

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) NORCLE, CHARLES R.	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 05/12/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT COURT, ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address UNITED STATES COURTHOUSE 219 SOUTH DEARBORN STREET STE 2346 CHICAGO, IL 60604-1844		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	ADJUNCT FACULTY	NORTHWESTERN SCHOOL OF LAW
2.	ADJUNCT FACULTY	THE JOHN MARSHALL LAW SCHOOL
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	NORTHWESTERN UNIVERSITY SCHOOL OF LAW	\$2,000.00
2. 2014	THE JOHN MARSHALL LAW SCHOOL	\$24,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	ELMHURST COLLEGE
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	CHASE CREDIT CARD	CONSUMER CREDIT	J
2.	BANK OF AMERICA CREDIT CARD	CONSUMER CREDIT	J
3.	CTTIBANK CREDIT CARD	CONSUMER CREDIT	J
4.	AMERICAN EXPRESS CREDIT CARD	CONSUMER CREDIT	J
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CHINA TECHNOLOGY GLOBAL		None	J	T					
2. SUPPORTSAVE SOLUTIONS		None	J	T					
3. DEUTSCHE BANK AG		None	K	T					
4. GENERAL STEEL HOLDINGS		None			Sold	04/14/14	K		
5. RESEARCH IN MOTION		None	K	T					
6. ALCATEL LUCENT SPON ADR		None			Buy	01/30/14	K		
7. ALCATEL LUCENT SPON ADR		None			Sold	02/04/14	K	A	
8. APPLE, INC		None			Buy	06/11/14	L		
9. APPLE, INC		None			Sold	07/07/14	L	B	
10. ARENA PHARMACEUTICALS		None			Buy	02/04/14	M		
11. ARENA PHARMACEUTICALS		None			Sold	05/09/14	M	C	
12. BLACKBERRY, LTD		None			Buy	01/03/14	L		
13. BLACKBERRY, LTD		None			Sold	01/03/14	L	A	
14. CASTLE A M CO. COM		None			Buy	01/15/14	L		
15. CASTLE A M CO. COM		None			Sold	05/02/14	L	B	
16. CHINA MOBILE GAMES & ENT ADR		None			Buy	07/07/14	J		
17. CHINA MOBILE GAMES & ENT ADR		None			Sold	07/18/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. DEAN FOODS CO		None			Buy	02/02/14	J		
19. DEAN FOODS CO		None			Sold	02/12/14	J	A	
20. INT'L BUSINESS MACHINES	A	Dividend			Buy	10/20/14	K		
21. INT'L BUSINESS MACHINES		None			Sold	12/24/14	K		
22. LIONS GATE ENT	A	Dividend			Buy	03/19/14	N		
23. LIONS GATE ENT		None			Sold	04/16/14	N	C	
24. NOKIA CORP ADR		None			Buy	04/14/14	K		
25. NOKIA CORP ADR		None			Sold	04/21/14	K	A	
26. SPRINT CORP COM		None			Buy	02/10/14	K		
27. SPRINT CORP COM		None			Sold	02/11/14	K	A	
28. UNITED STATES STEEL CORP	A	Dividend			Buy	01/27/14	N		
29. UNITED STATES STEEL CORP		None			Sold	06/11/14	N	C	
30. YAHOO, INC		None			Buy	09/19/14	K		
31. YAHOO, INC		None			Sold	10/06/14	K	A	
32. ARENA PHARMACEUTICALS INC		None			Buy	02/19/14	K		
33. ARENA PHARMACEUTICALS INC		None			Sold	11/04/14	J		
34. GENERAL STEEL HOLDINGS INC		None			Buy	03/11/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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35. GENERAL STEEL HOLDINGS INC		None			Sold	04/14/14	K		
36.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ CHARLES R. NORGLE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544