

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cassling, Donald R.	2. Court or Organization Northern District of Illinois	3. Date of Report 07/16/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 219 South Dearborn Street Suite 662 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Judicial Conference	04/30/17 - 05/02/17	Indianapolis, IN	Attendance at annual Seventh Circuit Judicial Conference	Hotel and Registration
2. American Bankruptcy Institute	06/08/17 - 06/10/17	Traverse City, MI	Attendance as panelist and committee member at annual Central States' ABI program	Hotel, travel, and registration
3. National Conference of Bankruptcy Judges	10/07/17 - 10/11/17	Las Vegas, NV	Attendance at annual NCBJ conference, including judges' meetings	Partial hotel reimbursement
4.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	CNB Bank & Trust, N.A.	Property No. 1 in Homer, Illinois	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. IRA #1 (H)									
2. - iShares MSCI EAFE Index ETF EFA		None			Sold	02/02/17	L	A	
3. - iShares Select Div ETF DVY		None			Sold	02/02/17	N	A	
4. - iShares Core S&P 500 ETF IVV		None			Sold	02/02/17	N	A	
5. - SPDR S&P Divident ETF SDY		None			Sold	02/02/17	L	A	
6. - Vanguard Total Stock Market ETF VTI	E	Dividend	O	T	Buy (add'l)	02/02/17	O		
7.					Sold (part)	05/04/17	J	B	
8.					Sold (part)	08/07/17	J	A	
9.					Sold (part)	10/23/17	M	F	
10. - Vanguard MSCI European ETF VEG		None			Sold	02/02/17	M	A	
11. - Vanguard Dividend Appreciation ETF VIG	D	Dividend	N	T	Buy (add'l)	02/02/17	O		
12.					Buy (add'l)	08/07/17	J		
13.					Sold (part)	05/04/17	J	B	
14.					Sold (part)	10/23/17	K	D	
15. - Blackrock Global Allocation Inst. Class Malox	B	Dividend	M	T	Sold (part)	02/02/17	L	A	
16.					Sold (part)	05/04/17	J	A	
17.					Sold (part)	08/07/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Buy (add'l)	07/24/17	J		
19.					Buy (add'l)	10/23/17	J		
20.					Buy (add'l)	12/21/17	J		
21. - Bank of America, California N.A. RASP	A	Interest	K	T					
22. - Alliance Bernstein Global Bd Fund Inc. AVL CL ANAYX	A	Dividend			Sold	02/02/17	L	A	
23. - Alliance Bernstein High Income Fund CL ADV AGDYX	A	Dividend			Sold	02/02/17	M	A	
24. - Vanguard Total Int'l STK-VXUS	D	Dividend	N	T	Buy (add'l)	02/02/17	N		
25.					Sold (part)	05/04/17	J	A	
26.					Sold (part)	08/07/17	J	A	
27.					Sold (part)	10/23/17	L	D	
28. - Eaton Vance Floating Rate Fund - EIBL	C	Dividend	M	T	Buy (add'l)	01/03/17	J		
29.					Buy (add'l)	02/01/17	J		
30.					Buy (add'l)	03/01/17	J		
31.					Buy (add'l)	04/03/17	J		
32.					Buy (add'l)	05/01/17	J		
33.					Buy (add'l)	05/04/17	J		
34.					Buy (add'l)	06/01/17	J		

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35.					Buy (add'l)	07/03/17	J		
36.					Buy (add'l)	08/01/17	J		
37.					Buy (add'l)	08/07/17	J		
38.					Buy (add'l)	09/01/17	J		
39.					Buy (add'l)	10/02/17	J		
40.					Buy (add'l)	10/23/17	J		
41.					Buy (add'l)	11/01/17	J		
42.					Buy (add'l)	12/01/17	J		
43.					Sold (part)	02/02/17	K	A	
44. - Vanguard Total Bond Mkt - BND	C	Dividend	N	T	Buy (add'l)	02/02/17	M		
45.					Buy (add'l)	05/04/17	J		
46.	A				Buy (add'l)	08/07/17	J		
47.					Buy (add'l)	10/23/17	M		
48. - Blackrock Low Discretion - BFMS (X)	B	Dividend	M	T	Buy	02/02/17	L		
49.					Buy (add'l)	05/04/17	J		
50.					Buy (add'l)	08/07/17	J		
51.					Buy (add'l)	10/23/17	L		

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52. HSA IRA (H)									
53. - iShares MSCI EAFE - EFA	A	Dividend	J	T					
54. - Vanguard Total Stk Mkt - VTI	A	Dividend	K	T					
55. - Vanguard Dividend Appreciation - VIG	A	Dividend	K	T	Sold (part)	09/16/17	J	B	
56. - ML Bank Deposit Program	A	Interest	J	T					
57. - Vanguard Total Int'l Stk - VXUS	A	Dividend	J	T					
58. - Invesco Equally Weighted S&P 500 Fund - VADD (X)	C	Dividend	M	T	Buy	02/02/17	M		
59.	A				Buy (add'l)	05/04/17	J		
60.					Buy (add'l)	08/07/17	J		
61.	B				Sold (part)	10/23/17	K	C	
62. - iShare TIPS Bond ETF - TIP (X)	B	Dividend	L	T	Buy	02/02/17	L		
63.					Buy (add'l)	05/04/17	J		
64.					Buy (add'l)	08/07/17	J		
65.					Buy (add'l)	10/23/17	J		
66.									
67. Roth IRA (H)									
68. - Vanguard Total Stk Mkt - VTI	A	Dividend	K	T	Buy (add'l)	01/05/17	J		

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69.					Buy (add'l)	02/09/17	J		
70.					Sold (part)	04/11/17	J	A	
71.					Sold (part)	10/16/17	J	A	
72. - Vanguard Dividend Appreciation - VIG	A	Dividend	K	T	Buy (add'l)	01/05/17	J		
73.					Buy (add'l)	02/09/17	J		
74.					Buy (add'l)	10/16/17	J		
75.					Sold (part)	04/11/17	J	A	
76. - Blackrock Global Allocation - MALOX	A	Dividend	J	T	Buy (add'l)	02/09/17	J		
77.					Buy (add'l)	04/11/17	J		
78.					Buy (add'l)	07/24/17	J		
79.					Buy (add'l)	10/16/17	J		
80.					Buy (add'l)	12/21/17	J		
81. - Bank of America RASP	A	Interest	J	T					
82. - Vanguard Total Int'l Stk - VXUS	A	Dividend	K	T	Sold (part)	01/15/17	J	A	
83.					Sold (part)	04/11/17	J	A	
84.					Sold (part)	07/13/17	J	A	
85.					Sold (part)	10/16/17	J	A	

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86.					Buy (add'l)	02/09/17	J		
87. - Vanguard Total Bond Mkt - BND	A	Dividend	K	T	Sold (part)	01/15/17	J	A	
88.					Buy (add'l)	02/09/17	J		
89.					Buy (add'l)	04/11/17	J		
90.					Buy (add'l)	07/13/17	J		
91.					Buy (add'l)	10/16/17	J		
92. - Invesco Equally Weighted S&P 500 Fund - VADDX	A	Dividend	J	T	Buy (add'l)	02/09/17	J		
93.					Buy (add'l)	12/14/17	J		
94. - Blackrock Low Duration - BFMS (X)	A	Dividend	J	T	Buy	01/05/17	J		
95.					Buy (add'l)	02/01/17	J		
96.					Buy (add'l)	02/09/17	J		
97.					Buy (add'l)	03/01/17	J		
98.					Buy (add'l)	04/03/17	J		
99.					Buy (add'l)	04/11/17	J		
100.					Buy (add'l)	05/01/17	J		
101.					Buy (add'l)	06/01/17	J		
102.					Buy (add'l)	07/03/17	J		

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103.					Buy (add'l)	07/13/17	J		
104.					Buy (add'l)	08/01/17	J		
105.					Buy (add'l)	09/01/17	J		
106.					Buy (add'l)	10/02/17	J		
107.					Buy (add'l)	10/16/17	J		
108.					Buy (add'l)	11/01/17	J		
109.					Buy (add'l)	12/01/17	J		
110.					Buy (add'l)	12/29/17	J		
111. - Eaton Vance Floating Rock - EIBL (X)	A	Dividend	J	T	Buy	01/05/17	J		
112.					Buy (add'l)	02/01/17	J		
113.					Buy (add'l)	02/09/17	J		
114.					Buy (add'l)	03/01/17	J		
115.					Buy (add'l)	04/03/17	J		
116.					Buy (add'l)	04/11/17	J		
117.					Buy (add'l)	05/01/17	J		
118.					Buy (add'l)	06/01/17	J		
119.					Buy (add'l)	07/03/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	07/13/17	J		
121.					Buy (add'l)	08/01/17	J		
122.					Buy (add'l)	09/01/17	J		
123.					Buy (add'l)	10/02/17	J		
124.					Buy (add'l)	10/16/17	J		
125.					Buy (add'l)	11/01/17	J		
126.					Buy (add'l)	12/01/17	J		
127.					Buy (add'l)	12/29/17	J		
128. - Ishares TIPS Bond ETF - TIP (X)	A	Dividend	J	T	Buy	01/05/17	J		
129.					Buy (add'l)	02/09/17	J		
130.					Buy (add'l)	07/13/17	J		
131.									
132. Brokerage Account (H)									
133. - Vanguard Total Stk Mkt - VTI	A	Dividend	K	T	Sold (part)	10/15/17	J	A	
134. - Vanguard Dividend Appreciation - VIG	A	Dividend	K	T	Buy (add'l)	10/05/17	J		
135. - Lincoln Am Legacy III & Annuity (Variable) (H)									
136. * AF Growth (X)		None	M	T	Sold (part)	10/18/17	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	11/30/17	J	A	
138. * AF Blchp Inc & Gr (X)		None	L	T	Sold (part)	10/18/17	J	C	
139.					Sold (part)	11/30/17	J	A	
140. * AF Grwth - Inc (X)		None	M	T	Sold (part)	10/18/17	J	D	
141.					Sold (part)	11/30/17	J	A	
142. * AF Int'l (X)		None	L	T	Sold (part)	10/18/17	J	C	
143.					Sold (part)	11/30/17	J	A	
144. * AF Gbl Grwth (X)		None	M	T	Sold (part)	10/18/17	J	D	
145.					Sold (part)	11/30/17	J	A	
146. * AF Asset Alloc (X)		None	L	T	Sold (part)	10/18/17	J	B	
147.					Sold (part)	11/30/17	J	A	
148. - Metlife Series LVA Annuity (Variable) (H)									
149. * SSGA Growth ETF Portfolio (X)		None	M	T	Sold (part)	01/14/17	J	A	
150.					Sold (part)	02/01/17	J	D	
151. -ML Bank Deposits Program	A	Interest	J	T					
152. - Vanguard Total Int'l Stk - VXUS	A	Dividend	K	T					
153. - Blackrock Global Allocation Fund - MALOX	A	Dividend	J	T	Buy (add'l)	10/05/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Cassling, Donald R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	12/21/17	J		
155.									
156. Property No. 1 in Homer, IL	F	Rent	P1	W					
157.									
158. Genworth Life and Annuity universal life insurance policy	C	Interest	L	W					
159.									
160. Chase Bank checking/savings account	A	Interest	K	T					
161.									
162. Secured note for loan made to non-dependent relative (X)	D	Interest	M	V					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VII, line 162 -- When this loan was made in 2015, I created an amortization schedule reflecting the monthly payments of principal and interest due under the note. The value given in column C(2) is the amount of principal remaining due and owing as of 12/31/16 according to that amortization schedule.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Donald R. Cassling

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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