

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wanslee, Madeleine C.	2. Court or Organization US Bankruptcy Court Arizona	3. Date of Report 09/28/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2016 to 12/31/2016
5b. ☒ Amended Report		
7. Chambers or Office Address US Bankruptcy Court Arizona 230 North First Ave. Suite 101 Phoenix AZ 85003-1727		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

self employed bankruptcy trustee

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Lev Co	A	Dividend	M	T	Sold	10/04/16	M	E	
2. Davis NY Venture	B	Dividend	L	T					
3. Eagle Mid Cap	A	Dividend	L	T					
4. AF EuroPac	C	Dividend	L	T					
5. AF Growth fund of AM	D	Dividend	L	T	Buy (add'l)	10/19/16	K		
6. Fidelity Small Cap	B	Dividend	K	T					
7. Eaton Vance Div Builder	B	Dividend	K	T					
8. Oppenheimer Dev Markets	B	Dividend	K	T					
9. Eaton Vance Atlantic Cap	B	Dividend	K	T	Buy	10/19/16	K		
10. AF Cap. World	A	Dividend	K	T	Buy (add'l)	10/19/16	K		
11. Eagle SSmall Cap	A	Dividend	K	T	Buy (add'l)	10/19/16	K		
12. Fidelity Mid Capp	C	Dividend	M	T	Buy (add'l)	10/04/16	M		
13. Oppenheimer Int Bond	A	Dividend	J	T					
14. AF Balanced fund	A	Dividend	J	T	Sold	12/02/16	J	B	
15. AT&T	A	Dividend	J	T					
16. Oppenheimer Sm Mid	A	Dividend	J	T					
17. Southwest Gas	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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18. Rental Property Phoenix (X)		None	O	W					
19. Fidelity value fund	D	Dividend	J	T					
20. cash Dreyfus x	A	Interest	J	T					
21. Eaton Vance Dividend Income Builder	A	Dividend	J	T					
22. Oppenheimer Global opps x	A	Dividend	K	T					
23. Harris Bank account	A	Interest	L	T					
24. First Credit union	A	Interest	J	T					
25. Columbia Marisco	A	Dividend	L	T	Sold	12/08/16	L	C	
26. Promissory Note PC & MA Wanslee		None	L	T					
27. i shares russel mid cap value x	A	Dividend	K	T					
28. i shares russel mid growth x	A	Dividend	K	T					
29. i shares russell value 1000 x	D	Dividend	M	T					
30. i shares russell growth 1000 x	C	Dividend	M	T					
31. i shares russel 2000 growth x	A	Dividend	K	T					
32. Invesco VK E&I	C	Dividend	M	T					
33. pimco high yeild corp bond x	B	Int./Div.	K	T					
34. Vanguard total bond index x	A	Int./Div.	K	T					

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35. Vanguard short term bond index x	B	Int./Div.	L	T					
36. Vanguard dev. markets x	C	Dividend	M	T					
37. vanguard total int. bond index x	A	Int./Div.	K	T					
38. vanguard all world us small cap x	A	Dividend	K	T					
39. Vanguard REIT index x	B	Dividend	K	T					
40. ishares russell 2000 value x	A	Dividend	K	T					
41. Fidelity New Insight	D	Dividend	M	T					
42. Sun America Annuity x	B	Dividend	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Madeleine C. Wanslee**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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