

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Buchwald, Naomi R.	2. Court or Organization SOUTHERN DISTRICT OF NEW YORK	3. Date of Report 05/14/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Daniel Patrick Moynihan Courthouse 500 Pearl Street -- ROOM 2270 New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 49

Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/14/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2016

Solo Law Practice

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 49

Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/14/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 49

Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/14/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Accounts	B	Interest	O	T					
2. Vanguard Voyager (IRA)	A	Dividend	K	T					
3. Schwab (IRA)	A	Interest	P1	T					
4.	C	Dividend							
5. --- Schwab Deposit Account	A	Interest	K	T					
6. --CST Brands Inc. (Common)	A	Dividend	J	T					
7. -- Facebook (Common)		None			Sold	01/13/16	N		
8.					Buy	02/09/16	M		
9.					Sold	05/19/16	M	E	
10.					Buy	06/24/16	M		
11.					Sold (part)	06/24/16	M	B	
12.					Buy (add'l)	08/02/16	M		
13.					Buy (add'l)	08/03/16	L		
14.					Buy (add'l)	10/18/16	N		
15.					Sold (part)	10/18/16	N	A	
16.					Sold	12/20/16	N		
17. --Twitter Inc. (Common)		None	M	T	Sold	01/20/16	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 49

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18.					Buy	10/05/16	M		
19. --Intel (Common)	C	Dividend	N	T	Sold	01/20/16	M		
20.					Buy	02/10/16	K		
21.					Sold	02/12/16	K	A	
22.					Buy	07/14/16	N		
23.					Sold	07/14/16	N	B	
24.					Buy	11/01/16	N		
25. --Alibaba ADRs		None			Sold	01/07/16	M		
26. --Apple (Common)	A	Dividend			Buy	01/26/16	L		
27.					Sold	02/08/16	L		
28. --Blackberry (Common)		None	L	T					
29. --Chevron (Common)		None			Sold	01/13/16	L		
30. --Exxon Mobil (Common)		None			Sold	01/12/16	M		
31. --Amazon (Common)		None	N	T	Buy (add'l)	01/28/16	M		
32.					Sold (part)	02/11/16	M		
33.					Buy (add'l)	02/18/16	N		
34.					Sold (part)	05/18/16	N	F	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 49

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35.					Buy (add'l)	06/20/16	N		
36.					Buy (add'l)	07/25/16	N		
37.					Sold (part)	07/28/16	M	E	
38.					Sold (part)	09/22/16	N	E	
39.					Sold	11/28/16	N	D	
40.					Buy	12/02/16	N		
41.					Sold	12/06/16	N	D	
42.					Buy	12/12/16	N		
43. --Netflix (Common)		None			Buy	01/12/16	M		
44.					Sold	01/13/16	M		
45.					Buy	06/20/16	M		
46.					Sold	08/19/16	M	B	
47.					Buy	10/05/16	M		
48.					Sold	10/05/16	M	A	
49. --Tesla (Common)		None			Buy	09/09/16	M		
50.					Sold	10/06/16	M	D	
51. --Alphabet Class C (Common)		None			Buy	02/09/16	N		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 49

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52.					Buy (add'l)	02/10/16	N		
53.					Sold (part)	02/11/16	M	A	
54.					Sold	05/19/16	O	D	
55. --Microsoft (Common)		None	N	T	Buy	12/28/16	N		
56. JPMorgan Chase Accounts	A	Interest	M	T					
57. Schwab Account -- NY Muni Money Mkt	D	Int./Div.	O	T					
58. Merrill Lynch Cash Acc- formerly Bk of America cash reserve	C	Dividend	N	T					
59. Treasury Direct	A	Interest			Distributed	04/12/16	L	A	
60. Time Warner Common Stock	A	Dividend	K	T					
61. Blount Common Stock		None	J	T					
62. Intel Common Stock	D	Dividend	L	T	Sold (part)	01/20/16	M		
63.					Buy (add'l)	05/10/16	N		
64.					Sold (part)	05/10/16	N	A	
65.					Buy (add'l)	05/12/16	M		
66.					Sold (part)	05/13/16	M	B	
67.					Buy (add'l)	07/20/16	O		
68.					Sold (part)	08/09/16	M		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 49

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69.					Buy (add'l)	08/10/16	K		
70.					Sold (part)	08/19/16	N		
71.					Buy (add'l)	10/04/16	N		
72.					Sold (part)	10/05/16	N	B	
73.					Buy (add'l)	10/07/16	M		
74.					Sold (part)	10/10/16	N	B	
75.					Buy (add'l)	10/14/16	K		
76.					Sold (part)	10/17/16	M		
77.					Buy (add'l)	10/19/16	N		
78.					Sold (part)	10/19/16	N		
79.					Sold (part)	10/20/16	N		
80.					Buy (add'l)	10/21/16	N		
81.					Sold (part)	10/24/16	N	A	
82.					Buy (add'l)	10/24/16	P1		
83.					Buy (add'l)	01/25/16	O		
84.					Buy (add'l)	10/26/16	M		
85.					Sold (part)	10/27/16	K		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 49

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86.					Buy (add'l)	11/01/16	N		
87.					Sold (part)	11/01/16	P1		
88.					Buy (add'l)	11/02/16	M		
89.					Buy (add'l)	11/03/16	M		
90.					Buy (add'l)	11/05/16	L		
91.					Buy (add'l)	11/06/16	N		
92.					Buy (add'l)	11/07/16	O		
93.					Sold (part)	11/07/16	O	B	
94.					Buy (add'l)	11/09/16	M		
95.					Sold (part)	11/09/16	O		
96.					Buy (add'l)	11/14/16	M		
97.					Sold (part)	11/15/16	N		
98.					Sold (part)	11/16/16	N		
99.					Sold (part)	11/18/16	L		
100.					Buy (add'l)	11/18/16	K		
101.					Sold (part)	11/21/16	L		
102.					Sold (part)	11/22/16	O		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 49

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103.					Buy (add'l)	11/22/16	J		
104.					Buy (add'l)	11/24/16	L		
105.					Buy (add'l)	11/25/16	L		
106.					Buy (add'l)	11/26/16	J		
107.					Buy (add'l)	11/27/16	K		
108.					Buy (add'l)	11/28/16	K		
109.					Buy (add'l)	12/02/16	K		
110.					Buy (add'l)	12/03/16	K		
111.					Buy (add'l)	12/05/16	J		
112.					Buy (add'l)	12/06/16	M		
113.					Buy (add'l)	12/08/16	K		
114.					Sold (part)	12/09/16	K		
115.					Buy (add'l)	12/09/16	L		
116.					Buy (add'l)	12/10/16	J		
117.					Buy (add'l)	12/11/16	K		
118.					Buy (add'l)	12/12/16	M		
119.					Sold (part)	12/14/16	M		

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FINANCIAL DISCLOSURE REPORT

Page 11 of 49

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120.					Sold (part)	12/22/16	N		
121.					Buy (add'l)	12/28/16	N		
122.					Sold (part)	12/30/16	O		
123. Time Inc. Common Stock (spin off from Time Warner Inc.)	A	Dividend	J	T					
124. Alibaba ADRs		None			Sold	01/07/16	L		
125. Yahoo Common Stock		None			Buy	03/29/16	M		
126.					Sold	03/29/16	M	A	
127.					Buy	04/08/16	L		
128.					Buy (add'l)	04/11/16	M		
129.					Buy (add'l)	04/13/16	M		
130.					Sold	04/15/16	N	B	
131.					Buy	05/09/16	N		
132.					Sold	05/13/16	N		
133.					Buy	05/27/16	N		
134.					Buy (add'l)	06/02/16	L		
135.					Sold	06/07/16	N		
136. Apple Common Stock	A	Dividend			Buy	01/27/16	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 12 of 49

Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/14/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	02/08/16	L		
138.					Buy	02/10/16	L		
139.					Sold	02/16/16	L		
140.					Buy	03/23/16	M		
141.					Buy (add'l)	03/24/16	N		
142.					Sold (part)	03/29/16	M	B	
143.					Buy (add'l)	03/30/16	M		
144.					Sold (part)	03/30/16	M	D	
145.					Sold (part)	04/01/16	M		
146.					Sold (part)	04/07/16	L	A	
147.					Buy (add'l)	04/21/16	L		
148.					Buy (add'l)	04/29/16	K		
149.					Sold	04/29/16	M		
150.					Buy	07/06/16	M		
151.					Sold	07/08/16	M	C	
152.					Buy	07/20/16	N		
153.					Sold	07/20/16	N	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 49

Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/14/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy	08/16/16	O		
155.					Sold	08/19/16	O		
156.					Buy	08/19/16	N		
157.					Buy (add'l)	08/22/16	O		
158.					Sold	08/22/16	O	A	
159.					Buy	08/24/16	M		
160.					Buy (add'l)	08/29/16	M		
161.					Sold (part)	09/02/16	O		
162.					Sold	09/13/16	M	A	
163.					Buy	11/21/16	N		
164.					Sold (part)	11/21/16	M	A	
165.					Buy (add'l)	11/22/16	M		
166.					Sold	11/22/16	N	A	
167.					Buy	12/09/16	N		
168.					Buy (add'l)	12/12/16	M		
169.					Sold (part)	12/12/16	M		
170.					Buy (add'l)	12/13/16	P1		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 49

Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/14/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold (part)	12/13/16	P1	D	
172.					Buy (add'l)	12/14/16	O		
173.					Sold (part)	12/14/16	O	A	
174.					Buy (add'l)	12/15/16	N		
175.					Buy (add'l)	12/19/16	M		
176.					Sold	12/19/16	O	B	
177.					Buy	12/22/16	N		
178.					Sold	12/27/16	N	E	
179.					Buy	12/27/16	N		
180.					Sold	12/30/16	N		
181. Chevron Common Stock		None			Buy	01/13/16	M		
182.					Sold	01/20/16	M		
183. Cisco Common Stock	A	Dividend			Buy	05/10/16	N		
184.					Sold	05/10/16	N	A	
185.					Buy	05/12/16	M		
186.					Sold	05/13/16	M	A	
187.					Buy	05/20/16	O		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 49

Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/14/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold (part)	05/26/16	O	E	
189.					Buy (add'l)	06/02/16	N		
190.					Sold (part)	06/03/16	M	D	
191.					Sold	06/27/16	N		
192.					Buy	07/29/16	N		
193.					Sold	08/03/16	N	A	
194.					Buy	08/09/16	N		
195.					Sold	08/23/16	N	A	
196.					Buy	09/20/16	N		
197.					Sold	09/20/16	N	A	
198.					Buy	10/14/16	M		
199.					Sold	10/28/16	M	A	
200.					Buy	12/08/16	N		
201.					Sold	12/08/16	N	A	
202.					Buy	12/09/16	M		
203.					Sold	12/09/16	M	A	
204.					Buy	12/13/16	N		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 49

Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/14/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold	12/13/16	N	A	
206.					Buy	12/14/16	N		
207.					Sold	12/30/16	N		
208. Ebay Common Stock		None			Buy	04/27/16	J		
209.					Buy (add'l)	04/28/16	J		
210.					Sold	04/29/16	K		
211. Microsoft Common Stock		None			Buy	10/21/16	N		
212.					Sold	10/24/16	N	C	
213. Facebook Common		None	J	T	Buy (add'l)	01/04/16	O		
214.					Buy (add'l)	01/06/16	M		
215.					Sold (part)	01/06/16	N		
216.					Sold (part)	01/13/16	M		
217.					Buy (add'l)	01/14/16	M		
218.					Sold (part)	01/14/16	M	A	
219.					Buy (add'l)	01/19/16	M		
220.					Sold (part)	01/20/16	M		
221.					Buy (add'l)	01/25/16	K		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 49

Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/14/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

222.					Sold (part)	01/27/16	L		
223.					Buy (add'l)	01/28/16	M		
224.					Sold (part)	01/28/16	M		
225.					Buy (add'l)	02/01/16	M		
226.					Buy (add'l)	02/03/16	L		
227.					Buy (add'l)	02/09/16	N		
228.					Sold (part)	02/09/16	L	A	
229.					Buy (add'l)	02/10/16	M		
230.					Buy (add'l)	02/11/16	M		
231.					Buy (add'l)	02/12/16	M		
232.					Buy (add'l)	02/15/16	J		
233.					Buy (add'l)	02/17/16	J		
234.					Sold (part)	02/17/16	M		
235.					Buy (add'l)	02/22/16	N		
236.					Sold (part)	02/23/16	N		
237.					Sold (part)	02/24/16	M		
238.					Sold (part)	02/26/16	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 49

Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/14/2017

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Buy (add'l)	02/29/16	L		
240.					Sold (part)	03/01/16	M		
241.					Buy (add'l)	03/04/16	N		
242.					Buy (add'l)	03/18/16	M		
243.					Sold (part)	03/18/16	L	D	
244.					Buy (add'l)	03/18/16	M		
245.					Buy (add'l)	03/22/16	M		
246.					Sold (part)	03/22/16	N	D	
247.					Buy (add'l)	03/23/16	M		
248.					Sold (part)	03/23/16	M	C	
249.					Buy (add'l)	03/24/16	O		
250.					Sold (part)	03/24/16	O	D	
251.					Sold (part)	03/29/16	N	D	
252.					Buy (add'l)	03/30/16	P1		
253.					Sold (part)	03/30/16	M	A	
254.					Sold (part)	04/01/16	O	B	
255.					Buy (add'l)	04/01/16	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 49

Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/14/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Buy (add'l)	04/04/16	M		
257.					Buy (add'l)	04/06/16	N		
258.					Buy (add'l)	04/07/16	M		
259.					Sold (part)	04/07/16	O	C	
260.					Buy (add'l)	04/08/16	P1		
261.					Buy (add'l)	04/11/16	O		
262.					Sold (part)	04/13/16	P1	D	
263.					Sold (part)	04/14/16	O		
264.					Sold (part)	04/15/16	M		
265.					Buy (add'l)	04/18/16	N		
266.					Buy (add'l)	04/22/16	O		
267.					Sold (part)	04/22/16	O		
268.					Buy (add'l)	04/25/16	J		
269.					Sold (part)	04/29/16	M		
270.					Buy (add'l)	05/02/16	M		
271.					Buy (add'l)	05/03/16	M		
272.					Sold (part)	05/03/16	M	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 49

Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/14/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273.					Buy (add'l)	05/04/16	O		
274.					Sold (part)	05/06/16	N	D	
275.					Buy (add'l)	05/09/16	M		
276.					Buy (add'l)	05/13/16	M		
277.					Buy (add'l)	05/17/16	L		
278.					Buy (add'l)	05/18/16	N		
279.					Buy (add'l)	05/20/16	M		
280.					Buy (add'l)	05/23/16	O		
281.					Buy (add'l)	05/25/16	N		
282.					Buy (add'l)	05/26/16	M		
283.					Buy (add'l)	05/31/16	M		
284.					Buy (add'l)	06/01/16	L		
285.					Buy (add'l)	06/02/16	K		
286.					Buy (add'l)	06/03/16	O		
287.					Buy (add'l)	06/06/16	L		
288.					Buy (add'l)	06/07/16	M		
289.					Sold (part)	06/17/16	O		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 49

Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/14/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	06/20/16	M		
291.					Sold (part)	06/21/16	O		
292.					Sold (part)	06/23/16	M		
293.					Sold (part)	06/24/16	N		
294.					Buy (add'l)	06/27/16	O		
295.					Sold (part)	06/27/16	P1		
296.					Sold (part)	07/05/16	L		
297.					Buy (add'l)	07/05/16	N		
298.					Buy (add'l)	07/06/16	J		
299.					Sold (part)	07/06/16	O		
300.					Sold (part)	07/07/16	N		
301.					Buy (add'l)	07/08/16	N		
302.					Sold (part)	07/08/16	O		
303.					Buy (add'l)	07/11/16	O		
304.					Buy (add'l)	07/12/16	N		
305.					Buy (add'l)	07/13/16	L		
306.					Buy (add'l)	07/14/16	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 49

Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/14/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Sold (part)	07/14/16	P1		
308.					Buy (add'l)	07/15/16	M		
309.					Buy (add'l)	07/18/16	N		
310.					Buy (add'l)	07/19/16	M		
311.					Buy (add'l)	07/20/16	M		
312.					Sold (part)	07/20/16	O		
313.					Buy (add'l)	07/21/16	L		
314.					Buy (add'l)	07/22/16	L		
315.					Sold (part)	07/25/16	M	A	
316.					Buy (add'l)	07/26/16	M		
317.					Sold (part)	08/05/16	N		
318.					Sold (part)	08/08/16	O		
319.					Buy (add'l)	08/09/16	J		
320.					Sold (part)	08/09/16	O		
321.					Sold (part)	08/10/16	N		
322.					Sold (part)	08/11/16	N		
323.					Buy (add'l)	08/15/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 49

Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/14/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Sold (part)	08/23/16	P1		
325.					Buy (add'l)	08/23/16	L		
326.					Sold (part)	08/25/16	P1		
327.					Sold (part)	09/08/16	M		
328.					Sold (part)	09/20/16	N		
329.					Sold (part)	09/21/16	O		
330.					Buy (add'l)	09/22/16	M		
331.					Sold (part)	09/22/16	N		
332.					Sold (part)	10/05/16	L	D	
333.					Sold (part)	10/05/16	N		
334.					Buy (add'l)	11/07/16	N		
335.					Sold (part)	11/08/16	N	C	
336.					Buy (add'l)	11/10/16	M		
337.					Buy (add'l)	11/11/16	M		
338.					Buy (add'l)	11/14/16	N		
339.					Sold (part)	11/15/16	N	C	
340.					Buy (add'l)	11/16/16	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 49

Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/14/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Sold (part)	11/16/16	M	B	
342.					Buy (add'l)	11/17/16	M		
343.					Sold	11/17/16	M	B	
344.					Buy	11/18/16	O		
345.					Sold (part)	11/18/16	M	A	
346.					Sold	11/21/16	N	D	
347.					Buy	11/22/16	P1		
348.					Sold (part)	11/22/16	O	B	
349.					Buy (add'l)	11/24/16	O		
350.					Buy (add'l)	11/25/16	N		
351.					Buy (add'l)	11/28/16	M		
352.					Sold (part)	11/28/16	O		
353.					Buy (add'l)	11/29/16	M		
354.					Buy (add'l)	12/05/16	M		
355.					Buy (add'l)	12/06/16	N		
356.					Sold (part)	12/08/16	N		
357.					Sold (part)	12/09/16	O	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 49

Name of Person Reporting

Buchwald, Naomi R.

Date of Report

05/14/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

358.					Buy (add'l)	12/12/16	M		
359.					Sold (part)	12/13/16	M		
360.					Sold (part)	12/21/16	O		
361.					Sold (part)	12/27/16	L		
362.					Sold (part)	12/30/16	M		
363. Amazon Common Stock		None	K	T	Buy	01/04/16	O		
364.					Sold	01/04/16	O	B	
365.					Buy	01/06/16	N		
366.					Sold	01/06/16	N	B	
367.					Buy	01/07/16	P1		
368.					Sold (part)	01/07/16	N	B	
369.					Sold (part)	01/13/16	N		
370.					Sold	01/14/16	M		
371.					Buy	01/18/16	M		
372.					Buy (add'l)	01/19/16	P1		
373.					Buy (add'l)	01/20/16	P1		
374.					Buy (add'l)	01/21/16	P1		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Buy (add'l)	01/22/16	O		
376.					Buy (add'l)	01/25/16	P1		
377.					Sold (part)	01/26/16	N	A	
378.					Buy (add'l)	01/26/16	O		
379.					Buy (add'l)	01/27/16	N		
380.					Sold (part)	01/28/16	O		
381.					Buy (add'l)	01/28/16	O		
382.					Buy (add'l)	01/29/16	M		
383.					Buy (add'l)	02/01/16	M		
384.					Buy (add'l)	02/02/16	L		
385.					Buy (add'l)	02/08/16	K		
386.					Sold (part)	02/09/16	M		
387.					Sold (part)	02/10/16	N		
388.					Sold (part)	02/11/16	M		
389.					Sold (part)	02/12/16	M		
390.					Buy (add'l)	02/12/16	K		
391.					Sold (part)	02/16/16	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Sold (part)	02/17/16	M		
393.					Sold (part)	02/22/16	O		
394.					Sold (part)	02/23/16	N		
395.					Buy (add'l)	02/24/16	J		
396.					Sold (part)	02/24/16	M		
397.					Buy (add'l)	02/25/16	J		
398.					Sold (part)	02/25/16	O		
399.					Buy (add'l)	02/29/16	N		
400.					Sold (part)	03/01/16	O		
401.					Buy (add'l)	03/03/16	K		
402.					Buy (add'l)	03/04/16	K		
403.					Buy (add'l)	03/10/16	M		
404.					Sold (part)	03/10/16	O		
405.					Sold (part)	03/11/16	M		
406.					Buy (add'l)	03/14/16	P1		
407.					Sold (part)	03/14/16	N		
408.					Sold (part)	03/16/16	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Sold (part)	03/21/16	P1		
410.					Buy (add'l)	03/21/16	O		
411.					Sold (part)	03/22/16	O		
412.					Buy (add'l)	03/22/16	J		
413.					Sold (part)	03/23/16	P1		
414.					Sold (part)	03/24/16	O		
415.					Buy (add'l)	03/30/16	O		
416.					Sold (part)	04/01/16	N		
417.					Buy (add'l)	04/04/16	M		
418.					Sold (part)	04/05/16	N		
419.					Buy (add'l)	04/07/16	M		
420.					Buy (add'l)	04/08/16	N		
421.					Sold (part)	04/08/16	O		
422.					Buy (add'l)	04/11/16	N		
423.					Sold (part)	04/12/16	P1	D	
424.					Buy (add'l)	04/13/16	O		
425.					Sold (part)	04/13/16	O	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Buy (add'l)	04/18/16	O		
427.					Buy (add'l)	04/19/16	N		
428.					Sold (part)	04/19/16	N		
429.					Buy (add'l)	04/20/16	O		
430.					Sold (part)	04/20/16	N	D	
431.					Buy (add'l)	04/21/16	N		
432.					Sold (part)	04/22/16	P1		
433.					Buy (add'l)	04/25/16	M		
434.					Buy (add'l)	04/26/16	N		
435.					Buy (add'l)	04/27/16	L		
436.					Buy (add'l)	04/28/16	M		
437.					Buy (add'l)	04/29/16	M		
438.					Sold (part)	05/02/16	N		
439.					Buy (add'l)	05/03/16	O		
440.					Sold (part)	05/03/16	N	D	
441.					Buy (add'l)	05/04/16	M		
442.					Sold (part)	05/09/16	O	D	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443.					Buy (add'l)	05/09/16	N		
444.					Sold (part)	05/10/16	N	D	
445.					Buy (add'l)	05/13/16	O		
446.					Buy (add'l)	05/18/16	K		
447.					Sold (part)	05/19/16	P1		
448.					Buy (add'l)	05/19/16	L		
449.					Buy (add'l)	05/27/16	K		
450.					Buy (add'l)	05/31/16	N		
451.					Buy (add'l)	06/01/16	J		
452.					Buy (add'l)	06/06/16	O		
453.					Buy (add'l)	06/07/16	K		
454.					Buy (add'l)	06/14/16	N		
455.					Buy (add'l)	06/16/16	P1		
456.					Sold (part)	06/17/16	N		
457.					Buy (add'l)	06/17/16	M		
458.					Buy (add'l)	06/20/16	O		
459.					Buy (add'l)	06/21/16	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460.					Buy (add'l)	06/22/16	O		
461.					Sold (part)	06/23/16	N		
462.					Buy (add'l)	06/23/16	M		
463.					Buy (add'l)	06/24/16	M		
464.					Sold (part)	06/27/16	P1		
465.					Buy (add'l)	06/27/16	M		
466.					Buy (add'l)	06/28/16	K		
467.					Buy (add'l)	06/29/16	K		
468.					Buy (add'l)	06/30/16	K		
469.					Buy (add'l)	07/01/16	J		
470.					Buy (add'l)	07/05/16	M		
471.					Sold (part)	07/08/16	O		
472.					Buy (add'l)	07/08/16	M		
473.					Sold (part)	07/12/16	K	A	
474.					Sold (part)	07/13/16	O		
475.					Buy (add'l)	07/14/16	J		
476.					Buy (add'l)	07/18/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477.					Sold (part)	07/19/16	N		
478.					Buy (add'l)	07/19/16	J		
479.					Buy (add'l)	07/20/16	J		
480.					Buy (add'l)	07/21/16	K		
481.					Buy (add'l)	07/22/16	J		
482.					Sold (part)	07/25/16	N		
483.					Buy (add'l)	07/25/16	J		
484.					Sold (part)	07/28/16	O		
485.					Sold (part)	08/02/16	N		
486.					Buy (add'l)	08/04/16	L		
487.					Sold (part)	08/04/16	N		
488.					Sold (part)	08/05/16	O		
489.					Buy (add'l)	08/08/16	N		
490.					Sold (part)	08/08/16	N	C	
491.					Buy (add'l)	08/09/16	M		
492.					Sold (part)	08/10/16	J	A	
493.					Sold (part)	08/11/16	N	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494.					Buy (add'l)	08/15/16	O		
495.					Sold (part)	08/15/16	O		
496.					Buy (add'l)	08/16/16	P1		
497.					Buy (add'l)	08/17/16	J		
498.					Sold (part)	08/19/16	O		
499.					Buy (add'l)	08/22/16	O		
500.					Sold (part)	08/23/16	O		
501.					Sold (part)	08/26/16	P1		
502.					Buy (add'l)	08/29/16	N		
503.					Buy (add'l)	08/30/16	J		
504.					Buy (add'l)	08/31/16	J		
505.					Buy (add'l)	09/01/16	K		
506.					Buy (add'l)	09/02/16	J		
507.					Buy (add'l)	09/05/16	N		
508.					Buy (add'l)	09/06/16	K		
509.					Buy (add'l)	09/07/16	K		
510.					Sold (part)	09/08/16	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511.					Buy (add'l)	09/08/16	N		
512.					Buy (add'l)	09/18/16	J		
513.					Sold (part)	09/20/16	O	D	
514.					Buy (add'l)	09/21/16	O		
515.					Sold (part)	09/21/16	O	D	
516.					Buy (add'l)	09/22/16	N		
517.					Sold (part)	09/22/16	O	D	
518.					Buy (add'l)	10/05/16	N		
519.					Sold (part)	10/05/16	N	A	
520.					Buy (add'l)	10/14/16	P1		
521.					Buy (add'l)	10/17/16	K		
522.					Sold (part)	10/18/16	P1		
523.					Buy (add'l)	10/19/16	J		
524.					Sold (part)	10/19/16	N		
525.					Buy (add'l)	10/20/16	K		
526.					Buy (add'l)	10/21/16	M		
527.					Sold (part)	10/21/16	O		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528.					Buy (add'l)	10/25/16	N		
529.					Sold (part)	10/25/16	O	B	
530.					Buy (add'l)	10/26/16	O		
531.					Buy (add'l)	10/28/16	N		
532.					Sold (part)	10/28/16	P1		
533.					Buy (add'l)	10/31/16	P1		
534.					Buy (add'l)	11/01/16	O		
535.					Sold (part)	11/01/16	N		
536.					Buy (add'l)	11/02/16	M		
537.					Sold (part)	11/02/16	M		
538.					Buy (add'l)	11/03/16	J		
539.					Buy (add'l)	11/04/16	N		
540.					Buy (add'l)	11/07/16	N		
541.					Sold (part)	11/09/16	P1		
542.					Buy (add'l)	11/09/16	J		
543.					Sold (part)	11/10/16	N		
544.					Buy (add'l)	11/11/16	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545.					Sold (part)	11/14/16	M		
546.					Buy (add'l)	11/14/16	P1		
547.					Sold (part)	11/15/16	N		
548.					Buy (add'l)	11/17/16	K		
549.					Sold (part)	11/18/16	O	A	
550.					Buy (add'l)	11/18/16	O		
551.					Sold (part)	11/21/16	N	D	
552.					Buy (add'l)	11/21/16	P1		
553.					Sold (part)	11/21/16	O		
554.					Buy (add'l)	11/22/16	N		
555.					Buy (add'l)	11/23/16	O		
556.					Buy (add'l)	11/24/16	O		
557.					Buy (add'l)	11/25/16	O		
558.					Sold (part)	11/25/16	N	A	
559.					Buy (add'l)	11/28/16	P1		
560.					Sold (part)	11/28/16	P1		
561.					Buy (add'l)	11/29/16	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562.					Buy (add'l)	11/30/16	O		
563.					Sold (part)	11/30/16	P1		
564.					Buy (add'l)	12/01/16	N		
565.					Buy (add'l)	12/02/16	K		
566.					Buy (add'l)	12/05/16	N		
567.					Buy (add'l)	12/06/16	O		
568.					Sold (part)	12/06/16	N		
569.					Buy (add'l)	12/07/16	O		
570.					Buy (add'l)	12/08/16	M		
571.					Sold (part)	12/08/16	P1		
572.					Buy (add'l)	12/09/16	O		
573.					Sold (part)	12/09/16	M		
574.					Buy (add'l)	12/12/16	O		
575.					Buy (add'l)	12/13/16	M		
576.					Sold (part)	12/13/16	O		
577.					Buy (add'l)	12/14/16	M		
578.					Sold (part)	12/14/16	O		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579.					Buy (add'l)	12/15/16	J		
580.					Buy (add'l)	12/16/16	L		
581.					Buy (add'l)	12/19/16	N		
582.					Sold (part)	12/19/16	P1		
583.					Buy (add'l)	12/20/16	N		
584.					Sold (part)	12/20/16	O		
585.					Buy (add'l)	12/21/16	L		
586.					Sold (part)	12/21/16	O		
587.					Buy (add'l)	12/23/16	J		
588.					Buy (add'l)	12/27/16	K		
589.					Sold (part)	12/27/16	O		
590.					Buy (add'l)	12/29/16	O		
591.					Sold (part)	12/30/16	O		
592. Alphabet Common Stock		None			Buy	01/06/16	P1		
593.					Sold (part)	01/06/16	O	B	
594.					Buy (add'l)	01/07/16	M		
595.					Sold (part)	01/13/16	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596.					Buy (add'l)	01/19/16	M		
597.					Buy (add'l)	01/20/16	L		
598.					Buy (add'l)	01/27/16	M		
599.					Sold (part)	01/27/16	N	A	
600.					Buy (add'l)	01/28/16	M		
601.					Buy (add'l)	01/29/16	N		
602.					Sold (part)	02/01/16	N	D	
603.					Buy (add'l)	02/03/16	M		
604.					Buy (add'l)	02/04/16	P1		
605.					Buy (add'l)	02/08/16	M		
606.					Sold (part)	02/08/16	N		
607.					Buy (add'l)	02/09/16	M		
608.					Sold (part)	02/09/16	N		
609.					Buy (add'l)	02/10/16	L		
610.					Buy (add'l)	02/11/16	L		
611.					Sold (part)	01/11/16	M		
612.					Buy (add'l)	02/16/16	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613.					Sold (part)	02/16/16	P1		
614.					Buy (add'l)	02/17/16	L		
615.					Sold (part)	02/17/16	O		
616.					Buy (add'l)	02/18/16	P1		
617.					Buy (add'l)	02/19/16	M		
618.					Buy (add'l)	02/22/16	M		
619.					Sold (part)	02/22/16	M	B	
620.					Buy (add'l)	02/23/16	N		
621.					Sold (part)	02/23/16	N		
622.					Sold (part)	02/24/16	M		
623.					Buy (add'l)	02/25/16	M		
624.					Sold (part)	02/25/16	O		
625.					Buy (add'l)	02/26/16	L		
626.					Buy (add'l)	02/29/16	O		
627.					Sold (part)	03/01/16	O	D	
628.					Buy (add'l)	03/03/16	O		
629.					Sold (part)	03/04/16	M	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630.					Buy (add'l)	03/04/16	M		
631.					Buy (add'l)	03/07/16	J		
632.					Buy (add'l)	03/10/16	N		
633.					Sold (part)	03/10/16	P1	B	
634.					Sold	03/11/16	N	D	
635.					Buy	03/15/16	N		
636.					Sold	03/15/16	N	B	
637.					Buy (add'l)	04/04/16	O		
638.					Sold (part)	04/05/16	N		
639.					Sold	04/12/16	N	A	
640.					Buy	04/13/16	P1		
641.					Sold (part)	04/13/16	O		
642.					Buy (add'l)	04/14/16	N		
643.					Sold (part)	04/19/16	N		
644.					Buy (add'l)	04/28/16	N		
645.					Buy (add'l)	05/03/16	O		
646.					Sold (part)	05/10/16	P1		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647.					Buy (add'l)	05/11/16	O		
648.					Sold (part)	05/19/16	N		
649.					Sold (part)	06/17/16	N		
650.					Sold (part)	06/20/16	N		
651.					Sold (part)	06/27/16	N		
652.					Sold	07/08/16	O		
653.					Buy (add'l)	08/24/16	N		
654.					Sold	08/29/16	N	B	
655.					Buy	09/06/16	N		
656.					Sold	09/20/16	N		
657. Home Depot Common Stock		None			Buy	09/13/16	M		
658.					Sold	09/19/16	M	A	
659.					Buy	09/19/16	M		
660.					Sold	09/20/16	M	A	
661. LinkedIn Common Stock		None			Buy	04/29/16	M		
662.					Sold (part)	05/02/16	L	A	
663.					Buy (add'l)	05/03/16	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

664.					Sold	05/09/16	M		
665. Juno Therapeutics Common Stock		None			Buy	07/12/16	M		
666.					Sold (part)	07/13/16	K		
667.					Sold (part)	08/23/16	L		
668.					Sold (part)	08/24/16	L		
669.					Sold	08/25/16	L		
670. Lowes Common Stock	A	Dividend			Buy	08/23/16	M		
671.					Sold	08/24/16	M		
672.					Buy	09/21/16	L		
673.					Sold	10/05/16	L	A	
674.					Buy	10/06/16	M		
675.					Sold	10/25/16	M		
676. Netflix Common Stock		None			Buy	01/06/16	M		
677.					Sold	01/06/16	M	B	
678.					Buy	01/08/16	L		
679.					Sold	01/13/16	L		
680.					Buy	03/16/16	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
681.					Sold	03/16/16	L	B	
682.					Buy	03/24/16	N		
683.					Sold	03/29/16	N	E	
684.					Buy	03/30/16	N		
685.					Buy (add'l)	04/01/16	M		
686.					Sold	04/01/16	O	D	
687.					Buy	04/19/16	L		
688.					Sold	04/29/16	L		
689.					Buy	07/11/16	N		
690.					Buy (add'l)	07/13/16	M		
691.					Sold	07/13/16	O	C	
692.					Buy	08/03/16	M		
693.					Sold	08/04/16	M	B	
694.					Buy	08/10/16	M		
695.					Sold	08/10/16	M	B	
696.					Buy	09/13/16	M		
697.					Sold	09/20/16	M	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698.					Buy	09/21/16	L		
699.					Sold	09/21/16	L	A	
700.					Buy	11/17/16	M		
701.					Buy (add'l)	11/22/16	N		
702.					Sold	11/22/16	N	C	
703. Paypal Common Stock		None			Buy	04/29/16	L		
704.					Sold	05/06/16	L	A	
705. Tesla Motors Commo Stock		None			Buy	01/06/16	M		
706.					Sold	01/06/16	M	A	
707.					Buy	02/22/16	M		
708.					Sold (part)	02/23/16	K	A	
709.					Sold	02/25/16	M	C	
710.					Buy	03/23/16	J		
711.					Buy (add'l)	03/24/16	L		
712.					Sold	03/24/16	M	B	
713.					Buy	03/29/16	M		
714.					Sold	03/29/16	M	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
715.					Buy	03/30/16	M		
716.					Buy (add'l)	03/31/16	M		
717.					Sold (part)	03/31/16	M	C	
718.					Buy (add'l)	04/01/16	N		
719.					Sold (part)	04/04/16	N	D	
720.					Buy (add'l)	04/04/16	N		
721.					Sold	04/05/16	N	E	
722. Twitter Common Stock		None			Buy	01/14/16	L		
723.					Sold	01/14/16	L		
724.					Buy	08/23/16	M		
725.					Buy (add'l)	10/05/16	O		
726.					Sold (part)	10/05/16	M	E	
727.					Buy	10/07/16	M		
728.					Sold (part)	10/28/16	M		
729.					Buy (add'l)	11/02/16	K		
730.					Sold	11/02/16	N		
731.					Buy	11/04/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
732.					Sold (part)	11/04/16	M		
733.					Sold (part)	11/09/16	M		
734.					Sold (part)	12/27/16	L		
735.					Sold	12/30/16	L		
736. Valeant Common Stock		None			Buy	03/02/16	L		
737.					Sold	03/15/16	K		
738.					Buy	03/21/16	J		
739.					Sold	03/21/16	J	A	
740.					Buy	03/30/16	K		
741.					Buy (add'l)	04/07/16	L		
742.					Sold (part)	04/07/16	K	C	
743.					Sold	04/08/16	L		
744.					Buy	05/10/16	K		
745.					Sold	05/10/16	K	A	
746.					Buy	08/23/16	M		
747.					Sold	08/23/16	M	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated		

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 17 of Part VII: Twitter was sold in its entirety and was several months later purchased again. Because it was held at end of year, Columns C(1) and C(2) were filled in.

Line 19 of Part VII: Intel was sold in its entirety at various times and then thereafter purchased. Because it was held at end of year, Columns C(1) and C(2) were filled in.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Naomi R. Buchwald**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544