

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Walker, Susan R.	2. Court or Organization Middle District of Alabama	3. Date of Report 12/29/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief United States Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address One Church Street, 501-B Montgomery, AL 36104		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Eckerd College, St. Petersburg, FL
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 01-06/13	Net rental income (weekend home - Elmore County, AL)	\$1,446.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Self-employed attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	U. S. Dept. of Education	Student Loan (Child)	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions (Tax Account)	A	Interest	K	T					
2. Balch & Bingham (interest in partnership)	C	Dividend	K	T					
3. APCO Credit Union	A	Interest	J	T					
4. Regions (Savings Account)	A	Interest	J	T					
5. Cisco Systems Common Stock	A	Dividend	J	T					
6. Intel Common Stock	A	Dividend	J	T					
7. Walmart Common Stock	A	Dividend	J	T					
8. Berkshire Hathaway Class B Common Stock		None	J	T					
9. TD Waterhouse Bank (Cash)	A	Interest	K	T					
10. Johnson & Johnson Common Stock	A	Dividend	J	T					
11. China Mobile Ltd Sp Adr	A	Dividend	J	T					
12. Real property (weekend home), Elmore County, AL	B	Rent			Sold	06/28/13	N	F	Cliff and Cindy Martin
13. Abbott Laboratories	A	Dividend	K	T					
14. Abbvie, Inc.	A	Dividend	K	T	Buy (add'l)	01/02/13	J		
15. Accenture Ltd Cl A	A	Dividend	K	T					
16. Apple Computer, Inc.	A	Dividend	J	T	Buy	01/28/13	J		
17. China Mobile Ltd Sp Adr	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Coca Cola Co	B	Dividend	K	T	Sold (part)	05/21/13	J	C	
19. Exelon Corp	B	Dividend	K	T	Sold (part)	08/01/13	J	A	
20. Exxon Mobil Corporation, Inc.	A	Dividend	K	T					
21. Hertz Global Holdings, Inc.		None	J	T	Buy	08/09/13	J		
22. Intel Corp	A	Dividend	K	T					
23. Johnson & Johnson Com	B	Dividend	K	T	Sold (part)	08/01/13	J	B	
24. McDonalds Corp	A	Dividend	K	T					
25. Microsoft Corp	A	Dividend	K	T					
26. Molson Coors Brewing Co Cl B	B	Dividend	K	T					
27. Novartis A G Spon Adrf	B	Dividend	K	T	Sold (part)	05/21/13	J	B	
28. Novo-Nordisk A/S Adr	A	Dividend	J	T					
29. Oracle Corporation	A	Dividend	K	T					
30. Peabody Energy Corp	A	Dividend	J	T	Sold (part)	08/01/13	J	A	
31. Pepsico, Inc	A	Dividend	K	T	Sold (part)	08/01/13	J	B	
32. Philip Morris International, I	A	Dividend	K	T					
33. Southern Company	B	Dividend	K	T					
34. Target	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Teva Pharm Inds Ltd Adrf	A	Dividend	K	T					
36. United Technologies	A	Dividend	K	T					
37. Vale S.A. ADR	A	Dividend	J	T	Sold (part)	08/01/13	J	A	
38. Vodafone Group Public Limited	B	Dividend	K	T					
39. Wak-Mart Stores, Inc.	A	Dividend	K	T					
40. Waster Mnagement, Inc. Del	B	Dividend	K	T	Sold (part)	05/21/13	J	B	
41. American Century Short	A	Dividend			Sold (part)	04/12/13	K	A	
42. American Century Short	A	Dividend			Sold	06/12/13	K	A	
43. Avon Products Inc Nt 4.8% 13 Ma	A	Interest			Sold	03/01/13	K	A	
44. Avon Products Inc NT 6.5% 19 M-	B	Interest	K	T					
45. BANK OF AMERICA CORP VR 101212	A	Interest	K	T	Buy	06/13/13	K		
46. Bk Nova Scotia Flt 2033f	A	Interest	K	T	Buy	07/26/13	K		
47. BUCKEYE PARTNERS LP SR UNSECU	A	Interest	K	T	Buy	03/06/13	K		
48. CINCINATTI OHIO VARIOUS PURP B	B	Interest	K	T					
49. CITIBANK N A CD CLL FX/FLT 33	A	Interest	K	T	Buy	08/13/13	K		
50. Dell Bond		None			Buy	01/18/13	K		
51. Dell Bond		None			Sold	02/26/13	K	A	

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52. ELECTRONIC DATA SYS NEW NOTE	B	Interest			Sold	08/01/13	K	A	
53. HEWLETT PACKARD CO SR UNSECURE	B	Interest	K	T					
54. Hrpt Properties	B	Interest	K	T					
55. Ingersoll-Rand Global Hldg C N	B	Interest	K	T					
56. JP MORGAN CHASE BK NA		None	K	T	Buy	03/26/13	K		
57. LLOYDS TSB BANK PLC RGE ACCRL	B	Interest	K	T	Buy	03/18/13	K		
58. Pitney Bowes Inc Note 5.000%	A	Interest			Sold	05/01/13	K	A	
59. Port St. Lucie FL Lease Rev Bo	B	Interest	K	T					
60. Safeway Inc Sr Unsecured M-W C	B	Interest	K	T					
61. Societe Gen Var 2033f	B	Interest	K	T	Buy	04/05/13	K		
62. TOYOTA MOOR CREDIT SR UNSECUR	A	Interest	K	T					
63. Union Bank Na, CD 10/25/17 0%	A	Interest	K	T					
64. Union Bank Na, San	A	Interest	K	T	Buy	04/25/13	K		
65. Weitz Funds Hort Intern Incom	A	Dividend	K	T	Sold (part)	06/12/13	K	A	
66. Western Union Sr Unsecured M-W	A	Interest	K	T					
67. WESTERN UNION SR UNSEC	B	Interest	K	T	Buy	01/30/13	K		
68. SSGA Money Market (Cash)	A	Interest	M	T					

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69. SDBA Fund		None	P1	T					
70. Oakmark Equity & Income Fund		None	M	T					
71.									
72.									
73.									
74.									
75.									
76.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Notes: [REDACTED] pension and 401K accounts ([REDACTED]) which are derived from [REDACTED] and invested by a third party. I previously reported these as investments, but did not report individual stock or bond holdings, as I did not have knowledge of these. My amended report now reflects those specific holdings.

The weekend home noted in Parts III.A and VII was held primarily as a personal residence, not for the production of income. However, it was rented from time to time through VRBO or Airbnb just before the sale of the property.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Susan R. Walker

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544