

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ramos, Nelva G.	2. Court or Organization U.S. District Court, Texas	3. Date of Report 05/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 1133 North Shoreline Blvd. Corpus Christi, Texas 78401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2001	State of Texas Judicial Retirement Plan.
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

Onyx Engineering--Salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Kleberg	Note on Jim Hogg County property	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank -- Accounts, CD	A	Interest	J	T					
2. First National Bank -- Accounts	A	Interest	J	T					
3. Edward Jones Money Market	A	Dividend	J	T					
4. Franklin Fed Tax - Free Income A	B	Dividend	K	T					
5. FT Bld Amer Bds 10-20 Yr #11	B	Interest	K	T					
6. Texas Municipal Retirement System	A	Interest	J	T					
7. Texas County & District Retirement System		None	J	T					
8. New York Life Insurance Company - Whole Life		None	K	T					
9. FIA Card Services NA RASP (Y)									
10. Templeton Growth Fund CL Adam	A	Dividend	J	T					
11. Templeton Growth Fund CL A	A	Dividend	J	T					
12. Franklin Moder 9-12 years 529A	A	Dividend	K	T					
13. Franklin Balanced Fund CL A	A	Dividend	K	T					
14. Franklin Equity Income Fund CL A	A	Dividend	J	T					
15. Franklin Growth Fund CL A	A	Dividend	K	T					
16. Franklin Growth Fund CL A	A	Dividend	J	T					
17. Franklin Mutual Shares Fund CL A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Franklin Rising Dividends Fund CL A	A	Dividend	J	T					
19. Franklin Total Return Fund CL A	A	Dividend	J	T					
20. Franklin U S Government Securities Fund CL A	A	Dividend	J	T					
21. Mutual Global Discovery Fund CL A	A	Dividend	J	T					
22. Templeton Global Bond Fund CL A	A	Dividend	J	T					
23. Templeton Foreign Fund CL A	A	Dividend	J	T					
24. Templeton Global Smaller Companies Fund CL A	A	Dividend	J	T					
25. Templeton World Fund CL A	A	Dividend	J	T					
26. Franklin Growth Opptys Fd Cl A fka Franklin Flex Cap Growth Fund Cl A	A	Dividend	J	T					
27. Healthcare Select Spdr (ETF)	C	Dividend			Sold	05/18/16	K		
28. Ishare Nasdaq Biotech (ETF)	B	Dividend			Sold	05/18/16	J		
29. Sector Spdr Financial ETF (XLF)	A	Dividend			Sold	05/18/16	J		
30. Vanguard Consumer Staples (VDC)	B	Dividend			Sold	05/18/16	J		
31. Vangaurd Energy ETF (VDE)	C	Dividend			Sold	05/18/16	K		
32. Vanguard Industrial ETF (VIS)	B	Dividend			Sold	05/18/16	K		
33. Vanguard Information Tech ETF (VGT)	C	Dividend			Sold	05/18/16	K		
34. Vanguard Materials ETF (VAW)	A	Dividend			Sold	05/18/16	J		

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35. Consumer Discretionary SPDR XLY	B	Dividend			Sold	05/18/16	K		
36. First TR Exchange Traded FD Dow Jones Internet Index FDN	A	Dividend			Sold	05/18/16	J		
37. First TR ISE Cloud Computing Index SKYY	A	Dividend			Sold	05/18/16	J		
38. IShares MSCi CDA ETF	A	Dividend			Sold	05/18/16	J		
39. IShares MSCI Switzerland Capped (EWL)	A	Dividend			Sold	05/18/16	J		
40. IShares MSCI Sweden (EWD)	A	Dividend			Sold	05/18/16	J		
41. IShares MSCI Pacific Ex-Japan (EPP)	A	Dividend			Sold	05/18/16	J		
42. IShares IBOXX S Invt Grade Corp Bd (LQD)	A	Dividend			Sold	05/18/16	J		
43. IShares Tips Bond ETF (TIP)	A	Dividend			Sold	05/18/16	J		
44. IShares 3-7 year Treasury Bond ETF (IEI)	A	Dividend			Sold	05/18/16	J		
45. IShares MBS ETF (MBB)	A	Dividend			Sold	05/18/16	J		
46. IShares Inc Core MSCI Emerging Mkts ETF (IEMG)	A	Dividend	J	T					
47. IShares MSCI U K ETF SHS (EWU)	A	Dividend			Sold	05/18/16	J		
48. Market Vectors J.P. Morgan EM Local Currency Bond ETF (EMLC)	A	Dividend			Sold	05/18/16	J		
49. Powershares Global Exchange Traded FD TR (PCY)	A	Dividend			Sold	05/18/16	J		
50. Powershares Preferred Portfolio (PGX)	A	Dividend			Sold	05/18/16	J		
51. SPDR Barclays High Yield Bond ETF (JNK)	A	Dividend			Sold	05/18/16	J		

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52. Vanguard Intermediate Term Bond ETF (BIV)	A	Dividend			Sold	05/18/16	J		
53. Vanguard Short Term Bond (BSV)	A	Dividend			Sold	05/18/16	J		
54. Wisdomtree Trust Japan Hedged Equity FD (DXJ)	A	Dividend			Sold	05/18/16	J		
55. Wisdomtree Europe Hedged Equity Fund (HEDJ)	A	Dividend			Sold	05/18/16	J		
56. Cohen & Steers Preferred/Sec & Income Fund CL I	A	Dividend	K	T	Buy	12/09/16	K		
57. Guggenheim S&P 500 Equal (ETF)	A	Dividend	L	T	Buy	12/01/16	L		
58. iShares Core S&P Mid-Cap (ETF)	A	Dividend	J	T	Buy	11/18/16	J		
59. iShares Core S&P Small (ETF)	A	Dividend	J	T	Buy	11/18/16	J		
60. iShares TR Core MSCI EAF (ETF)	A	Dividend	J	T	Buy	11/18/16	J		
61. PIMCO Income Fund CL P	A	Dividend	K	T	Buy	12/09/16	K		
62.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Nelva G. Ramos**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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