

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) KERN, TERENCE C.	2. Court or Organization USDC/NDOK	3. Date of Report 07/31/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address The Federal Building 224 South Boulder Ave., Rm 241 Tulsa, OK 74103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

KERN, TERENCE C.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Oil & Gas Leases:									Heading for lines 2-17
2. ----L Tuley, Garvin Co, OK	A	Royalty	J	W					
3. ----Lash, Murray Co, OK	A	Royalty	J	W					
4. ----Crump, Murray Co, OK	A	Royalty	J	W					
5. ----Floyd, Garvin Co, OK	B	Royalty	J	W					
6. ----Gibson, Garvin Co, OK	A	Royalty	J	W					
7. ----Muncrief, Garvin Co, OK	A	Royalty	J	W					
8. ----Beers Lite, Dewey Co, OK	B	Royalty	J	W					
9. ----Kenneth, Dewey Co, OK	A	Royalty	J	W					
10. ----Edna, Dewey Co, OK	A	Royalty	J	W					
11. ----Stanton, Dewey Co, OK	A	Royalty	J	W					
12. ----Kimball, Major Co, OK	A	Royalty	J	W					
13. ----Miller, Major Co, OK	B	Royalty	J	W					
14. ----Spark, Bensh, Major Co, OK	A	Royalty	J	W					
15. ----BcWk, Logan Co, OK	A	Royalty	J	W					
16. ----Royalty Int, Custer Co, OK	D	Royalty	J	W					
17. ----Royalty Int, Stephens Co, OK	B	Royalty	J	W					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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18. BROKERAGE ACCOUNT # 1									Heading for lines 19-33
19. --UBS Bank USA Dep Acct	A	Int./Div.	J	T					(Active Asset Money Fund)
20. --Williams Co	A	Dividend			Sold	05/14/13	K	C	
21. --ONEOK Partners Lp	A	Dividend	K	T					
22. --Enterprise Products Partner LP MLP	A	Dividend	K	T					
23. --Freeport-McMoran Copper & Gold	B	Dividend	K	T	Buy (add'l)	08/21/13	J		
24. --Qualcomm	A	Dividend			Buy	01/29/13	K		
25.					Sold	05/08/13	K	B	
26. --Access Midstream	A	Dividend	K	T					
27. --Legacy Reserves	A	Dividend			Sold	04/12/13	J	B	
28. --WPX Energy	A	Dividend			Sold	05/10/13	J	A	
29. --Hi-Crush	A	Dividend	J	T	Sold (part)	12/16/13	K	D	
30. --BPZ RES		None			Sold	12/31/13	J		
31. --US Energy Corp Wyoming		None			Sold	12/17/13	J		
32. --Vale	A	Dividend	K	T	Buy (add'l)	11/12/13	J		
33. --Gilead Science	A	Dividend	K	T	Buy	05/08/13	K		
34. BROKERAGE ACCOUNT # 2									Heading for lines 35-50

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. --Cimarex Energy		Dividend			Sold	04/05/13	J	D	
36. --Helmerich & Payne		Dividend			Sold	09/25/13	K	F	
37. --Cushing MLP Total Return Fund	A	Dividend	K	T					
38. --Nuveen Diversified Commodity Fund		None			Sold	12/26/13	J		
39. --LINN Energy LLC	A	Dividend			Sold	04/17/13	K	A	
40. --UBS Bank USA Deposit Acct	A	Int./Div.	L	T					(Active Asset Money Fund)
41. --Plains All American Pipeline	A	Dividend	M	T	Buy (add'l)	04/17/13	L		
42.					Buy (add'l)	12/13/13	K		
43. --EPL Oil & Gas	A	Dividend			Buy	05/08/13	K		
44.					Sold	08/12/13	K		
45. --Halcon RES	A	Dividend	J	T	Buy	05/28/13	J		
46.					Buy (add'l)	09/12/13	J		
47. --Noble Energy	B	Dividend	K	T	Buy	08/07/13	K		
48. --Pioneer National		None	K	T	Buy	10/31/13	K		
49.					Buy (add'l)	11/06/13	J		
50. --CVR Refining	A	Dividend	J	T	Buy	11/01/13	J		
51. BROKERAGE ACCOUNT # 3									Heading for lines 52-105

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. --Fidelity Investment Fund Deposit Acct	A	Interest	J	T					(Active Asset Money Fund)
53. --EMC Corp Mass	A	Interest			Buy	04/18/13	J		
54.					Buy (add'l)	04/19/13	J		
55.					Sold	05/14/13	K	A	
56. --Unilife Corp New Com	A	Interest			Buy	04/23/13	J		
57.					Buy (add'l)	04/23/13	J		
58.					Sold	05/10/13	J	A	
59. --Eagle Rock Energy Partners	A	Interest	J	T	Buy	04/25/13	J		
60.					Buy (add'l)	05/03/13	J		
61.					Sold (part)	05/28/13	J	A	
62.					Buy (add'l)	08/07/13	J		
63.					Buy (add'l)	08/20/13	J		
64.					Buy (add'l)	08/20/13	J		
65.					Sold (part)	09/25/13	J	A	
66.					Buy (add'l)	10/30/13	J		
67.					Buy (add'l)	10/30/13	J		
68.					Sold (part)	11/26/13	J	A	

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69.					Sold (part)	11/27/13	J	A	
70.					Sold (part)	12/31/13	J	A	
71. --Kodiak Oil & Gas Corp		None			Buy	04/30/13	J		
72.					Sold (part)	05/14/13	J	A	
73.					Sold	05/14/13	J		
74. --Pike Corp		None			Buy	05/15/13	J		
75.					Buy (add'l)	05/16/13	J		
76.					Buy (add'l)	06/24/13	J		
77.					Sold (part)	08/07/13	J	A	
78.					Buy (add'l)	09/04/13	J		
79.					Sold (part)	11/27/13	J		
80.					Sold	12/30/13	J		
81. --Niska Gas Storage Partners	A	Interest			Buy	05/20/13	J		
82.					Buy (add'l)	05/20/13	J		
83.					Sold	06/09/13	J	A	
84. --Sutor Technology Group	A	Interest			Buy	06/14/13	J		
85.					Sold (part)	07/24/13	J	A	

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86.					Sold	06/09/13	J	A	
87. --Builders Firstsource Inc	A	Interest			Buy	07/05/13	J		
88.					Sold	09/18/13	J	A	
89. --Perion Network LTD	A	Interest			Buy	07/12/13	J		
90.					Sold	08/05/13	J	A	
91. --Marathon Petroleum Corp	A	Interest			Buy	07/18/13	K		
92.					Sold	08/12/13	K	B	
93. --Powersecure Intl. Inc.	A	Interest			Buy	07/26/13	J		
94.					Sold	08/16/13	J	A	
95.					Buy	09/06/13	J		
96.					Sold	09/30/13	J	A	
97. --Athabasca Oil Corp	A	Interest	J	T	Buy	07/31/13	J		
98. --Semiconductor Manf.	A	Interest	J	T	Buy	08/07/13	J		
99. --BPZ Resources		None			Buy	08/20/13	J		
100.					Sold	11/21/13	J		
101. --Lucas Energy Inc		None	J	T	Buy	10/16/13	J		
102.					Buy (add'l)	10/24/13	J		

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103. --Atmel Corp	A	Interest			Buy	10/24/13	J		
104.					Sold	11/18/13	J	A	
105. --Pioneer Natural Resources	A	Interest	J	T	Buy	11/07/13	J		
106. OK 529 College Savings Plan Aggressive Managed Allocat-no control (X)	A	Interest	J	T	Buy	09/13/13	J		
107. Bank of Oklahoma accounts	A	Interest	L	T					
108. F&M Bank account	A	Interest	L	T	Open	12/20/13	L		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 18 - Previously called Brokerage Account #4, is now called Brokerage Account #1

Part VII, Line 34 - Previously called Brokerage Account #7, is now called Brokerage Account #2

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **TERENCE C. KERN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544