

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Murtha, J. Garvan	2. Court or Organization U.S. District Court - Vermont	3. Date of Report 05/09/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States District Court P.O. Box 760 Brattleboro, VT 05302-0760		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Beneficiary	Trust #1
2.	Beneficiary	Trust #3
3.	Trustee	Trust #4
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

Murtha, J. Garvan

Date of Report

05/09/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 15

Name of Person Reporting

Murtha, J. Garvan

Date of Report

05/09/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

Murtha, J. Garvan

Date of Report

05/09/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Alibaba Group, Inc. Stock	A	Dividend			Sold	09/09/15	J		
2. Amazon Stock	A	Dividend	J	T					
3. Bank of the Islands Account	A	Interest	J	T					
4. Broadcom Stock	A	Dividend	J	T	Buy	10/30/15	J		
5. Consumer Discretionary SPDR Fund	A	Dividend	K	T					
6. DWA Tech Leaders Fund	A	Dividend	K	T					
7. First TR SR FL II Fund	A	Dividend			Sold	10/30/15	J		
8. First Trust Consumer Fund	A	Dividend	J	T					
9. First Trust Exchange Fund	A	Dividend	K	T	Buy	06/24/15	K		
10. Franklin Strategic Fund	A	Dividend			Sold	10/27/15	K		
11. Guggenheim S&P 500 Fund	A	Dividend	K	T					
12. Hartford Floating Rate Fund	A	Dividend			Sold	12/10/15	K		
13. Healthcare Select SPDR Fund	A	Dividend	K	T					
14. iShares Barclays 7-10 Yr Treas. Bond	A	Interest	J	T					
15. iShares Cohen & Steers Fund	A	Dividend			Sold	03/16/15	J	A	
16. iShares Iboxx Fund	A	Dividend	J	T					
17. iShares MSCI Germany Fund	A	Dividend	J	T	Buy	08/27/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

Name of Person Reporting

Murtha, J. Garvan

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. iShares Nasdaq Bio Tech Fund	A	Dividend	J	T	Buy	08/27/15	J		
19. iShares Russell Top 200 Fund	A	Dividend	J	T	Buy	12/24/15	J		
20. iShares Select Fund	A	Dividend	K	T					
21. iShares Transportation Fund	A	Dividend			Sold	09/24/15	J		
22. iShares U.S. Healthcare Fund	A	Dividend			Sold	12/08/15	J		
23. iShares U.S. Home Fund	A	Dividend	J	T	Buy	11/24/15	J		
24. Kayne Anderson MLP Fund	A	Dividend			Sold	06/24/15	K		
25. MFS Utilities FD CL I Fund	A	Dividend			Sold	03/06/15	K		
26. ML Bank Deposit Account	A	Interest	J	T					
27. Nuveen High Yield Fund	A	Interest	K	T	Buy	10/27/15	K		
28. Powershares Exchange Fund	A	Dividend			Sold	12/24/15	J		
29. Powershares QQQ Trust Fund	A	Dividend	K	T					
30. Sector SPDR Consrms STPL Fund	A	Dividend	K	T					
31. Sector SPDR Financial Fund	A	Dividend			Sold	01/21/15	K	A	
32. Skyworks Stock	A	Dividend	J	T	Buy	09/09/15	J		
33. SPDR KBW Bank ETF Fund	A	Dividend	J	T	Buy	12/17/15	J		
34. SPDR Nuveen Barclay's Bond Fund	A	Int./Div.	J	T	Buy	11/24/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 15

Name of Person Reporting

Murtha, J. Garvan

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05/09/2016

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. SPDR Nuveen Barclay's Fund	A	Interest	J	T	Buy	11/24/15	J		
36. SPDR S P Divid ETF Fund	A	Dividend			Sold	03/16/15	K	B	
37. Vanguard Emerging Mkts Fund	A	Dividend			Sold	08/27/15	J		
38. Vanguard Interm-Term Fund	A	Interest	K	T	Buy	09/01/15	K		
39. Vanguard MSCI European Fund	A	Dividend			Sold	10/27/15	J	A	
40. Vanguard Short Term Bond Fund	A	Interest	K	T	Buy	12/09/15	K		
41. Vanguard Total Stk Fund	A	Dividend	K	T					
42. Wisdom Eur S/V Div Fund	A	Dividend	J	T	Buy	08/27/15	J		
43. Wisdom Tree European Fund	A	Interest			Sold	12/24/15	J		
44. Wisdom Tree Shs Japan	A	Dividend	J	T	Buy	08/27/15	J		
45. Merchants Bank Accounts	A	Interest	K	T					
46. Brattleboro Tennis Club, VT Stock		None	J	W					
47. Trust #4	F	Int./Div.	P1	T					
48. - Apple Stock									
49. - AT&T Stock									
50. - Automatic Data Processing Stock									
51. - Caterpillar Stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 15

Name of Person Reporting

Murtha, J. Garvan

Date of Report

05/09/2016

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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52. - Coca Cola Stock									
53. - CSX Corp. Stock									
54. - Diageo Stock									
55. - Disney Stock									
56. - Dodge & Cox Int'l Stock Fund					Buy	03/06/15	K		
57. - Duke Energy Stock					Sold	03/09/15	K	C	
58. - Exxon Mobil Stock									
59. - Nextera Energy Stock									
60. - Franklin Res Stock					Sold	10/14/15	L	F	
61. - Google Stock, now Alphabet, Inc. Stock									
62. - Home Depot Stock									
63. - iShares Midcap Fund									
64. - iShares Small Cap Fund					Sold	03/09/15	K	D	
65. - Johnson & Johnson Stock									
66. - Mastercard Stock									
67. - McKesson Corp. Stock									
68. - Microsoft Stock									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 15

Name of Person Reporting

Murtha, J. Garvan

Date of Report

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69. - Morgan J.P. Chase Stock									
70. - Nestle Stock									
71. - Nextera Energy					Buy	01/09/15	K		
72. - Northern Trust Gov't Fund					Buy	01/09/15	M		
73. - Novo-Nordisk Stock									
74. - Procter & Gamble Stock									
75. - Qualcomm Stock					Sold	02/02/15	K		
76. - Royal Dutch Shell Stock									
77. - Starbucks Stock									
78. - Teva Pharmaceutical Stock									
79. - United Technologies Stock									
80. - Vanguard Short Term Exempt Fund					Buy	01/09/15	K		
81. Trust Company of VT - IRA	C	Div. & Int.	M	T					
82. - 3M Stock									
83. - AbbVie Stock									
84. - Accenture Ltd. Stock									
85. - Air Products & Chemical Stock									

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FINANCIAL DISCLOSURE REPORT

Page 9 of 15

Name of Person Reporting

Murtha, J. Garvan

Date of Report

05/09/2016

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86. - Alphabet, Inc. Stock					Buy	02/05/15	J		
87. - Apache Corp. Stock									
88. - Apple Stock									
89. - Applied Materials Stock									
90. - Atmos Energy Stock									
91. - Bristol Myers Squibb Stock					Buy	08/13/15	J		
92. - Colgate Palmolive Stock									
93. - Covidien P/C Stock					Sold	01/27/15	J	B	
94. - EMC Stock									
95. - Emerson Electric Stock									
96. - Fastenal Stock					Buy	08/10/15	J		
97. - Franklin Res. Stock									
98. - HCP Stock									
99. - Illinois Tool Wks Stock									
100. - iShare Midcap Fund									
101. - iShare Small Cap Fund									
102. - Johnson & Johnson Stock									

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 10 of 15

Name of Person Reporting

Murtha, J. Garvan

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103. - Johnson Controls Stock									
104. - Matthews Asian Fund									
105. - McDonald's Stock					Sold	02/02/15	K	A	
106. - National Oilwell Varco Stock									
107. - Northern Trust Gov't Select Fund									
108. - Novartis Stock									
109. - Pepsico, Inc. Stock									
110. - PIMCO Emerging Bond Fund									
111. - PIMCO Short Term Inst'l Fund									
112. - Procter & Gamble Stock									
113. - Qualcomm Stock									
114. - Southern Co. Stock									
115. - Spectra Energy Stock									
116. - Target Corp. Stock									
117. - Teva Pharma Stock									
118. - United Technologies Stock									
119. - US Bancorp Stock									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	P4 ~More than \$50,000,000
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FINANCIAL DISCLOSURE REPORT

Page 11 of 15

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120. - US Tips Bond									
121. - Vanguard Emerging Mkts Fund									
122. - Vanguard Short Term Bond Fund									
123. - Wells Fargo Stock									
124. - Wisdom Tree Emerging Debt Fund									
125. - Wisdom Tree Emerging Markets Fund					Sold	08/10/15	J		
126. Merrill Lynch IRA	C	Div. & Int.	M	T					
127. - DWA Tech Leaders Fund									
128. - First Tr Consumer Discrt Fund									
129. - First Tr Exchg Traded Fund					Buy	06/24/15	K		
130. - First Tr SR FI II Fund					Sold	08/27/15	J		
131. - Franklin Strategic Fund					Sold	10/27/15	J	A	
132. - Guggenheim S&P 500 Fund									
133. - Hartford Floating Rate Fund					Sold	12/09/15	K	C	
134. - iShares Cohen & Steers Fund					Sold	07/24/15	J		
135. - iShares MCI Germany Fund					Buy	08/27/15	J		
136. - iShares Nasdaq Biotech Fund					Buy	08/27/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

Murtha, J. Garvan

Date of Report

05/09/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - iShares Russell Top 200 Fund					Buy	11/24/15	J		
138. - iShares Select Fund									
139. - iShares 7-10 Yr Treas Bond					Buy	01/13/15	J		
140. - iShares Transportation Fund					Sold	12/11/15	J		
141. - iShares U.S. Healthcare Fund					Sold	12/11/15	J		
142. - iShares U.S. Home Constr Fund					Buy	11/24/15	J		
143. - Kayne Anderson MLP Fund					Sold	12/11/15	J		
144. - Nuveen High Yield Muni Bond Fund					Buy	10/27/15	J		
145. - PIMCO Emerg Mkts Bd Fund									
146. - PowerShares Exchange Fund					Sold	12/30/15	J		
147. - PowerShares QQQ Trust Fund									
148. - Sector SPDR Barclay's Bond Fund					Buy	11/24/15	J		
149. - Sector SPDR Consrms Fund									
150. - Sector SPDR Divid Fund					Sold	07/15/15	J	A	
151. - Sector SPDR Financial Fund					Sold	08/05/15	J	A	
152. - Sector SPDR KBW Fund					Buy	12/17/15	J		
153. - Vanguard Emerging Fund					Sold	08/27/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 15

Name of Person Reporting

Murtha, J. Garvan

Date of Report

05/09/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Vanguard European Fund					Sold	10/27/15	J		
155. - Vanguard Intermediate Term Bond Fund					Buy	01/13/15	J		
156. - Vanguard Short Term Bond Fund					Buy	12/09/15	K		
157. - Vanguard Total Fund									
158. - Wisdom Tree Shs Japan Fund					Buy	08/27/15	J		
159. Trust #1 Income Beneficiary	C	Distribution	M	T					
160. Trust #3 Income Beneficiary	C	Distribution	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

Murtha, J. Garvan

Date of Report

05/09/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Murtha, J. Garvan

Date of Report

05/09/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ J. Garvan Murtha**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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