

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gan, Scott H.	2. Court or Organization United States Bankruptcy Court-Arizona	3. Date of Report 08/06/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2017 to 12/31/2017
7. Chambers or Office Address James A. Walsh Courthouse 38 South Scott Avenue Tucson, AZ 85701-1704		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. National Conference of Bankruptcy Judges	Oct 8-11, 2017	Las Vegas, NV	Discussion & Conference	Lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TRUST #1 (H)									
2. FIDELITY GOVERNMENT CASH RESERVES (CASH)	B	Dividend	N	T	Open	03/06/17	N		
3. MORGAN STANLEY PRIVATE BANK NA (CASH)	A	Interest			Closed	04/27/17	M		273
4. JAMES BALANCED: GOLDEN RAINBOW I (GLRIX)	D	Dividend	M	T					149
5. THORNBURG GLOBAL OPPT FD INSTL CL (THOIX)	B	Dividend	M	T					152
6. THORNBURG INV INCOME BUILDER CL I (TIBIX)	C	Dividend	M	T					134
7. NUVEEN HIGH YIELD MUNICIPAL CLASS I (NHMRX)	C	Dividend	L	T					151
8. AXIS CAPITAL HOLDINGS LTD COM (AXS)	A	Dividend	J	T					175
9. FRESH DEL MONTE PRODUCE INC COM STK (FDP)	A	Dividend	J	T					195
10. AES CO (AES)	A	Dividend	J	T					157
11. AETNA INC NEW COM (AET)	A	Dividend	K	T					158
12. ALLY FINANCIAL INC (ALLY)	A	Dividend			Sold	09/13/17	J	B	161
13. ALPHABET INC CAP STK CL A (GOOGL)		None	K	T					142
14. AMAZON.COM INC (AMZN)		None	L	T					143
15. AMERICAN INTL GROUP INC COM NEW (AIG)	A	Dividend	K	T					162
16. APACHE CORP (APA)	A	Dividend			Sold	11/10/17	J	A	166
17. APPLE INC (AAPL)	A	Dividend	K	T					167

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. BUNGE LTD (BG)		None			Sold	02/06/17	K	C	184
19. C S X CORP (CSX)	A	Dividend	K	T					192
20. CHEVRON CORP NEW COM (CVX)	A	Dividend	K	T					135
21. CISCO SYS INC COM (CSCO)	B	Dividend	K	T					139
22. CONOCOPHILLIPS (COP)	A	Dividend	J	T					137
23. EXXON MOBIL CORP (XOM)	A	Dividend	K	T					141
24. GENERAL ELECTRIC CO (GE)	A	Dividend			Sold	09/28/17	J		122
25. GENERAL MTRS CO COM (GM)	A	Dividend	J	T					197
26. GILEAD SCIENCE (GILD)	A	Dividend			Sold (part)	11/24/17	J		199
27.					Sold	11/29/17	J		
28. GOODYEAR TIRE & RUBBER (GT)	A	Dividend			Sold	11/22/17	J	B	203
29. INTERPUBLIC GROUP OF COS INC (IPG)	A	Dividend			Sold	09/28/17	J		213
30. JOHNSON & JOHNSON (JNJ)	A	Dividend	K	T					144
31. MYLAN N V (MYL)		None			Sold	04/21/17	J	B	229
32. LILLY ELI & CO COM NPV (LLY)	B	Dividend	J	T					140
33. NIPPON TELEGRAPH & TELEPHONE ADS (NTT)	A	Dividend	J	T					233
34. NOVARTIS AG ADR (NVS)	A	Dividend			Sold	11/24/17	J	A	234

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. NRG ENERGY INC (NRG)	A	Dividend			Sold	05/16/17	J	C	235
36. ORACLE CORP COM (ORCL)	A	Dividend	K	T					236
37. PANASONIC CORP ADR (PCRFY)	A	Dividend	K	T					237
38. PFIZER INC (PFE)	A	Dividend	K	T					123
39. PHILLIP MORRIS INTL INC COM (PM)	A	Dividend	K	T					124
40. PROCTER AND GAMBLE CO COM (PG)	A	Dividend	J	T					147
41. ROCHE HOLDINGS ADR (RHHBY)	A	Dividend			Sold	09/28/17	J		248
42. ROYAL DUTCH SHELL PLC SPON ADR B (RDSB)	A	Dividend	K	T					125
43. SANOFI SPONSORED ADR (SNY)	A	Dividend	K	T					249
44. TYSON FOODS INC CL A (TSN)	A	Dividend	J	T					265
45. TIME INC (TIME)	A	Dividend			Sold	05/05/17	J		263
46. VERIZON COMMUNICATION (VZ)	A	Dividend	J	T					275
47. WALGREENS BOOT ALLIANCE INC (WBA)	A	Dividend			Sold	08/30/17	K	A	274
48. WH GROUP LIMITED SPON ADR (WHGLY)	A	Dividend	K	T					269
49. ALPS ETF TR ALERIAN MLP ETF (AMLP)	A	Dividend			Buy (add'l)	05/30/17	K		148
50.					Sold	11/20/17	K		
51. CHANDLER ARIZ GO BDS SER 2017 (158843XB3)	A	Interest	L	T	Buy	12/19/17	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. CHANDLER ARIZ GO BDS SER 2017 (158843XD9)	A	Interest	L	T	Buy	12/19/17	L		
53. SCOTTSDALE ARIZ GO BDS PROJECTS OF 2015 (810454AX6)	A	Interest	L	T	Buy	12/19/17	L		
54. IRA #1 (H)									
55. FIDELITY GOVERNMENT CASH RESERVES (CASH)	A	Interest	K	T	Open	03/06/17	J		
56. MORGAN STANLEY BANK N.A. (CASH)	A	Interest			Closed	04/27/17	J		127
57. THORNBURG INV INCOME BUILDER CL I (TIBIX)	A	Dividend			Sold	04/05/17	J		134
58. ALPS ETF TR ALERIAN MLP ETF (AMLP)	A	Dividend	J	T					148
59. AT&T INC COM USD1 (T)	A	Dividend	J	T					121
60. APPLE INC (AAPL)	A	Dividend	K	T					167
61. CHEVRON CORP NEW COM(CVX)	A	Dividend	J	T					135
62. CONOCOPHILLIPS (COP)	A	Dividend	J	T					137
63. FORD MTR CO DEL COM (F)	A	Dividend	J	T	Buy	04/10/17	J		
64. GENERAL ELECTRIC CO (GE)	A	Dividend			Sold	09/28/17	J		202
65. JOHNSON & JOHNSON (JNJ)	A	Dividend	J	T					144
66. OCCIDENTAL PETROLEUM CORP (OXY)	A	Dividend	J	T					146
67. PFIZER INC (PFE)	A	Dividend	K	T					123
68. PHILLIP MORRIS INTL INC COM (PM)	A	Dividend	K	T					124

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69. ROYAL DUTCH SHELL PLC SPON ADR B (RDSB)	A	Dividend	J	T					125
70. ALLSTATE CORP DEP SH ,PFD (ALLPRE)	A	Dividend	J	T					128
71. CITIGROUP INC 5.80% SERIES C (C.C)	A	Dividend	J	T					129
72. JPMORGAN CHASE & CO DEP PFD P (JPMpra)	A	Dividend	J	T					130
73. REGIONS FINL CORP NEW DEP SHS REPSTG (RFpra)	A	Dividend	J	T					131
74. ZIONS BANCORPORATION DEP SHS REPSTG (ZBPRG)	A	Dividend	J	T					132
75. IRA #2 (H)									
76. Amerprise Insured Money Market	A	Interest			Closed	05/18/17	K		47
77. OTHER HOLDINGS (H)									
78. Golf Link Green Building LLC	D	Distribution	K	U					
79. Fremont Street	D	Distribution	K	U					
80. IP Building	D	Distribution	K	U					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Following a number of name changes, account changes and asset transfers, this report has been restructured by account for ease of reporting. These changes do not represent reportable transactions. For ease of review, corresponding line numbers of the 9/12/17 report have been included in Column D(5).

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Scott H. Gan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544