

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lenihan, Lisa P.	2. Court or Organization Western District of PA	3. Date of Report 07/23/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 1/1/2012 to 12/31/2012
7. Chambers or Office Address 700 Grant St. Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Univ. of Pittsburgh Medical Center "UPMC"
2. Adjunct Prof.	University of Pittsburgh School of Law
3. Limited Partner	For North Shore Associates
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2012	Salary for teaching at University of Pittsburgh	\$1,750.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. American conference Institute	10/28/12 to 10/29/12	New York, New York	Speaker at educational seminar	Travel and lodging
2. Allegheny County Bar Association	6/14/12 to 6/16/12	Champion, P.A.	Bench/Bar conference	Meals and lodging
3. New York intellectual property Law Association	3/23/12 to 3/24/12	New York, New York	Seminar and dinner for bench and bar	Travel, meals and lodging
4. John Myers-friend	12/27/12 to 1/6/13	British Virgin Islands	Vacation	Travel, meals and lodging
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	John Myers	Jewelry	\$750.00
2.	John Myers	Give card to store	\$500.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Limited Partner, 4 Northshore Associates	G	Rent	O	S					
2. IRA - Commonwealth									
3. Allianz Funds: NFJ Small Cap Fund CIA	A	Dividend	J	T					
4. Allianz Funds: NFJ Small Cap Fund CIA		None			Sold (part)	12/17/12	J	A	
5. Allianz RCM Global Water Fund CI A	A	Dividend	K	T	Buy	12/20/12	K		
6. American Washington Mutual Investors Fund CI FI	A	Dividend	K	T	Buy	12/26/12	K		
7. American Funds: American Mutual Fund CI FI	A	Dividend			Sold	12/17/12	K	D	
8. Copeland Risk Mgd Div Growth CL A		None			Sold	02/13/12	K	A	
9. Fidelity Advisor Consumer Staples CI I	A	Dividend	K	T					
10. Franklin Gold & Precious Metalas Fund CI A	A	Dividend	K	T	Buy	9/18/12	K		
11. PIMCO EQS Pathfinder Fund CI D		None			Buy	3/27/12	K		
12. PIMCO EQS Pathfinder Fund CI D		None			Sold	4/11/12	K	A	
13. PIMCO EQS Pathfinder Fund CI A		None			Buy	11/21/12	K		
14. PIMCO EQS Pathfinder Fund CI A		None			Sold	12/18/12	K	A	
15. PIMCO Stockplus Short Strategy CL D		None			Sold	3/27/12	K	A	
16. PIMCO Stockplus Short Strategy CL A	A	Dividend	K	T					
17. PIMCO Stockplus Short Strategy CL A		None			Sold (part)	9/17/12	K	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 - \$50,000 K = \$15,001 - \$50,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only)	P4 = More than \$50,000,000 S = Assessment	T = Cash Market	
(See Column C2)	Q = Appraisal U = Book Value	V = Other	W = Estimated		

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18. PIMCO Stockplus Short Strategy CL A		None			Buy (add'l)	10/23/12	K		
19. PIMCO Stockplus Short Strategy CL A		None			Sold (part)	11/21/12	K	A	
20. PIMCO Stockplus Short Strategy CL A		None			Buy (add'l)	12/18/12	K		
21. TRowePrice Funds: Emerging Markets	A	Dividend	K	T					
22. TRowe Price Funds: Real Estate Fund	A	Dividend	K	T					
23. Stratton Funds: Small Cap Value Fund	A	Dividend			Sold	12/13/12	J	B	
24. Franklin US Govt CI A	A	Interest			Sold	7/2/12	L	A	
25. Franklin US Govt CI A	B	Interest	K	T	Buy	7/12/12	L		
26. Franklin US Govt CI A		None			Sold (part)	9/11/12	L	B	
27. PIMCO Commodity Real Return Fund CI A	A	Dividend			Buy	9/17/12	K		
28. PIMCO Commodity Real Return Fund CI A		None			Sold	10/23/12	K	A	
29. PIMCO Total Return CI D	A	Dividend			Buy	4/11/12	K		
30. PIMCO Total Return CI D		None			Sold	7/2/12	K	A	
31. PIMCO Total Return CI D	A	Dividend	K	T	Buy	7/23/12	K		
32. Templeton Global Bond Fund CI A	C	Dividend	L	T	Buy	9/11/12	L		
33. PIMCO Emerg Local Bond Fund CI D	A	Dividend			Buy	7/2/12	K		
34. PIMCO Emerg Local Bond Fund CI D		None			Sold	7/26/12	K	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Franklin Convert Sec CI A	A	Dividend			Buy	7/6/12	L		
36. Franklin Convert Sec CI A		None			Sold	7/12/12	L	A	
37. M&I Bank -Money Market Account	A	Interest	J	T					
38. American Money Market Class F1	A	Interest	J	T	Buy	12/17/12	K		
39. American Money Market Class F1	A	Interest			Sold (part)	12/26/12	J	A	
40. ISHARES Barclays 20+ Year Treas Bond FD	A	Interest			Sold	4/9/12	K	A	
41. US Energy Sector ISHARES	A	Dividend	K	T					
42. Ishares S&P Index Fund	A	Dividend			Buy	5/15/12	K		
43. Ishares S&P Index Fund		None			Sold	7/26/12	K	A	
44. Proshares TR VIX Short Term ETF		None			Buy	2/15/12	J		
45. Proshares TR VIX Short Term ETF		None			Buy (add'l)	4/9/12	K		
46. Proshares TR VIX Short Term ETF		None			Sold	7/5/12	K	A	
47. Proshares TR VIX Short Term ETF		None			Buy	7/26/12	J		
48. Proshares TR VIX Short Term ETF		None			Sold (part)	8/1/12	J	A	
49. Proshares TR VIX Short Term ETF		None			Sold	11/23/12	J	A	
50. Vanguard Total Stk Mkt ETF	A	Dividend			Buy	4/20/12	K		
51. Vanguard Total Stk Mkt ETF		None			Sold	4/25/12	K	A	

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52. Vanguard Total Stk Mkt ETF	A	Dividend	K	T	Buy	11/23/12	K		
53. Allianz Gbl Invest Money Market Fund	A	Interest			Buy	12/17/12	J		
54. Allianz Gbl Invest Money Market Fund	A	Interest			Sold	12/20/12	J	A	
55. Brokerage Account - National Financial									
56. Fidelity Funds: Prime Money Mkt Reserves Fund	A	Interest	J	T					
57. Johnson & Johnson Common Stock	A	Dividend	J	T					
58. Gulf Keystone Petro Common Stock		None	K	T	Sold (part)	3/14/12	K	E	
59. iShares S&P Stock Index ETF	A	Dividend	J	T	Buy	3/19/12	J		
60. Altria Group Common Stock	A	Dividend	J	T					
61. Columbia Div Opp Fund CL C	A	Dividend	J	T					
62. Fidelity New Adv Insights Fund CL A		None	J	T					
63. Ivy Mid Cap Growth Fund CL C	A	Dividend	J	T	Buy	3/19/12	J		
64. Ivy Mid Cap Growth Fund CL C		None			Sold (part)	11/27/12	J	A	
65. Thornburg Funds: Intl Value Fund	A	Dividend			Sold	11/27/12	K	A	
66. Thornburg Invest Income Builder Fund CL C	A	Dividend	J	T					
67. Federated Funds: Kaufman Small Cap Fund	A	Dividend			Sold	3/15/12	J	C	
68. SouthernSun Funds: Small Cap		None	J	T					

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69. Delaware Diversified Income Fund C1 C	A	Dividend	J	T	Buy	3/19/12	J		
70. Brokerage Account - Ameriprise									
71. Morgan Stanley Funds: Tax Free Money Market Fund	A	Interest			Sold	9/30/12	J	A	
72. Ameriprise Insured Money Market	A	Interest	J	T	Buy	10/1/12	J		
73. Cons Staples Sel Sect SPDR FD (XLP)	A	Dividend	J	T					
74. Eaton Vance Limited Duration Fund	A	Dividend	J	T	Buy	4/27/12	J		
75. Eaton Vance Funds: Active Tax MGD Div EQ Fund	A	Dividend			Sold	2/8/12	J	A	
76. Eaton Vance Funds: Active Tax MGD GLBL Fund	A	Dividend	J	T	Buy (add'l)	2/13/12	J		
77. Eaton Vance Funds: Active Tax MGD GLBL Fund		None			Buy (add'l)	4/27/12	J		
78. Eaton Vance Funds: Active Tax MGD GLBL Fund		None			Sold (part)	7/23/12	J	A	
79. Energy Sel Sect SPDR FD (XLE)	A	Dividend	J	T					
80. First Trust NASD TEC Index FD (QTEC)	A	Dividend			Buy (add'l)	4/27/12	J		
81. First Trust NASD TEC Index FD (QTEC)		None			Sold	7/23/12	J	A	
82. Kayne Anderson MLP	A	Dividend	J	T	Buy	4/24/12	J		
83. John Hancock Funds: PFD EQ INCM Fund	A	Dividend			Sold	2/27/12	J	A	
84. Nuveen Pennsylvania Municipal Bond	A	Interest			Buy (add'l)	4/27/12	J		
85. Nuveen Pennsylvania Municipal Bond		None			Sold	7/23/12	J	A	

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86. Templeton Global Income Fund	A	Dividend	J	T	Buy (add'l)	4/27/12	J		
87. Templeton Global Income Fund		None			Sold (part)	7/23/12	J	A	
88. Utilities Select SPDR Fund	A	Dividend	J	T					
89. Vanguard Div Appreciation Fund	A	Dividend	J	T	Buy (add'l)	2/13/12	J		
90. Vanguard Div Appreciation Fund		None			Buy (add'l)	4/27/12	J		
91. Vanguard Int Term Bond Fund	A	Dividend	J	T	Buy	2/8/12	J		
92. General Electric Cap Corp Note 4.75% Due 09/15/14	A	Interest	J	T					
93. First Eagle Funds: Global Fund Cl A	A	Dividend			Sold (part)	2/8/12	J	A	
94. First Eagle Funds: Global Fund Cl A	A	Dividend	K	T	Sold (part)	7/23/12	J	A	
95. First Eagle Overseas Fund CL I	A	Dividend	J	T					
96. Calamos Market Neutral Fund	A	Dividend			Buy (add'l)	2/13/12	J		
97. Calamos Market Neutral Fund	A	Dividend	K	T	Buy (add'l)	4/27/12	J		
98. Permanent Portfolio Fund	A	Dividend	K	T	Buy (add'l)	2/13/12	J		
99. PIMCO Real Return Fund P	A	Dividend			Buy	3/23/12	J		
100. PIMCO Real Return Fund P	A	Dividend	J	T	Buy (add'l)	4/27/12	J		
101. Thornburg LTD Muni Fund CL I	A	Interest	K	T	Buy (add'l)	2/13/12	J		
102. Virtus Prem Alhpasector Fund	A	Dividend	K	T	Buy (add'l)	2/13/12	J		

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103. Miscellaneous Accounts									
104. PNC Bank Checking Account	A	Interest	J	T					
105. PA TAP Accounts		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Lenihan, Lisa P.

Date of Report

07/23/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Investment and Trusts

Line 1 (Limited Partner, 4 Northshore Associates) - Assessed value is \$825,000

Line 2 - IRA #1 renamed as IRA - Commonwealth

Brokerage Account #1 - closed in September, 2011

Line 55 - Brokerage Account #2 renamed as Brokerage Account - National Financial

Line 70 - Brokerage Account #3 renamed as Brokerage Account - Ameriprise

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Lenihan, Lisa P.

Date of Report

07/23/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Lisa P. Lenihan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544