

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Arguello, Christine M.	2. Court or Organization District of Colorado	3. Date of Report 05/11/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Alfred A. Arraj U.S. Courthouse 901 19th St., A638 Denver, CO 80294		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Center for Legal Inclusiveness - Volunteer
2. Founder and Advisory Council Member	Law School...Si Se Puede - Volunteer
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2015	Matthew Bender & Co., Inc. - Royalty Income from book - Evidence: The Objection Method	\$1,823.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2015	Public Employees' Retirement Association
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Hispanic National Bar Association	03/18/2015-03/21/2015	San Antonio, TX	Mid-Year Conference Presentation on Law School Pipeline Program	Lodging, travel
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	AES Student Loan	Co-signor on student loans	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Investment Property #2, Arvada, CO	C	Rent			Sold	03/31/15	N	E	
2. Chase Bank Accounts		None			Closed	01/15/15	J		
3. Wells Fargo Bank Accounts	A	Interest	K	T					
4. First Bank	A	Interest	J	T					
5. Bank of the West	A	Interest	J	T					
6. MetLife Trust Interests (MET)	A	Dividend	J	T					
7. ALARX	A	Dividend	J	T	Sold (part)	01/23/15	J	A	
8. ALARX		None	J	T	Sold (part)	11/09/15	J	A	
9. DBLTX	A	Dividend	J	T	Sold (part)	01/23/15	J	A	
10. DBLTX		None	J	T	Sold (part)	11/09/15	J	A	
11. DGAGX	A	Dividend			Sold (part)	01/23/15	J	A	
12. DGAGX		None			Sold (part)	11/09/15	J	A	
13. DGAGX		None			Sold	12/18/15	J	A	
14. EGOIX		None	J	T	Buy	12/18/15	J		
15. EIHMIX	A	Dividend	J	T	Sold (part)	11/09/15	J	A	
16. GHYYX	A	Dividend	J	T	Sold (part)	01/23/15	J	A	
17. GHYYX		None			Sold (part)	11/09/15	J	A	

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. HGOIX	A	Dividend	J	T	Sold (part)	01/23/15	J	A	
19. HGOIX		None			Sold (part)	11/09/15	J	B	
20. JCVIX	A	Dividend	J	T	Sold (part)	01/23/15	J	A	
21. JCVIX		None			Sold (part)	11/09/15	J	A	
22. LMNOX		None	J	T	Sold (part)	01/23/15	J	A	
23. LMNOX		None			Sold (part)	11/09/15	J	B	
24. LSIIX	A	Dividend	J	T	Sold (part)	01/23/15	J	A	
25. LSIIX		None			Sold (part)	11/09/15	J	A	
26. MERFX		None			Sold (part)	01/23/15	J	A	
27. MERFX		None			Sold (part)	11/09/15	J	A	
28. MERFX		None			Sold	12/18/15	J	A	
29. MHYIX	A	Dividend	J	T	Sold (part)	01/23/15	J	A	
30. MHYIX		None			Sold (part)	11/09/15	J	A	
31. MWTIX	A	Dividend	J	T	Buy	01/29/15	J		
32. MWTIX		None			Buy (add'l)	12/18/15	J		
33. MWTIX		None			Sold (part)	11/09/15	J	A	
34. OSTIX		None			Sold (part)	01/23/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. OSTIX		None			Sold	01/29/15	J	A	
36. OYRDX	A	Dividend	J	T	Buy	10/28/15	J		
37. OYRDX		None			Sold (part)	11/09/15	J	A	
38. PARSX		None			Sold	04/09/15	J	A	
39. PSGIX	A	Dividend	J	T	Buy	04/09/15	J		
40. PSGIX		None			Sold (part)	11/09/15	J	A	
41. YACKX		None			Sold (part)	01/23/15	J	A	
42. YACKZ		None			Sold	10/28/15	J	A	
43. OIFIX	A	Dividend	J	T					
44. OIFIX		None	J	T	Buy (add'l)	04/21/15	J		
45. OIIEIX	A	Dividend	J	T	Sold (part)	01/23/15	J	A	
46. OILGX	A	Dividend	J	T	Buy (add'l)	01/23/15	J		
47. OILVX	A	Dividend	J	T					
48. OISGX	A	Dividend	J	T	Sold (part)	01/21/15	J	A	
49. OISVX	A	Dividend	J	T					
50. Jackson Natl Life Ins Co Perspective II - JNL/Mellon Capital JNL 5	A	Dividend	J	T					
51. Jackson Natl Life Ins Co Perspective II - JNL/Mellon Capital S&P 24	A	Dividend	J	T					

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. Metlife Invs Ins Prods L Share Variable Annuity - Asset Alloc 80 Portf	A	Dividend	J	T					
53. Nationwide Life Ins Co Destination B VA - NW Nvit Cardinal Capapp ii	A	Dividend	L	T					
54. Nationwide Life Ins Co Destination B VA - NW Nvit Inv Dest Capapp ii	A	Dividend	L	T					
55. ECL	A	Dividend	J	T					
56. JP Morgan US GOV MMF*	A	Dividend	J	T					
57. JP Morgan US GOV MMF*	C	Distribution	J	T	Sold (part)	01/26/15	J	A	
58. JP Morgan US GOV MMF*	D	Distribution	J	T	Sold (part)	01/28/15	J	A	
59. JP Morgan US GOV MMF*	E	Distribution	J	T	Sold (part)	11/13/15	K	A	
60. PIP		None	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 1: Property purchased on 4/8/2011 for \$260,000

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Christine M. Arguello**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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