

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davis, Brian J.	2. Court or Organization U. S. District Court, Middle District of Florida	3. Date of Report 08/17/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Bryan Simpson United States Courthouse 300 North Hogan Street, Suite 11-400 Jacksonville, FL 32202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member, Board of Directors	Jacksonville Public Education Fund, Inc.
2.	Member, Trustee	The Community Foundation, Inc.
3.	Member, Board of Directors	North Florida Council, Boy Scouts of America, Inc.
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	State of Florida Retirement	\$120,362.64
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Florida Retirement System Disability Income
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Regions Bank	Mortgage on Investment Property, Jacksonville, FL	K
2.	DiTech Financial LLC	Mortgage on Investment Property, Jacksonville, FL	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citigroup Common Stock (X)	A	Dividend	J	T					
2. Travelers Common Stock (X)	A	Dividend	J	T					
3. Community First Credit Union Accounts (X)	A	Interest	J	T					
4. Regions Bank Accounts	A	Interest	K	T					
5. VyStar Credit Union Accounts (X)	A	Interest	K	T					
6. American Funds: American Mutual Fund	A	Dividend	J	T					
7. VOYA 457 Plan Fixed Account	D	Dividend			Sold	11/02/16	N	B	Buyer: Northwestern Mutual
8. Northwestern Mutual Rollover IRA (H)									
9. Dreyfus Ins Deposit Program	A	Dividend	J	T	Buy	11/02/16	J		Seller: VOYA 457 Plan
10. Invesco Balanced Risk Commodity Strategy Fund CL Y	A	Dividend	J	T	Buy	11/02/16	J		Seller: VOYA 457 Plan
11. AB Global Bond Fund Advisor Class	A	Dividend	J	T	Buy	11/02/16	J		Seller: VOYA 457 Plan
12. Ariel Fund	A	Dividend	J	T	Buy	11/02/16	J		Seller: VOYA 457 Plan
13. Cohen & Steers Real Estate Securities Fund Class I	A	Dividend	J	T	Buy	11/02/16	J		Seller: VOYA 457 Plan
14. Deutsche Enhanced Commodity Strategy Fund Institutional Class	A	Dividend	J	T	Buy	11/02/16	J		Seller: VOYA 457 Plan
15. Eaton Vance Income Fund of Boston Institutional	A	Dividend	J	T	Buy	11/02/16	J		Seller: VOYA 457 Plan
16. John Hancock Disciplined Value Mid Cap Fund Class I	A	Dividend	J	T	Buy	11/02/16	J		Seller: VOYA 457 Plan
17. JP Morgan Government Bond Fund Class	A	Dividend	K	T	Buy	11/02/16	J		Seller: VOYA 457 Plan

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. MFS Value Fund Class I	A	Dividend	K	T	Buy	11/02/16	J		Seller: VOYA 457 Plan
19. New Perspective Fund Class F-2	A	Dividend	J	T	Buy	11/02/16	J		Seller: VOYA 457 Plan
20. New World Fund Class F-2	A	Dividend	J	T	Buy	11/02/16	J		Seller: VOYA 457 Plan
21. Northern Small Cap Value Fund	A	Dividend	J	T	Buy	11/02/16	J		Seller: VOYA 457 Plan
22. Oppenheimer Global Fund Class Y	A	Dividend	J	T	Buy	11/02/16	J		Seller: VOYA 457 Plan
23. PNC Small Cap Fund Class I	A	Dividend	J	T	Buy	11/02/16	J		Seller: VOYA 457 Plan
24. PIMCO Income Fund Class P	A	Dividend	K	T	Buy	11/02/16	J		Seller: VOYA 457 Plan
25. Prudential Total Return Bond Fund Class Z	A	Dividend	K	T	Buy	11/02/16	J		Seller: VOYA 457 Plan
26. T Rowe Price Blue Chip Growth	A	Dividend	J	T	Buy	11/02/16	J		Seller: VOYA 457 Plan
27. iShares Inc Edge MSCI Minimum Volatility Emerging Markets ETF	A	Dividend	J	T	Buy	11/02/16	J		Seller: VOYA 457 Plan
28. iShares TR Core S&P 500 ETF	A	Dividend	J	T	Buy	11/02/16	J		Seller: VOYA 457 Plan
29. iShares TR Core U S Aggregate Bd ETF	A	Dividend	J	T	Buy	11/02/16	J		Seller: VOYA 457 Plan
30. iShares MSCI EAFE Minimum Volatility ETF	A	Dividend	J	T	Buy	11/02/16	J		Seller: VOYA 457 Plan
31. Powershares Exchange-Traded FD TRII S&P 500 Low Volatility Port	A	Dividend	K	T	Buy	11/02/16	J		Seller: VOYA 457 Plan
32. SPDR SER TR Dow Jones REIT ETF	A	Dividend	J	T	Buy	11/02/16	J		Seller: VOYA 457 Plan
33. Investment Property, Jacksonville, FL (May 9, 2017 Appraisal: \$66,000)		None	L	Q					
34. Investment Property, Jacksonville, FL (May 9, 2017 Appraisal: \$87,000)		None	L	Q					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35. MetLife Variable Annuity, Fixed Account	A	Int./Div.	J	T					
36. NorthWestern Mutual Variable Annuity (H)									
37. Equity Income (MSA/T Rowe Price) (X)	A	Dividend	J	T					
38. FocusedAppreciation (MSA/Loomis Sayles) (X)	A	Dividend	J	T					
39. Growth Stock (MSA/The Boston Company) (X)	A	Dividend	J	T					
40. US Small Cap Equity (RIF) (X)	A	Dividend	J	T					
41. International Equity (MSA/Franklin Tmpl) (X)	A	Dividend	J	T					
42. International Growth (MSA/FIAM LLC) (X)	A	Dividend	J	T					
43. International Developed Markets (RIF) (X)	A	Dividend	J	T					
44. Select Bond (MSA/Wells Capital Mgmt) (X)	A	Dividend	J	T					
45. Strategic Bond (RIF) (X)	A	Dividend	J	T					
46. High Yield Bond (MSA/Federated Inv.) (X)	A	Dividend	J	T					
47. Global Real Estate Securities (RIF) (X)	A	Dividend	J	T					
48. Guaranteed Interest Fund I (X)	A	Interest	J	T					
49. -JanusFocused Appreciation Fund (Y)									
50. -Russell Aggressive Equity Fund (Y)									
51. -Russell Non-US Fund (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. -Russell Core Bond Fund (Y)									
53. Janus International Growth Fund (Y)									
54. NorthWest Mutual Whole Life Policy #1	A	Dividend	J	T					
55. NorthWest Mutual Whole Life Policy #2	A	Dividend	K	T					
56. NorthWest Mutual Whole Life Policy #3	C	Dividend	L	T					
57. American Funds: Growth and Income Fund #1	A	Dividend	J	T					
58. American Funds: Growth and Income Fund #2	A	Dividend	J	T					
59. American Funds: Growth and Income Fund #3	A	Dividend	J	T					
60. Regions Investment Accounts (H)									
61. GS Fin Corp SR IDX ZRO LKD19	A	Dividend	J	T	Buy	02/07/16	J		
62. GS Fin Corp SR GLBL INDX 20	A	Dividend	J	T	Buy	02/07/16	J		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Items 8 through 19 and 23 through 27 on my 2015 Financial Disclosure Report (VII. Investments and Trusts) were subsumed by transfers and name changes within the annuity which resulted in no gains.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Brian J. Davis**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544