

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Stafford, Jr., William H.	2. Court or Organization U.S. District Court, NDFL	3. Date of Report 10/31/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address US Courthouse 111 North Adams Street Tallahassee, FL 32301-7717		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Stafford, Jr., William H.

Date of Report

10/31/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Stafford, Jr., William H.

Date of Report

10/31/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Stafford, Jr., William H.

Date of Report

10/31/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Prudential Life Insurance Co (whole life)	A	Dividend	J	W					
2. -Morgan Stanley Bank Deposit Program (money market)	A	Interest	K	T					
3. -AT&T Inc (common)	B	Dividend	K	T					
4. -Blackrock - Multi-Asset, Inc. C	B	Dividend	K	T					
5. -Nuveen EHCD AmT Free muni (closed end fund)	B	Dividend	K	T					
6. -Lehman Bros HLDG (preferred stock)		None	J	T					
7. -Blackrock Global Allocation C	A	Dividend	K	T					
8. -Freeport - McMoran, Inc.	A	Dividend	J	T					
9. -SPDR DIJA Trust (ETF)	A	Dividend	J	T					
10. -Duke Energy Corp New (common)	A	Dividend	J	T					
11. -General Electric (common)	A	Dividend	J	T					
12. -Zoetis Inc Class A (common)	A	Dividend			Donated				
13. -Johnson & Johnson (common)	A	Dividend	J	T					
14. -Medtronic (common)	A	Dividend	J	T					
15. -Enbaidge, Inc. (common) (was Spectra Energy)	A	Dividend	J	T					
16. -Tanger Factory (common)	A	Dividend			Donated				
17. -TE Connectivity LTD New (common)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Stafford, Jr., William H.

Date of Report

10/31/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Wal-Mart (common)	A	Dividend	J	T					
19. -Wells Fargo & Co. (common)	A	Dividend	J	T					
20. -MS Emerging Mkts Domestic Debt (closed end fund)	A	Dividend			Sold	3/27/17	J		
21. -Pfizer (common)	A	Dividend	J	T					
22. -Virtus Multi-Sector Short Term Bond Fund (mutual fund)	A	Dividend	J	T					
23. -Century Link (common)	A	Dividend			Sold	12/16/17	J		
24. -Morgan Stanley	A	Dividend	K	T	Buy (add'l)	03/27/17	J		
25. -Chevron (common)	A	Dividend	J	T					
26. -Eaton Vance Tax Mgd Div Eq (closed end fund)	A	Dividend	J	T					
27. -Alerian MLP ETF (closed end fund)	B	Dividend	K	T	Buy (add'l)	03/27/17	J		
28. -CSX Corp (common)	A	Dividend	J	T					
29. Bank India NY	A	Interest	K	T	Buy	12/06/17	K		
30. -Morgan Stanley Bank Deposit Program (money market)	A	Dividend	J	T					
31. -T Rowe Price Eq Inc (mutual fund)	A	Dividend	K	T	Sold (part)	08/24/17	J		
32. -Blackrock LowDuration Bond (mutual fund)	A	Dividend	J	T	Buy (add'l)	12/30/17	J		
33. -Virtus Multi-Sector Short Term Bond Fund (mutual fund)	A	Dividend	K	T	Buy (add'l)	12/30/17	J		
34. -Western Asset Global Hi Yld A (mutual fund)	A	Dividend	J	T	Sold (part)	10/17/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Stafford, Jr., William H.

Date of Report

10/31/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Invesco US Small Cap Value Y (mutual fund)	A	Dividend	J	T	Sold (part)	10/17/17	J		
36. -Allianz NFJ INTL Value P (mutual fund)	A	Dividend	K	T	Sold (part)	10/17/17	J		
37. -Lazard Emerging Markets Fund (mutual fund)	A	Dividend	J	T	Sold (part)	08/24/17	J		
38. -Invesco Balanced Risk Allocation Y (mutual fund)	A	Dividend	K	T	Sold (part)	10/17/17	J		
39. -PIMCO LowDuration P (mutual fund)	A	Dividend	J	T	Buy (add'l)	12/30/17	J		
40. -Mngers Amg Timesq MDCP (mutual fund)	A	Dividend	K	T	Buy (add'l)	12/30/17	J		
41. -Invesco Premier US Gen (money market) (IUGXX)	A	Dividend	J	T	Buy (add'l)	12/31/17	J		
42. -JP Morgan Large Cap GRW	A	Dividend	J	T	Sold (part)	08/24/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Stafford, Jr., William H.

Date of Report

10/31/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Investments and Trusts, line 5 - Nuveen Qual Muni Fund (NQI) merged into Nuveen - EHCH (NVG)
Part VII, Investments and Trusts, line 41 - was Invesco Premier INSTL (IPPXX) merged into Invesco Premier US Gen (INGXX)
Part VII, Investments and Trusts, lines 29 through 42 are IRA holdings

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Stafford, Jr., William H.

Date of Report

10/31/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ William H. Stafford, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544