

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Magnus-Stinson, Jane E.	2. Court or Organization Us District Court Southern District of Indiana	3. Date of Report 05/10/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge- active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address Birch Bayh United States Courthouse 46 E. Ohio St., Room 304 Indianapolis, IN 46204	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Member, Board of Visitors	Indiana University Robert H. McKinney School of Law
2. Member, Board of Visitors	Butler University, College of Education
3. Member, Board of Directors	Christamore House
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 1995	Indiana Judges Retirement Fund, pension upon retirement, eligible for benefits 2020-2023
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Magnus-Stinson, Jane E.

Date of Report

05/10/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 10/21/11	National Institute for Trial Advocacy	\$2,613.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Cripe Architects + Engineers, wages
2. 2011	Indiana Public Employees Retirement Fund - pension
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Institute for Trial Advocacy	October 9-14, 2011	Indianapolis, IN	Trial Skills Seminar	Meals provided
2. Columbia University School of Law	November 1-2, 2011	New York, NY	Speech concerning judicial selection	Air fare, hotel, meals provided
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Magnus-Stinson, Jane E.

Date of Report

05/10/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Magnus-Stinson, Jane E.

Date of Report

05/10/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Deferred Compensation Acct. J1									
2. -Indiana Stable Value Fund	B	Interest			Sold	05/23/11	L	A	
3. Deferred Compensation Acct. J2									
4. -Indiana Stable Value Fund	A	Interest			Sold	05/23/11	J	A	
5. Vanguard 500 Index Fund	A	Dividend	J	T	Sold (part)	09/30/11	J	A	
6. Settlers Life IRA	C	Interest	L	T					
7. Regions Bank IRA	A	Int./Div.			Sold (part)	05/23/11	K	B	
8.					Sold	07/05/11	J	A	
9. Deferred Compensation Acct. B1									
10. Indiana Stable Value Fund	A	Interest			Sold	06/07/11	L	D	
11. Deferred Compensation Acct. B2									
12. Indiana Stable Value Fund	A	Interest			Sold	06/07/11	J	A	
13. Indiana Members Credit Union Acctos.	A	Int./Div.	L	T					
14. Regions Bank Acct.		None	J	T					
15. Huntington National Bank Acct.		None			Closed	01/04/11	J		
16. PNC Money Market Acct.	A	Interest			Sold	05/23/11	K	A	
17. Old National Bank Acct.	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Magnus-Stinson, Jane E.

Date of Report

05/10/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Cripe Deferred Comp Alliance Benefit Group									
19. -Rainier Balanced Portfolio	A	Interest			Sold	01/21/11	J	A	
20. -Columbia Balanced Fund	B	Interest	J	T	Buy	01/24/11	J		
21. -PIMCO Total Return Fund	A	Interest	J	T					
22. Van Kampen Equity IRA (J)	A	Interest			Sold	05/26/11	J	A	
23. Van Kampen Equity IRA(B)	A	Interest			Sold	06/07/11	J	A	
24. J IN College Choice PIMCO Total Return	A	Interest			Sold	06/06/11	J	A	
25. J IN College Choice Columbia Acorn Portfolio	A	Interest			Sold	06/06/11	J	A	
26. J IN College Choice TRowe Price Large Cap	A	Interest			Sold	06/06/11	J	A	
27. G IN College Choice PIMCO Total Return	A	Interest			Sold	06/06/11	J	A	
28. G IN College Choice Columbia Acorn Portfolio	A	Interest			Sold	06/06/11	J	A	
29. G IN College Choice TRowe Price Large Cap	A	Interest			Sold	06/06/11	J	A	
30. J IN 529 Age Based Income Portfolio	A	Interest	K	T	Buy	06/06/11	J		
31.					Buy (add'l)	12/22/11	J		
32. G IN 529 Age Based Conservative Growth Portfolio	A	Interest	J	T	Buy	04/01/11	J		
33. Charles Schwab  Brokerage Account									
34. CERES California Muni Bond	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Magnus-Stinson, Jane E.

Date of Report

05/10/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Indiana Financial Authority Muni Bond	A	Interest	J	T					
36. Matthews Asia Dividend Fund (MAPIX)	A	Dividend	J	T	Buy	09/30/11	J		
37. Schwab Bank Cash	A	Interest	J	T					
38. Schwab Brokerage									
39. Schwab Bank Cash	A	Dividend	J	T					
40. JPMorgan Tax Aware Real Return (TXRIX)	A	Int./Div.	J	T					
41. Thornburg Intermediate Muni Bond (THMIX)	A	Int./Div.	J	T					
42. Artisan International Fund (ARTIX)	A	Dividend	J	T					
43. Schwab S&P 500 Index (SWPPX)	A	Dividend	J	T					
44. Charles Schwab IRA									
45. Schwab Bank Cash	A	Int./Div.	J	T			J		
46. JPMorgan Inflation Managed Bond (JRBSX)	A	Dividend	J	T	Buy	06/07/11	J		
47. Loomis Sayles Global Bond (LSGLX)	A	Dividend	J	T	Buy	09/30/11	J		
48. Pioneer Strategic Income (STRYX)	A	Dividend	J	T	Buy	06/07/11	J		
49. Vanguard Short Term Investment Grade (VFSTX)	A	Dividend	J	T	Buy	06/07/11	J		
50. Artisan International Fund (ARTIX)	A	Dividend	J	T	Buy	06/07/11	J		
51. Diamond Hill Small Cap (DHSCX)	A	Distribution	J	T	Buy	06/07/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Magnus-Stinson, Jane E.

Date of Report

05/10/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Dodge & Cox Stock (DODGX)	A	Dividend	K	T	Buy	06/07/11	J		
53. Forward International Small Company	A	Dividend	J	T	Buy	06/07/11	J		
54. Goldman Sachs Mid Cap Value (GCMAX)	A	Dividend	J	T	Buy	06/07/11	J		
55. Harbor Capital Appreciation (HACAX)	A	Dividend	J	T	Buy	06/07/11	J		
56. Matthews Asia Dividend (MAPIX)	A	Distribution	J	T	Buy	09/30/11	J		
57. Meridian Growth Fund (MERDX)	A	Distribution	J	T	Buy	06/07/11	J		
58. Neuberger Berman Gensis (NBGNX)	A	Distribution	J	T	Buy	06/07/11	J		
59. Charles Schwab IRA - [REDACTED]									
60. Schwab Bank Cash	A	Int./Div.	J	T					
61. JPMorgan Inflation Managed Bond (JIMAX)	A	Int./Div.	J	T	Buy	05/26/11	J		
62. Loomis Sayles Global Bond (LSGLX)	A	Int./Div.	J	T	Buy	05/26/11	J		
63. Metropolitan West Total Return (MWTRX)	A	Int./Div.	J	T	Buy	05/26/11	J		
64. Pioneer Strategic Income (PSRAX)	A	Int./Div.	J	T	Buy	05/26/11	J		
65. Vanguard Short Term Inv (VFSTX)	A	Int./Div.	J	T	Buy	05/27/11	J		
66. American Funds AMCAP (AMCFX)		None	K	T	Buy	05/26/11	K		
67. Artisan Intl Fund (ARTIX)	A	Distribution	J	T	Buy	05/26/11	J		
68. Diamond Hill Small Cap (DHSCX)	A	Distribution	J	T	Buy	05/26/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Magnus-Stinson, Jane E.

Date of Report

05/10/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Goldman Sachs Mid Cap Value (GSMCX)	A	Distribution	J	T	Buy	05/26/11	J		
70. Harbor International (HAINX)	A	Distribution	J	T	Buy	05/26/11	J		
71. Matthews Asia Dividend (MAPIX)	A	Distribution	J	T	Buy	05/26/11	J		
72. Meridian Growth (MERDX)	A	Distribution	J	T	Buy	05/26/11	J		
73. Vanguard Windsor II (VWNFX)	A	Dividend	J	T	Buy	05/27/11	K		
74. Van Kampen Equity Income (ACEIX)		None			Sold	05/26/11	J	A	
75. Eaton Vance Floating Rate (EIBLX)	A	Int./Div.			Sold	08/11/11	J	A	
76. Charles Schwab Brokerage - [REDACTED] - [REDACTED]									
77. Schwab Bank Cash	A	Int./Div.	J	T					
78.									
79.									
80.									
81.									
82.									
83.									
84.									
85.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Magnus-Stinson, Jane E.

Date of Report

05/10/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86.									
87.									
88.									
89.									
90.									
91.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Magnus-Stinson, Jane E.

Date of Report

05/10/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In 2011, [REDACTED] changed financial managers. This accounts for the high number of changes in Part VII. With respect to Line 7, that IRA was sold in 2 parts.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Magnus-Stinson, Jane E.

Date of Report

05/10/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jane E. Magnus-Stinson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544