

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Payne, Robert E.	2. Court or Organization United States District Court Eastern District of Virginia	3. Date of Report 01/24/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States District Court 701 E. Broad Street, Suite 7310 Richmond, Virginia 23219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

Payne, Robert E.

Date of Report

01/24/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Administrative Office	May 22-24, 2016	The Greenbrier, White Sulphur Springs, WV	Attend Fourth Circuit Judicial Conference	Transportation, lodging, meals
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 15

Name of Person Reporting

Payne, Robert E.

Date of Report

01/24/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit card	J
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

Payne, Robert E.

Date of Report

01/24/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1									
2. --Abbey Cptl Futures Strategy	A	Dividend	K	T	Sold (part)	01/22/16	J	A	
3.					Buy (add'l)	04/22/16	J		
4.					Buy (add'l)	07/14/16	J		
5. --AB Small Cap Grw Adv	A	Dividend	J	T	Sold (part)	01/22/16	J	A	
6. --AMG Mngrs Silvercrest (formerly Aston Silvercrest Smll Cap)		None	J	T	Sold (part)	01/22/16	J		
7.					Buy (add'l)	11/02/16	J		
8. -- Artisan Mid Cap Value Fund		None	J	T	Sold (part)	01/22/16	J		
9.					Sold (part)	04/22/16	J		
10.					Buy (add'l)	07/14/16	J		
11.					Buy (add'l)	11/02/16	J		
12. -- Blackrock Equity Dividend Fund CL Institutional	A	Dividend			Buy (add'l)	01/22/16	J		
13.					Sold (part)	04/22/16	J	A	
14.					Sold (part)	07/13/16	J	A	
15.					Sold	07/14/16	K	B	
16. --Blackrock Global L/S Credit		None			Sold	07/14/16	J		
17. --Blackrock High Yield Bond Fund		None	K	T	Buy (add'l)	04/22/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

Name of Person Reporting

Payne, Robert E.

Date of Report

01/24/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	07/13/16	J		
19.					Sold (part)	07/14/16	J		
20. --Blackstone Alt Mult-Strat		None	K	T	Buy (add'l)	04/22/16	J		
21.					Sold (part)	07/13/16	J		
22. --Cambiar Int'l Equity	A	Dividend			Buy (add'l)	01/22/16	J		
23.					Sold	11/02/16	K	A	
24. --Center Coast MLP Focus		None	J	T	Sold (part)	04/22/16	J		
25.					Sold (part)	07/13/16	J		
26.					Sold (part)	07/14/16	J		
27. -- Columbia Select Large Cap Fund	C	Dividend	K	T	Sold (part)	04/22/16	J	A	
28.					Sold (part)	07/13/16	J	A	
29.					Sold (part)	07/14/16	J	C	
30.					Buy (add'l)	07/14/16	K		
31. --Deutsche Enhanced Comm Strat		None			Sold	01/22/16	K		
32. --Fundvantage Tr Polen	C	Dividend	K	T	Sold (part)	01/22/16	J	A	
33.					Sold (part)	04/22/16	J	A	
34.					Sold (part)	07/14/16	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$5,001,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 15

Name of Person Reporting

Payne, Robert E.

Date of Report

01/24/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. --Ishares Msci Japan	B	Dividend			Buy	01/22/16	K		
36.					Sold	07/14/16	K	B	
37. --Janus Flexible Bond	A	Dividend	K	T	Sold (part)	01/22/16	J		
38.					Buy (add'l)	04/22/16	J		
39.					Sold (part)	07/13/16	J		
40.					Sold (part)	07/14/16	K	A	
41.					Buy (add'l)	11/02/16	K		
42. --Lazard Emerg Mkts Core		None	K	T	Sold (part)	01/22/16	J		
43.					Buy (add'l)	05/24/16	J		
44.					Sold (part)	07/13/16	J		
45.					Buy (add'l)	11/02/16	J		
46. --Matthews Asian Japan Inv	A	Dividend	J	T	Buy	07/14/16	K		
47.					Sold (part)	11/02/16	J	A	
48. --Metropolitan West Tot Ret	A	Dividend			Sold (part)	01/22/16	J		
49.					Buy (add'l)	04/22/16	J		
50.					Sold (part)	07/13/16	J	A	
51.					Buy (add'l)	07/14/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 15

Name of Person Reporting

Payne, Robert E.

Date of Report

01/24/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52.					Sold	11/02/16	K	A	
53. --MFS Int'l Value		None	K	T	Buy (add'l)	01/22/16	J		
54.					Sold (part)	04/22/16	J		
55.					Sold (part)	07/13/16	J	A	
56.					Sold (part)	11/02/16	J		
57. --Oakmark I	C	Dividend	K	T	Buy (add'l)	01/22/16	J		
58.					Sold (part)	04/22/16	J	A	
59.					Sold (part)	07/13/16	J	A	
60.					Sold (part)	07/14/16	J	B	
61.					Sold (part)	11/02/16	J	B	
62.					Buy (add'l)	11/02/16	K		
63. --Oakmark International I		None	K	T	Buy	11/02/16	K		
64. -- Oppenheimer Int'l Growth Fund		None	K	T	Buy (add'l)	01/22/16	J		
65.					Buy (add'l)	11/02/16	J		
66. --Pimco Enhanced Shrt Mtrt	A	Dividend			Buy (add'l)	01/22/16	K		
67.					Buy (add'l)	04/22/16	J		
68.					Sold	07/14/16	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 15

Name of Person Reporting

Payne, Robert E.

Date of Report

01/24/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. --Pimco Real Return	A	Dividend	K	T	Sold (part)	01/22/16	J		
70.					Sold (part)	07/13/16	J	A	
71. --Pimco Short Term		None	K	T	Buy	07/14/16	K		
72. --Powershares Dyn Lrg Cap		None	K	T	Buy	07/14/16	K		
73.					Buy (add'l)	11/02/16	J		
74. --Putnam Absolute Ret		None	K	T	Buy	07/14/16	K		
75. -- Prudential Jennison Mid Cap Growth Fund	B	Dividend			Sold (part)	01/22/16	J	A	
76.					Sold	07/14/16	J	A	
77. --Riverpark/Wedgewood Inst		None	K	T	Buy	07/14/16	K		
78. --SPDR Barclays Int'l	A	Dividend			Sold	04/22/16	J	A	
79. --Vanguard Short Term Bnd		None			Sold (part)	01/22/16	J		
80.					Sold	07/14/16	K	A	
81. -- Virtus Insight Emerging Markets		None			Sold (part)	01/22/16	J		
82.					Sold	05/24/16	J		
83. --Virtus MultiSector Short Term Bond		None	K	T	Sold (part)	01/22/16	J		
84.					Sold (part)	04/22/16	J		
85.					Sold (part)	07/13/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 15

Name of Person Reporting

Payne, Robert E.

Date of Report

01/24/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86.					Buy (add'l)	07/14/16	K		
87. Wells Fargo Emerg Mrk		None	J	T	Buy	05/24/16	J		
88.					Buy (add'l)	11/02/16	J		
89.									
90. IRA #2									
91. --AB Small Cap Grw Adv		None	J	T	Sold (part)	01/22/16	J		
92. -- Artisan Midcap Value		None	J	T					
93. -- Aston/Montag & Caldwell Growth		None	J	T	Sold (part)	01/22/16	J		
94. --Aston Silvercrest Small Cap		None	J	T	Sold (part)	01/22/16	J	A	
95.					Buy (add'l)	07/15/16	J		
96. -- Blackrock Equity Div. A		None	J	T					
97. --Blackrock Inflation Prot Bond		None	J	T					
98. -- Columbia Select LG CP Growth		None	J	T	Sold (part)	01/22/16	J		
99. --Dreyfus Int'l Bond		None			Sold	04/22/16	J	A	
100. -- EV Income Fund of Boston		None	J	T	Buy (add'l)	04/22/16	J		
101. -- Invesco Developing Markets A		None			Sold (part)	01/22/16	J	A	
102.					Sold	02/26/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 15

Name of Person Reporting

Payne, Robert E.

Date of Report

01/24/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. -- Ivy Midcap Growth		None	J	T	Buy (add'l)	07/15/16	J		
104. -- Lazard Emerging Markets Fund		None	J	T	Sold (part)	02/26/16	J		
105.					Buy (add'l)	02/26/16	J		
106.					Buy (add'l)	07/15/16	J		
107. --Matthews Asian Japan Inv	A	Dividend	J	T	Buy	01/22/16	J		
108.					Sold (part)	07/15/16	J	A	
109. --MFS Int'l Value		None	J	T	Buy (add'l)	01/22/16	J		
110.					Sold (part)	04/22/16	J		
111. -- Oakmark I			J	T					
112. -- Oppenheimer Int'l Growth Fund	A	Dividend	J	T	Buy (add'l)	01/22/16	J		
113.					Sold (part)	04/22/16	J	A	
114. -- Pimco Low Duration A		None	J	T					
115. --Pimco Short Term		None	J	T	Buy	01/22/16	J		
116. -- Virtus Insight Emerg Mkts		None	J	T	Buy	02/26/16	J		
117.					Buy (add'l)	07/15/16	J		
118. -- Virtus MultiSect Short Term Bond		None	J	T					
119. -- Western Asset Core Plus Portfolio		None	J	T	Buy (add'l)	04/22/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 15

Name of Person Reporting

Payne, Robert E.

Date of Report

01/24/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.									
121. BROKERAGE ACCOUNT #1									
122. --Allergan Plc		None	J	T	Buy	12/08/16	J		
123. -- Anadarko Pete (APC)		None	J	T	Sold (part)	11/23/16	J		
124. -- Autodesk Inc.		None	J	T					
125. -- Biogen		None	J	T					
126. --Blackrock Equity Dividend	D	Dividend			Sold (part)	07/14/16	J	A	
127.					Sold (part)	11/23/16	J	A	
128.					Sold	12/05/16	L	C	
129. -- Broadcom Corp		None	J	T					
130. -- Citrix Systems Inc.		None	J	T					
131. -- Comcast Corp		None	J	T					
132. -- Cree Research Inc		None	J	T					
133. --Discovery Communications		None	J	T					
134. -- Dolby Cla		None	J	T					
135. -- Fluor Corp		None	J	T					
136. -- Freeport McMoran		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

Payne, Robert E.

Date of Report

01/24/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -- Ionis Pharmaceuticals Inc		None	J	T					
138. --Johnson Ctls Intl Plc (formerly Tyco Int'l Ltd)		None	J	T					
139. -- L-3 Communications Hldg. Inc		None	J	T					
140. --Medtronic PLC SHS		None	J	T					
141. -- National Oilwell Varco Inc.		None	J	T					
142. -- NOW Inc		None	J	T					
143. -- Nucor Corp		None	J	T					
144. -- Pentair Ltd		None	J	T					
145. --Powershares Dyn Lrg Cap		None	M	T	Buy	12/05/16	M		
146. -- Sandisk Corp	B	Dividend			Sold	05/13/16	J		
147. -- Seagate Technology, Inc.		None	J	T					
148. -- Starz Series A	A	Dividend			Redeemed	12/12/16	J	A	
149. -- TE Connectivity Ltd			J	T					
150. -- The ADT Corporation Com (ADT)		None			Sold	02/16/16	J		
151. -- UnitedHealth Gp Inc		None	J	T					
152. -- Vertex Pharmaceuticals		None	J	T					
153. -- Weatherford Int'l Ltd		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 15

Name of Person Reporting

Payne, Robert E.

Date of Report

01/24/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.									
155.									
156. BROKERAGE ACCOUNT #2									
157. -- MorganStanley - Cash			J	T					
158. -- Western Asset Managed Municipal	A	Dividend	J	T					
159.									
160.									
161.									
162. -- Mallen Research Ltd Partnership		None			Sold	12/30/16	J		
163.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

Payne, Robert E.

Date of Report

01/24/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. On the 2015 Amended Report, Part VII, page 6, line 39, Lazard Development Markets Equity - this asset was listed "sold part" on 07/24/15. That asset should have been listed as "sold" on 07/24/15 and therefore it will not be listed on the 2016 Report.
2. On the 2015 Amended Report, Part VII, page 6, line 41, Loomis Sayles Bond Inst - this asset was listed "sold part" on 05/26/15. That asset should have been listed as "sold" on 05/26/15 and therefore it will not be listed on the 2016 Report.
3. On the 2015 Amended Report, Part VII, page 7, line 59, Pimco Total Return Fund - this asset was listed with a (Y). On the 2014 Amended Report, Part VII, page 5, line 33, this asset was listed with a (Y). That asset was sold on 9/29/14 and should have been reported as "Sold" with a Value Code of K. Therefore, Pimco Total Return Fund will not be listed on the 2016 Report.
4. On the 2015 Amended Report, Part VII, page 7, line 61, Ramius Trading Strat. Mngd Futures - this asset was listed "sold part" on 05/26/15. That asset should have been listed as "sold" on 05/26/15 and therefore it will not be listed on the 2016 Report.
5. On the 2015 Amended Report, Part VII, page 7, line 62, Royce Premier Fund - this asset was listed "sold part" on 05/26/15. That asset should have been listed as "sold" on 05/26/15 and therefore it will not be listed on the 2016 Report.
6. On the 2015 Amended Report, Part VII, page 7, line 64, Templeton Global Bond Fund - this asset was listed "sold part" on 04/17/15. That asset should have been listed as "sold" on 04/17/15 and therefore it will not be listed on the 2016 Report.
7. On the 2015 Amended Report, Part VII, page 8, line 77, Voya Global Real Estate Fund - this asset was listed "sold part" on 04/17/15. That asset should have been listed as "sold" on 04/17/15 and therefore it will not be listed on the 2016 Report.
8. On the 2015 Amended Report, Part VII, page 8, line 78, Western Asset Core Plus Bond Fund - this asset was listed "sold part" on 05/26/15. That asset should have been listed as "sold" on 05/26/15 and therefore it will not be listed on the 2016 Report.
9. On the 2015 Amended Report, Part VII, page 11, line 125, Pimco Foreign Bond - this asset was listed with a (Y). This asset was sold on 04/17/15 with a value code A and therefore it will not be listed on the 2016 Report.
10. On the 2016 Report, Part VII, page 10, line 114, Pimco Foreign Bond (&) was listed. Please see 9 above. This asset still erroneously appeared on the 2016 Report. It is not listed on the 2016 Amended Report.
11. On the Amended 2016, Part VII, the assets on the following lines were amended to reflect their gross value at year end. These assets were incorrectly listed on the 2016 Report with a (Y):
Lines 92, 96, 97, 111, 114, 118, 123, 124, 125, 129, 130, 131, 132, 133, 134, 135, 136, 137, 138, 139, 140, 141, 143, 147, 149, 151, 152, 153
12. On the Amended 2016, Part VII, page 10, line 104, Lazard Emerging Markets Fund was amended to reflect Column D.(4) as "blank" (no gain).

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Payne, Robert E.

Date of Report

01/24/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robert E. Payne

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544