

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Woods, Gregory H.	2. Court or Organization Southern District of New York	3. Date of Report 05/11/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States Courthouse 500 Pearl Street New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member, Board of Trustees	Williams College
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 03/1999	Continuing participation in 401(k) plan sponsored by Debevoise & Plimpton LLP. No further contributions by employer.
2. 01/2005	Continuing participation in 401(k) plan sponsored by Debevoise & Plimpton LLP. No further contributions by employer.
3. 01/2005	Debevoise & Plimpton LLP Cash Balance Retirement Plan for Partners. No further contributions by employer.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

Salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Account #1 (H)									
2. Vanguard Federal Money Market Fund	A	Dividend	K	T					
3. Vanguard Inflation-Protected Sec.	A	Dividend	J	T					
4. Vanguard Prime Money Market	A	Dividend	K	T					
5. Vanguard Total Bond Mkt Index	A	Dividend	K	T					
6. Vanguard Wellington Fund	A	Dividend	J	T					
7. Vanguard Selected Value Fund	A	Dividend	J	T					
8. Vanguard Windsor Fund	B	Dividend	K	T					
9. Vanguard Mid-Cap Growth Fund	B	Dividend	K	T					
10. Vanguard Morgan Growth Fund	C	Dividend	K	T					
11. Vanguard Explorer Fund	B	Dividend	K	T					
12. Vanguard Health Care Fund	B	Dividend	J	T					
13. Vanguard US Value Fund	A	Dividend	J	T					
14. Vanguard Total Int'l Stock Index	B	Dividend	L	T					
15. Vanguard Inst Index Fund	C	Dividend	M	T					
16. Vanguard Retire Savings Trust III	A	Dividend	J	T					
17. Vanguard Extended Mkt Index	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Account #2 (H)									
19. JP Morgan Deposit Sweep Account (cash)	A	Interest	K	T	Open	11/02/15	J		
20. Aston/Fairpointe Mid Cap	A	Dividend	J	T	Buy	11/02/15	J		
21. American Century Inter-Term Tax Free Bd Fd Instl Cl	B	Dividend	K	T	Buy	11/02/15	K		
22. Bernstein Sanford C Fd Inc AB Intr Diversified Mun Port Adv Cl	A	Dividend	K	T	Buy	11/02/15	K		
23. Blackrock Fds High Yield Bd Portfolio Instl	B	Dividend	K	T	Buy	11/02/15	K		
24. Blackrock Fds Midcap Index Fd Institutional	A	Dividend	K	T	Buy	11/02/15	K		
25.					Buy (add'l)	11/12/15	J		
26. Blackrock International Index Fund Instl Cl	B	Dividend	L	T	Buy	11/02/15	L		
27. Brown Advisory Fds WMC Strategic European Egi Instl	A	Dividend	K	T	Buy	11/02/15	K		
28. Causeway Cap Mgmt Tr Intl Value Fd Instl Cl	A	Dividend	K	T	Buy	11/02/15	K		
29. Dodge & Cox Funds International Stock Fund	A	Dividend	K	T	Buy	11/02/15	K		
30. Harbor International Fund Institutional	B	Dividend	K	T	Buy	11/02/15	K		
31. Oakmark Fund	A	Dividend	L	T	Buy	11/02/15	L		
32. iShares MSCI EAFE ETF	A	Dividend	K	T	Buy	11/02/15	K		
33. iShares Currency Hedged MSCI EAFE ETF	A	Dividend	K	T	Buy	12/08/15	K		
34. JP Morgan Tr I Inter Tax Free Bd Fd Instl Cl	A	Dividend	K	T	Buy	11/02/15	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. JP Morgan Tr I US Equity Fd Instl Cl	C	Dividend	L	T	Buy	11/02/15	L		
36. JP Morgan Tr I Mid Cap Equity Fd Select Cl	A	Dividend	J	T	Buy	11/02/15	J		
37. JP Morgan Tr I Small Cap Equity Fd Select Cl		None	J	T	Buy	11/02/15	J		
38. JP Morgan Value Advantage Fd Instl Cl	A	Dividend	K	T	Buy	11/02/15	K		
39. JP Morgan Tr II High Yield Fd Select Cl	A	Dividend	J	T	Buy	11/02/15	J		
40. JP Morgan Tr II Short-Inter Mun Bd Fd Instl	A	Dividend	K	T	Buy	11/02/15	K		
41. Mainstay High Yield Corporate Bond Fund Cl I	B	Dividend	K	T	Buy	11/02/15	K		
42. Massachusetts Investors Trust Cl I	C	Dividend	L	T	Buy	11/02/15	L		
43. Pimco Low Duration Fund Instl Cl	B	Dividend	L	T	Buy	11/02/15	L		
44. T. Rowe Price Summit Municipal Intermediate Fund	A	Dividend	K	T	Buy	11/02/15	K		
45. SPDR S&P 500 ETF Trust	B	Dividend	L	T	Buy	11/02/15	L		
46. Wells Fargo Advantage Growth Fund Cl I	C	Dividend	K	T	Buy	11/02/15	K		
47. Wisdomtree Japan Hedged Equity Fund	A	Dividend	K	T	Buy	11/02/15	K		
48. Brokerage Account #3 (H)									
49. JP Morgan Deposit Sweep Account (cash)	A	Interest	J	T	Open	11/02/15	J		
50. American Century Inter-Term Tax Free Bd Fd Instl Cl	A	Dividend	K	T	Buy	11/02/15	K		
51. Bernstein Sanford C Fd Inc AB Intr Diversified Mun Port Adv Cl	A	Dividend	K	T	Buy	11/02/15	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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52. Blackrock Fds High Yield Bd Portfolio Instl	A	Dividend	K	T	Buy	11/02/15	K		
53. Blackrock Fds Midcap Index Fd Institutional	A	Dividend	J	T	Buy	11/02/15	J		
54. Blackrock International Index Fund Instl Cl	A	Dividend	K	T	Buy	11/02/15	K		
55. Brown Advisory Fds WMC Strategic European Egi Instl	A	Dividend	J	T	Buy	11/02/15	J		
56. Dodge & Cox Funds International Stock Fund	A	Dividend	J	T	Buy	11/02/15	J		
57. John Hancock Income Fund Cl I	A	Dividend	J	T	Buy	11/02/15	J		
58. Oakmark Fund	A	Dividend	K	T	Buy	11/02/15	K		
59. iShares MSCI EAFE ETF	A	Dividend	J	T	Buy	11/02/15	J		
60. iShares Currency Hedged MSCI EAFE ETF	A	Dividend	J	T	Buy	12/08/15	J		
61. JP Morgan Tr I Inter Tax Free Bd Fd Instl Cl	A	Dividend	K	T	Buy	11/02/15	K		
62. JP Morgan Tr I US Equity Fd Instl Cl	A	Dividend	K	T	Buy	11/02/15	K		
63. JP Morgan Tr I Mid Cap Equity Fd Select Cl	A	Dividend	J	T	Buy	11/02/15	J		
64. JP Morgan Tr II High Yield Fd Select Cl	A	Dividend	J	T	Buy	11/02/15	J		
65. JP Morgan Tr II Short-Inter Mun Bd Fd Instl	A	Dividend	J	T	Buy	11/02/15	J		
66. MFS Research International Fd Cl I	A	Dividend	J	T	Buy	11/02/15	J		
67. Mainstay High Yield Corporate Bond Fund Cl I	A	Dividend	K	T	Buy	11/02/15	K		
68. Massachusetts Investors Trust Cl I	A	Dividend	J	T	Buy	11/02/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. Pimco Low Duration Fund Instl Cl	A	Dividend	K	T	Buy	11/02/15	K		
70. T. Rowe Price Summit Municipal Intermediate Fund	A	Dividend	K	T	Buy	11/02/15	K		
71. SPDR S&P 500 ETF Trust	A	Dividend	K	T	Buy	11/02/15	K		
72. Wisdomtree Japan Hedged Equity Fund	A	Dividend	J	T	Buy	11/02/15	J		
73. Account #4 (H)									
74. Vanguard Small-Cap Index Fund Inv (X)	A	Dividend			Sold	06/05/15	J		
75. Vanguard Small-Cap Value Index (X)	A	Dividend	J	T					
76. Vanguard Total Intl Stock Ix Admiral (X)	A	Dividend	K	T					
77. Vanguard Total Bond Mkt Index Adm (X)	B	Dividend	L	T					
78. Vanguard Wellington Fund Inv (X)	B	Dividend	K	T					
79. Vanguard 500 Index Adm (X)	B	Dividend	L	T					
80. New York 529 College Savings Direct Plan #1 (H)									
81. Aggressive Age-Based Option: Moderate Growth Portfolio		None	K	T					
82. Moderate Age-Based Option: Conservative Growth Portfolio		None	K	T					
83. Conservative Age-Based Option: Income Portfolio		None	K	T	Buy (add'l)	09/14/15	J		
84. Inflation Protected Securities Portfolio		None	K	T	Buy (add'l)	09/14/15	J		
85. New York 529 College Savings Direct Plan #2 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. Aggressive Age-Based Option: Growth Portfolio		None			Sold	10/07/15	K		
87. Moderate Age-Based Option: Moderate Growth Portfolio		None			Sold	10/07/15	K		
88. Conservative Age-Based Option: Conservative Growth Portfolio		None			Buy (add'l)	09/14/15	J		
89.					Sold	10/07/15	K		
90. Aggressive Age-Based Option: Moderate Growth Portfolio		None	K	T	Buy	10/07/15	K		
91. Moderate Age-Based Option: Conservative Growth Portfolio		None	K	T	Buy	10/07/15	K		
92. Conservative Age-Based Option: Income Portfolio		None	K	T	Buy	10/07/15	L		
93. Inflation Protected Securities Portfolio		None	K	T	Buy (add'l)	09/14/15	J		
94. Other Holdings (H)									
95. Blackrock Large Cap Growth Fund C	B	Dividend	K	T					
96. Merrill Lynch CMA NY Municipal Money	A	Dividend			Closed	09/17/15	O		
97. CREF Stock Account		None	J	T					
98. CREF Growth Account		None	J	T					
99. CREF Social Choice Account		None	J	T					
100. Cash Balance Plan (Defined Benefit Plan from Debevoise) (Y)									
101. JPMorgan Chase Cash Accounts	A	Interest	M	T					
102. MGJ Realty Corporation (Common Stock of Private Corp.)	B	Distribution	L	W					

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103. [REDACTED] (Common Stock of Private Corp.)	G	Distribution	O	W					
104. [REDACTED] (Debt of Private Corp.)	E	Interest	O	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P4 = More than \$50,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Woods, Gregory H.

Date of Report

05/11/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 80 and 85: These accounts were reported jointly in 2014 (see line 24); any duplicate listings are the result of separating the accounts in this report. All holdings and transactions now are listed per account.

Part VII, lines 81-84, 86-88, 90-93, and 97-99: These holdings are unit-based and do not generate reportable income.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Woods, Gregory H.

Date of Report

05/11/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gregory H. Woods

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544