. Pimco Income Fund Class C	D	Dividend	M	T					
60. Pimco Commodities Plus Strategy Fund	C	Dividend	L	T	Buy	07/26/17	L		
61. Washington Mutual Investors Fund Class C	C	Dividend	L	T					
62. ROTH Ira (H)									
63. Pershing Government Account		None	J	T					
64. Europacific Growth Fund Class F-2	A	Dividend	K	T					
65. Fidelity Advisor Strategic Income Fund Class I	B	Dividend	K	T					
66. Fidelity Advisor New Insights Fund Class I	A	Dividend	K	T	Sold (part)	07/26/17	J	A	
67. Fundamental Investors Class F-3	B	Dividend	K	T	Sold (part)	07/26/17	J	A	
68. The Growth Fund of America	B	Dividend	K	T	Sold (part)	07/26/17	J	A	

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69. New World Fund Class F-2	A	Dividend	J	T					
70. Pimco Total Return Fund Institutional Class	A	Dividend	J	T	Buy	07/26/17	J		
71. Pimco All Asset Fund Institutional Class	B	Dividend	K	T					
72. Pimco Income Fund Institutional Class	C	Dividend	K	T	Sold (part)	08/01/17	J	A	
73. Pimco Income Fund Institutional Class		None			Sold (part)	09/05/17	J	A	
74. Pimco Income Fund Institutional Class		None			Sold (part)	10/02/17	J	A	
75. Pimco Income Fund Institutional Class		None			Sold (part)	11/02/17	J	A	
76. Pimco Income Fund Institutional Class		None			Sold (part)	12/01/17	J	A	
77. Van Eck Constant Maturity Commodity Index Fund	A	Dividend	K	T	Buy	07/26/17	J		
78. Washington Mutual Investors Fund Class F-2	B	Dividend	K	T	Sold (part)	07/26/17	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Waverly D. Crenshaw, Jr.,

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544