

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) William , Cassidy E.	2. Court or Organization U.S.D.C. S.D. Alabama	3. Date of Report 08/9/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge - Full	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States Courthouse 113 Saint Joseph Street Rm. 306 Mobile, Alabama 36602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Legislative Committee	Federal Magistrate Judges Association
2. Executor	Estate #1
3. Manager	Cassady Farms, LLC (See Part VIII, Note 1)
4. Manager	Southbury Condo, LLC (See Part VIII, Note 2)
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Federal Magistrate Judges
Association

09/12/12 - 09/14/12

Washington, DC

Committee Meeting

Transportation, lodging and food

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions Bank (Account)	A	Interest	L	T					
2. Franklin/Templeton World Fund CL A (TEMWX) IRA	C	Dividend	M	T					
3. Vanguard Mutual Funds IRA									
4. - Asset Allocation Fund	A	Dividend	K	T					
5. - STAR Fund	B	Dividend	L	T					
6. Franklin Income Fund CL A IRA (FKINX)	D	Dividend	M	T					See Note 3, Part VIII.
7. Rental Property - Jefferson Co., B'ham, AL	D	Rent	L	W	Distributed	06/20/12	L		See Note 2, Part VIII.
8. Butler Co, AL land (Apr. 4/14/10)		None	N	Q	Distributed	6/20/12	N		See Note 1, Part VIII.
9. House & lot, Greenville, AL (Apr. 4/4/10)	C	Rent	K	Q	Distributed	6/20/12	K		See Note 1, Part VIII.
10. Common Stock, Town & Country National Bank	E	Int./Div.	M	U					
11. Participating Units - Cassidy Farms, LLC		None	N	T					
12. Participating Units - Southbury Condo, LLC	B	Rent	L	T					
13. Brokerage Account #1									
14. - Bank Deposit Sweep Account	A	Interest	J	T					
15. -Franklin Tax Free TR Fed Inter Term Advisor CL (FITZX)	A	Int./Div.	K	T	Buy (add'l)	08/22/12	J		
16.			K	T	Buy (add'l)	12/14/12	J		
17. -Nuveen Mun Trust Inter Duration Mun Bd Fd CL (NUVBX)	A	Dividend	K	T	Buy (add'l)	08/22/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.			K	T	Buy (add'l)	12/14/12	J		
19.	-T Rowe Prie Summit Mun Fds Inc - Mun Inter Fd (PRSMX)	A	Int./Div.	K	T	Buy (add'l)	08/22/12	J	
20.			K	T	Buy (add'l)	12/14/12	J		
21.	-T Rowe Price Tax Free Shrt (PRSF)	A	Int./Div.	K	T	Buy (add'l)	08/22/12	J	
22.			K	T	Buy (add'l)	12/14/12	J		
23.	-Thornburg Invnt Tr Ltd Ter Mun Fd Cl 1 (LTMIX)	A	Dividend	K	T	Buy (add'l)	8/22/12	J	
24.			K	T	Buy (add'l)	12/14/12	J		
25.	-Cohen & Steers Rlty Shs (CSRSX)	A	Dividend	J	T	Sold (part)	8/22/12	J	A
26.			J	T	Buy (add'l)	12/14/12	J		
27.	-Dreyfus Appreciation Fd (DGAGX)	A	Dividend	J	T	Sold (part)	8/22/12	J	A
28.			J	T	Buy (add'l)	12/14/12	J		
29.	-Europacific Growth Fd Fl (AEGFX)	A	Dividend	J	T	Sold (part)	8/22/12	J	A
30.					Buy (add'l)	12/14/12	J		
31.	-Goldman Sachs Tr (FTXXX)	A	Int./Div.	J	T	Sold (part)	10/12/12	J	A
32.			J	T	Buy (add'l)	8/22/12	J		
33.			J	T	Buy (add'l)	12/14/12	J		
34.	-MFS Ser Trust III CL A (MMHYX)	A	Dividend	J	T	Sold (part)	8/22/12	J	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other	W = Estimated		
	U = Book Value				

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.			J	T	Buy (add'l)	12/14/12	J		
36.	-Nuveen All-American Mun BD FD Instl SHS CL (FAARX)	B	Int./Div.	K	T	Sold (part)	08/22/12	J	
37.					Buy (add'l)	12/14/12	J		
38.	Oppenheimer Intl Bond Fund Cl A (OIBAX)	A	Dividend	J	T				
39.	-Templeton Income TR GLB BD Advisor (TGBAX)	A	Dividend	J	T	Sold (part)	8/22/12	J	A
40.					Buy (add'l)	12/14/12	J		
41.	-Eagle Mid Cap Stock Fund (HMCJX)	A	Int./Div.	J	T	Sold (part)	8/22/12	J	A
42.			J	T	Buy (add'l)	12/14/12	J		
43.	-Pioneer Global High Yield CL A (PGHYX) (X)	B	Dividend		Sold	11/20/12	K	A	
44.	-Vanguard Bond Index FD Short-Term Port FD CL-INV (VBISX)(X)	A	Dividend		Sold	11/21/12	J	A	
45.	-Fidelity ADV EMERG MKTS (FMKIX)	A	Dividend	J	T	Buy	8/22/12	J	
46.			J	T	Buy (add'l)	12/14/12	J		
47.	GULF POWER NOTES 5-18-16 (GUA)		None	K	T	Buy	11/20/12	K	
48.	GUGGENHEIM ZACKS INCM ADVAN STRAT PT 2 YR SR 3 REIN (CTZICX)	A	Dividend	K	T	Buy	11/20/12	K	
49.			K	T	Buy (add'l)	12/13/12	J		
50.	FIRST TRUST PORTFOLIOS MUNI INCOME CLOSED END SERIES REIN	A	Dividend	J	T	Buy	12/13/12	J	
51.	Transamerica Advisors Life Ins. Co.	D	Distribution	J	T				

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52. The Ohio National Life Ins. Co. Annuity	B	Distribution	L	T					
53. Pacific Life Annuity	E	Distribution	L	T					
54. Estate #1									
55. - Regions Bank (Account)		None	M	T					
56. -House / land in Lowndes Co. AL (Approx. 4/14/10)		None	O	Q	Distributed	6/20/12	O		See Note 1, Part VIII.
57. -Building/ land in Wilcox Co., Camden AL (Approx. 4/14/10)			M	Q					
58. -Franklin Alabama Tax-Free Income Fund-CL C (FALEX)	D	Dividend	L	T					See Note 3, Part VIII.

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1 - Part I. Positions.

The real property listed in Part VII, lines 8, 9 & 37 of the 2011 Report were transferred into an LLC in June 2012. The LLC issued participating units and their value is listed in Part VII, Line 11.

Note 2 - Part I. Positions.

The real property listed in Part VII, line 7 of the 2011 Report, was transferred into an LLC in June 2012. I am the Manager and only member of the LLC.

Note 3 - Part VII. Investments and Trusts

The value codes listed for the assets on lines 6 & 39 of the 2011 Report were incorrect. The proper value code for line 6 should have been M and for line 39 should have been L.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cassidy E. William

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544