

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ginsburg, Douglas H.	2. Court or Organization D.C. Circuit	3. Date of Report 08/13/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge (senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. Courthouse 333 Constitution Avenue, NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Professor of Law	George Mason University Antonin Scalia Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 19

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/13/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	George Mason University Antonin Scalia Law School	\$354,856.90
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Self Employed fund raiser for non profit
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Free to Choose Network, Educational TV	1/5/2017-1/5/2017	Atlanta, GA	Meeting	Transportation, Food & Lodging
2. American Bar Association	1/9/2017 - 1/9/2017	West Palm Brach, FL	Professional Association	Transportation, Food & Lodging
3. Free to Choose Network, Educational TV	1/23/2017 - 1/23/2017	New Haven, CT	Meeting	Transportation, Food & Lodging
4. Free to Choose Network	1/26/2017 - 1/26/2017	Boston MA	Meeting	Transportation, Food & Lodging
5. George Mason Univ. Antonin Scalia Law School	2/3/2017 - 2/6/2017	West Palm Beach, FL	Teaching	Transportation, Food & Lodging

FINANCIAL DISCLOSURE REPORT

Page 3 of 19

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/13/2018

6.	Free to Choose Network, Educational TV	2/17/2017 - 2/20/2017	Philadelphia, PA	Meeting	Transportation, Food & Lodging
7.	George Mason Univer. Antonin Scalia Law School	3/12/2017 - 3/17/2017	Dubai, Saudia Arabia	Teaching	Transportation, Food & Lodging
8.	University of Chicago Law School	4/6/2017 - 4/7/2017	Urbana Champaign, IL	Teaching	Transportation, Food & Lodging
9.	Jevons Institute of London	5/4/2017 - 5/5/2017	London, United Kingdom	Conference	Transportation, Food & Lodging
10.	George Mason Univ. Global Antitrust Institute	5/8/2017 - 5/12/2017	Porto, Portugal	Conference	Transportation, Food & Lodging
11.	George Mason Univ. Antonin Scalia Law School	5/15/2017 - 5/16/2017	Madrid, Spain	Conference	Transportation, Food & Lodging
12.	Free to Choose Network, Educational TV	5/24/2017 - 5/26/2017	Philadelphia, PA	Meeting	Transportation, Food & Lodging
13.	George Mason Univ. Antonin Scalia Law School	6/8/2017 - 6/9/2017	New York, NY	Conference	Transportation, Food & Lodging
14.	George Mason Univ. Global Antitrust Institute	6/16/2017 - 6/23/2017	Melbourne, Australia	Teaching	Transportation, Food & Lodging
15.	Free to Choose Network, Educational TV	8/4/2017 - 8/6/2017	Sturbridge, MA	Meeting	Transportation, Food & Lodging
16.	George Mason Univ. Antonin Scalia Law School	8/22/2017 - 8/23/2017	Northeast Harbor, ME	Teaching	Food & Lodging
17.	Free to Choose Network, Educational TV	9/7/2017 - 9/8/2017	London, United Kingdom	Meeting	Transportation, Food & Lodging
18.	George Mason Univ. Antonin Scalia Law School	9/11/2017 - 9/12/2017	London, United Kingdom	Teaching	Transportation, Food & Lodging
19.	George Mason Univ. Global Antitrust Institute	9/13/2017 - 9/15/2017	Leeds, United Kingdom	Conference	Transportation, Food & Lodging
20.	George Mason Univ. Antonin Scalia Law School	9/21/2017 - 9/22/2017	New York, NY	Teaching	Transportation, Food & Lodging
21.	West Point Military Academy	9/22/2017 - 9/22/2017	West Point, NY	Teaching	Transportation, Food & Lodging
22.	George Mason Univ. Antonin Scalia Law School	10/12/2017 - 10/13/2017	Ithaca, NY	Teaching	Transportation, Food & Lodging
23.	Free to Choose Network, Educational TV	10/23/2017 - 10/23/2017	Philadelphia, PA	Meeting	Transportation, Food & Lodging
24.	George Mason Univ. Antonin Scalia Law School	11/3/2017 - 11/6/2017	Stockholm, Sweden	Conference	Transportation, Food & Lodging
25.	George Mason Univ. Global Antitrust Institute	11/25/2017 - 12/1/2017	Beijing, China	Conference	Transportation, Food & Lodging
26.	George Mason Univ. Global Antitrust Institute	12/2/2017 - 12/8/2017	Paris, France	Conference	Transportation, Food & Lodging
27.	George Mason Univ. Antonin Scalia Law School	12/13/2017 - 12/15/2017	New York, NY	Conference	Transportation, Food & Lodging

FINANCIAL DISCLOSURE REPORT

Page 4 of 19

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/13/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 19

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/13/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. TIAA Traditional	D	None	N	T					
2. CREF Equity Index R3		None	K	T					
3. WBRXX (renamed FZBXX)	B	Dividend	O	T					
4. CVX	C	Dividend	M	T					
5. ECL	A	Dividend	M	T					
6. EPD	C	Dividend	K	T					
7. FAST	C	Dividend	M	T					
8. PEP	B	Dividend	K	T					
9. TSCO	A	Dividend			Sold	05/23/17	L	E	
10. SYK	C	Dividend	N	T					
11. KMX		None	M	T					
12. SMG	D	Dividend	N	T					
13. BMY	B	Dividend			Sold	06/06/17	M	E	
14. SBUX	C	Dividend	M	T	Sold (part)	08/10/17	L	E	
15. IDXX		None	N	T	Sold (part)	08/10/17	L	E	
16. CHD	C	Dividend	M	T					
17. C	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 19

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/13/2018

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. CVS	A	Dividend			Sold	02/09/17	M		
19. DXCM		None			Sold	10/06/17	M	E	
20. ALGN		None	O	T	Sold (part)	10/06/17	L	F	
21. BGS	C	Dividend			Sold	05/03/17	M		
22. FTV	A	Dividend	L	T					
23. GE	C	Dividend			Sold	03/21/17	M	C	
24. RMAX	C	Dividend	M	T					
25. RBA	B	Dividend			Sold	05/23/17	M		
26. UPS	C	Dividend	M	T					
27. GOOG		None	M	T	Buy	02/03/17	M		
28. AXP	B	Dividend	N	T	Buy	06/02/17	M		
29. BOBE	E	Dividend	M	T	Buy	05/05/17	M		
30. FB		None	M	T	Buy	03/21/17	M		
31. MSFT	C	Dividend	M	T	Buy	02/03/17	M		
32. TYL		None	M	T	Buy	06/22/17	M		
33. AYI	A	Dividend			Buy	01/06/17	M		
34.					Sold	02/09/17	M		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 19

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/13/2018

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. EFII		None			Buy	03/21/17	M		
36.					Sold	08/04/17	L		
37. ALRM		None			Buy	10/06/17	M		
38.					Sold	12/27/17	L		
39. XOM	E	Dividend	O	T					
40. MRK	A	Dividend	K	T					
41. RDS A	B	Dividend	K	T					
42. CHD	A	Dividend	K	T					
43. CVS	A	Dividend			Sold	02/09/17	K	C	
44. ECL	A	Dividend	K	T					
45. XRAY	A	Dividend			Sold	05/10/17	L	E	
46. DXCM		None			Sold	10/06/17	K	B	
47. GE	A	Dividend			Sold	03/21/17	K	A	
48. JCOM	A	Dividend	K	T					
49. RMAX	A	Dividend	K	T					
50. RAD		None			Sold	12/21/17	J		
51. VCSH	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 19

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/13/2018

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52. CAT	A	Dividend	K	T	Buy	10/06/17	K		
53. MSFT	A	Dividend	K	T	Buy	02/09/17	K		
54. MO	C	Dividend	M	T					
55. JNJ	A	Dividend	K	T					
56. PM	D	Dividend	M	T	Sold (part)	02/02/17	J	B	
57. CHD	A	Dividend			Sold	10/27/17	K	C	
58. XRAY	A	Dividend			Sold	05/15/17	J	C	
59. SYK	A	Dividend	K	T					
60. MJN	A	Dividend			Sold	05/02/17	K	B	
61. DXCM		None			Sold	10/06/17	J	B	
62. SMG	A	Dividend			Sold	06/01/17	K	E	
63. MRCC	B	Dividend	K	T					
64. PG	A	Dividend	K	T					
65. ALGN		None	L	T					
66. AMZN		None	K	T					
67. JCOM	A	Dividend			Sold	10/31/17	K	B	
68. RMAX	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 9 of 19

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/13/2018

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69. RBA	A	Dividend			Sold	05/23/17	J		
70. SBUX	A	Dividend	J	T					
71. AQMIX		None			Sold	02/09/17	K		
72. ALRM		None	J	T	Buy	10/06/17	K		
73. GOOG		None	J	T	Buy	02/09/17	J		
74. AXP	A	Dividend	K	T	Buy	06/02/17	K		
75.					Buy (add'l)	06/08/17	J		
76. CAT	A	Dividend	K	T	Buy	10/06/17	K		
77. KRNT		None	K	T	Buy	05/02/17	K		
78. MSFT	A	Dividend	K	T	Buy	02/09/17	J		
79.					Buy (add'l)	05/02/17	J		
80. RP		None	K	T	Buy	10/31/17	K		
81. WDAY		None	K	T	Buy	10/31/17	K		
82. BOBE	A	Dividend			Buy	06/01/17	K		
83.					Buy (add'l)	06/07/17	J		
84.					Sold	10/06/17	K	B	
85. MMP	B	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 19

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/13/2018

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86. BP	B	Dividend	K	T					
87. KO	A	Dividend	K	T					
88. ESRX		None	L	T	Sold (part)	04/28/17	K	B	
89.					Sold (part)	05/25/17	L	E	
90. MON	A	Dividend	K	T					
91. SLB	B	Dividend	L	T					
92. AMZN		None	N	T					
93. DUK	B	Dividend	L	T					
94. EMR	B	Dividend	L	T	Sold (part)	02/02/17	J	A	
95. ETE	B	Distribution	K	T					
96. NEE	B	Dividend	K	T					
97. MCD	B	Dividend	L	T	Sold (part)	02/02/17	J	B	
98. ACN	B	Dividend	J	T	Buy (add'l)	02/02/17	J		
99. CVX	B	Dividend	L	T					
100. CBSH	A	Dividend	K	T					
101. EEP	B	Distribution	J	T					
102. ETP	C	Distribution	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 11 of 19

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. PAA	A	Distribution	J	T					
104. OKS	A	Dividend			Sold	09/19/17	K	B	
105. BA	B	Dividend	L	T					
106. HDSIX	D	Distribution	K	T					
107. Wells Fargo Bank (bank deposit sweep)	A	Interest	J	T					
108. DHR	B	Dividend	M	T					
109. HCSG	C	Dividend	M	T					
110. V	B	Dividend	N	T					
111. GBDC		None			Sold	02/02/17	K	C	
112. NKE	A	Dividend	L	T	Sold (part)	02/02/17	J	B	
113. AMT	A	Dividend	K	T					
114. VNO	B	Dividend	K	T					
115. VZ	A	Dividend			Sold	06/08/17	K		
116. PSX	A	Dividend			Sold	06/08/17	J	B	
117. NSC	A	Dividend	K	T					
118. UPS	A	Dividend			Sold	06/08/17	J	A	
119. TJX	A	Dividend			Buy (add'l)	02/02/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 19

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/13/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold	06/08/17	J	A	
121. HDV	C	Dividend	L	T					
122. CMCSA	A	Dividend	J	T					
123. AFL	A	Dividend			Buy (add'l)	02/02/17	J		
124.					Sold	06/08/17	J	C	
125. BDX	A	Dividend			Buy (add'l)	02/02/17	J		
126.					Sold	06/08/17	J	C	
127. CB	A	Dividend	K	T					
128. LOW	A	Dividend	K	T	Buy (add'l)	02/02/17	J		
129. MDT	A	Dividend	K	T			J		
130. RAD		None			Sold	06/08/17	J		
131. XLK	A	Dividend	L	T	Buy (add'l)	02/02/17	K		
132. XLU	A	Dividend			Sold	06/08/17	K	C	
133. XLF	A	Dividend	K	T	Buy (add'l)	02/02/17	J		
134. SYK	A	Dividend	K	T	Buy (add'l)	02/02/17	J		
135. GOOG		None	L	T	Buy	02/06/17	K		
136. T	A	Dividend	J	T	Buy	02/02/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 19

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/13/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. DGRO	A	Dividend	J	T	Buy	03/02/17	J		
138. ABT	A	Dividend			Buy	02/02/17	J		
139.					Sold	06/08/17	J	A	
140. CAT	A	Dividend			Buy	02/02/17	J		
141.					Sold (part)	03/02/17	J	A	
142.					Sold	06/08/17	J	A	
143. CLX	A	Dividend			Buy	02/02/17	J		
144.					Sold	06/08/17	J	A	
145. CL	A	Dividend			Buy	02/02/17	J		
146.					Sold	06/08/17	J	A	
147. DIS		None			Buy	02/02/17	J		
148.					Sold	06/08/17	J		
149. ITW	A	Dividend			Buy	02/02/17	J		
150.					Sold	06/08/17	J	A	
151. JNJ	A	Dividend			Buy	02/02/17	J		
152.					Sold	06/08/17	J	A	
153. KMB	A	Dividend			Buy	02/02/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 19

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/13/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold	06/08/17	J	A	
155. JPM	A	Dividend			Buy	02/02/17	K		
156.					Sold	06/08/17	K	A	
157. PEP	A	Dividend			Buy	02/02/17	J		
158.					Sold	06/08/17	J	A	
159. PG	A	Dividend			Buy	02/02/17	J		
160.					Sold	06/08/17	J	A	
161. FZBXX (renamed FDRXX)	A	Dividend	J	T					
162. AMZN		None	L	T					
163. CHD	A	Dividend			Sold	10/27/17	K	D	
164. CVS	A	Dividend			Sold	03/20/17	K	D	
165. DHR	A	Dividend	K	T					
166. DXCM		None			Sold	10/06/17	K	D	
167. ECL	A	Dividend	K	T					
168. IDXX		None	K	T	Sold (part)	08/10/17	K	D	
169. LKQ		None			Sold	01/06/17	K	C	
170. SMG	A	Dividend			Sold	06/01/17	K	E	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 15 of 19

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/13/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. XRAY	A	Dividend			Sold	05/10/17	K	D	
172. SYK	A	Dividend	K	T					
173. V	A	Dividend	L	T					
174. PG	A	Dividend	K	T					
175. ALGN		None	L	T	Sold (part)	10/02/17	K	D	
176. BGS	A	Dividend			Sold	05/03/17	K	B	
177. PAYX	A	Dividend			Sold	06/29/17	K	C	
178. RMAX	A	Dividend	K	T					
179. GE	A	Dividend			Sold	03/20/17	K		
180. GOOG		None	K	T	Buy (add'l)	02/09/17	J		
181. JCOM	A	Distribution			Sold	10/31/17	K	B	
182. RBA	A	Dividend			Sold	06/16/17	K		
183. AQMIX		None			Sold	02/09/17	L		
184. ALRM		None	K	T	Buy	10/02/17	K		
185.					Buy (add'l)	10/06/17	J		
186. AXP	A	Dividend	K	T	Buy	06/02/17	K		
187. CAT	A	Dividend	K	T	Buy	08/10/17	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 19

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/13/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	10/06/17	J		
189. FB		None	K	T	Buy	03/20/17	K		
190. IRM		None	K	T	Buy	10/31/17	K		
191. KRNT		None	K	T	Buy	04/28/17	K		
192. MSFT	A	Dividend	K	T	Buy	02/09/17	K		
193. MRCC	B	Dividend	K	T	Buy	07/12/17	K		
194. RP		None	K	T	Buy	10/31/17	K		
195. TYL		None	K	T	Buy	06/22/17	K		
196.					Buy (add'l)	07/03/17	J		
197. VEEV		None	K	T	Buy	04/28/17	K		
198. WDAY		None	K	T	Buy	06/22/17	K		
199.					Buy (add'l)	10/31/17	J		
200. WMGI		None	K	T	Buy	03/20/17	K		
201. ZTS	A	Dividend	K	T	Buy	08/07/17	K		
202.					Buy (add'l)	10/06/17	J		
203.					Buy (add'l)	10/31/17	J		
204. AYI	A	Dividend			Buy	01/06/17	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 19

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/13/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold	04/28/17	K		
206. EFII		None			Buy	03/20/17	K		
207.					Sold	08/04/17	K		
208. BOBE	C	Dividend			Buy	05/05/17	K		
209.					Buy (add'l)	06/01/17	J		
210.					Sold	09/28/17	K	C	
211. RBA		None			Sold	6/16/17	K		
212. AQMIX		None			Sold	2/9/2017	L		
213. FUTURES OPTIONS (see VIII)		None	L	T	Buy		K		
214. FUTURE OPTIONS (See VIII)		None	L	T	Sold			C	
215. ZIVO		None	J	T	Buy (add'l)	1/3/17	J		
216. RAD		None	J	T					
217. MDLZ	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 19

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/13/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII.

#213. & #214. Futures Options - Discretionary trading account and the trader makes all the transactions; I do not have the ability to make a transaction. All investments are future contracts, e.g. on metals, currencies, and commodities such as orange juice; no corporate securities involved. I have not reported a date because there are many trades made on every business day of the year.

FINANCIAL DISCLOSURE REPORT

Page 19 of 19

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/13/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Douglas H. Ginsburg**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544