

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Robart, James L.	2. Court or Organization U.S. Dist Court, W.D. of WA	3. Date of Report 04/09/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Dist. Judge (senior status)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 700 Stewart Street, 14th Floor Seattle, WA 98101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee Emeritus	Whitman College
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Robart, James L.

Date of Report

04/09/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Paintings sold for cash.
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Conference Institute	January 12-13, 2017	Philadelphia, Pennsylvania	Asbestos Claims & Litigation CLE	Airfare, Lodging, Food, Transportation, and Parking
2.	American Conference Institute	January 26-27, 2017	Miami, Florida	Wage & Hour Litigation CLE	Airfare, Lodging, Food, Transportation, and Parking
3.	American Conference Institute	March 1-2, 2017	Chicago, Illinois	ERISA Litigation CLE	Airfare, Lodging, Food, Transportation, and Parking
4.	Arizona State University	March 22-23, 2017	Pheonix, Arizona	Standard Essential Patents Workshop	Airfare, Lodging, Food, Transportation, and Parking
5.	Whitman College	March 30-31, 2017	Walla Walla, Washington	Class on Sentencing	Airfare, Lodging, Food, Transportation, and Parking

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Robart, James L.

Date of Report

04/09/2018

6.	American Conference Institute	April 3-4, 2017	New York, New York	Class Action Workshop	Airfare, Lodging, Food, Transportation, and Parking
7.	University of Hawaii	May 13-14, 2017	Honolulu, Hawaii	Law School Commencement Address	Airfare, Lodging, Food, Transportation, and Parking
8.	University of Oregon	May 20-21, 2017	Eugene, Oregon	Law School Commencement Address	Lodging, Food, and Transportation
9.	Technical Univeristy of Munich	June 22-24, 2017	Munich, Germany	International Law Conference	Airfare, Lodging, Food, Transportation, and Parking
10.	IP Owners Association	September 18, 2017	San Fransisco, California	Panel at Annual Meeting	Airfare, Food, Transportation, and Parking
11.	Singapore Management University	November 9-10, 2017	Singapore, Republic of Singapore	Standard Essential Patents and FRAND Conference	Airfare, Lodging, Food, Transportation, and Parking
12.	Cornell Univeristy	October 27-29, 2017	Ithaca, New York	Moot Court Competition	Airfare, Lodging, Food, Transportation, and Parking
13.					
14.					
15.					
16.					
17.					
18.					
19.					
20.					

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Robart, James L.

Date of Report

04/09/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit Card	J
2.	Bank of America	Credit Card	J
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Robert, James L.

Date of Report

04/09/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Key Bank	A	Interest	K	T					
2. Key Bank	A	Interest	J	T					
3. Oak Harbor, WA Island Cnty Rental Property (2017 - \$200,000)	A	Rent	M	S					
4. Bank of Washington Common Stock		None	K	T					
5. BROKERAGE ACCOUNT #1									
6. -- Starbucks Common Stock	E	Dividend	P1	T	Donated (part)				
7. -- Schwab Money Fund	B	Interest	M	T					
8. -- MSCI Em. Mkts I-Shares	E	Dividend	P1	T					
9. -- MSCI EAFE Index Fund	D	Dividend	N	T					
10. -- S&P 600 I-Shares	D	Dividend	O	T					
11. -- Vanguard REIT Fund	E	Dividend	O	T					
12. -- S&P 500 IShares	E	Dividend	O	T					
13. -- Boston Priv. Fin. Hldg.	C	Dividend	M	T					
14. Chevron Common Stock	E	Dividend	M	T					
15. BROKERAGE IRA #1									
16. -- MSCI EAFE Index I-Shares	E	Dividend	P1	T					
17. -- S&P Small Cap 600 IShares	F	Dividend	P1	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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Robart, James L.

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18. -- S&P 500 I-Shares	E	Dividend	P1	T					
19. -- Schwab Money Fund	C	Interest	N	T					
20. -- U.S. TIPS		None	O	T	Matured (part)	04/15/17	M	C	
21.					Buy (add'l)	04/20/17	M		
22. -- Vanguard REIT	E	Dividend	O	T					
23. -- Emrg. Mkt. I-Share	E	Dividend	O	T					
24. -- Bank of America Notes	D	Interest	M	T					
25.	C	Interest	M	T	Buy (add'l)	10/13/17	M		
26. -- Goldman Sachs Notes	A	Interest			Matured	07/25/17	L		
27. -- Pfizer Notes	C	Interest	M	T					
28. -- GE Cap. Bank CD	A	Interest			Matured	01/16/17	M		
29. -- Conoco Phillips Notes	B	Interest			Closed	06/21/17	M		
30. -- J.P. Morgan Chase	B	Interest	M	T					
31. -- American Express Bank CD	B	Interest	M	T					
32. -- Synchrony Bank CD	C	Interest	M	T					
33. -- Amgen Notes	C	Interest	M	T					
34. -- BP Capt. Mks.	C	Interest	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Robart, James L.

Date of Report

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35. -- General Electric Note	D	Interest	M	T					
36. -- Toyota Auto Receiv.	C	Interest	M	T					
37. --Verizon Comm. Note	C	Interest	M	T					
38. Merck Bond	C	Interest	M	T	Buy	01/05/17	M		
39. Franklin Resources Note	C	Interest	M	T	Buy	07/10/17	M		
40. Bank of China C.D.	C	Interest	M	T	Buy	07/10/17	M		
41. BROKERAGE IRA #2									
42. -- Janus Balanced Mut. Fund	B	Dividend	K	T					
43. -- T.R. Price New Asia Mut. Fund	A	Dividend	K	T					
44. -- Schwab Money Fund	A	Interest	J	T					
45. -- MSCI EAFE Index Fund	B	Dividend	L	T					
46. -- S&P 500 I-Shares	C	Dividend	M	T					
47. -- MSCI Em. Mkts I Share	C	Dividend	L	T					
48. -- S&P Small Cap 600 I Shares	B	Dividend	M	T					
49. -- IBM	A	Dividend	J	T					
50. -- Vanguard REIT	B	Dividend	K	T					
51. Vanguard Intl. Tax Managed Fund	E	Dividend	P1	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Robart, James L.

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52. 7MB Tech. Corp.		None	K	T					
53. Builders Capital Part.	E	Dividend	N	T					
54. Boeing Employees Credit Union	A	Interest	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Robart, James L.

Date of Report

04/09/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Robart, James L.

Date of Report

04/09/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James L. Robart**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544