

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fitzgerald, Michael W.	2. Court or Organization United States District Court, Central District of California	3. Date of Report 05/09/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge; active status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States District Court 312 North Spring Street, Room 1653 Los Angeles, California 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Federal Bar Association, Los Angeles Chapter
2.	Director	Association of Business Trial Lawyers, Los Angeles Chapter
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED1. Association of Business
Trial Lawyers, Los Angeles
Chapter

4/24/15 to 4/26/15

Rancho Palos Verdes, CA

Joint Board Retreat

Lodging

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America - Cash Accounts	A	Interest	J	T					
2.									
3. Brokerage Account #2 (Personal) (H)									
4. American Beacon Bridgeway (BWLXX)	A	Dividend	J	T	Buy	10/09/15	J		
5. AMG Yackman (formerly Yackman Fund Service CL) (YACKX)		None			Sold	10/08/15	J	A	
6. Angel Oak Flexible INCM (ANFIX)	A	Dividend	J	T	Buy	02/03/15	J		
7. Artisan Intl Fund Inv (ARTIX)	A	Dividend	J	T					
8. Aston River Road (ARVIX)		None			Sold	02/02/15	J		
9. Center Coast MLP (CCCNX)	A	Dividend			Sold	04/01/15	J	A	
10. Dodge & Cox Intl Stock (DODFX)	A	Dividend	J	T					
11. Fidelity Contra Fund (FCNTX)	A	Dividend	J	T					
12. FMI Large Cap Fund (FMIHX)	A	Dividend	J	T					
13. JPMorgan Mid Cap Val (FLMVX)	A	Dividend	J	T					
14. Mainstay Marketfield Fd (MFLDX)		None			Sold (part)	02/02/15	J	A	
15.					Sold	04/01/15	J	A	
16. Oakmark Intl Sm Cap (OAKEX)	A	Dividend	J	T					
17. Schwab Amt TF MMF (SWFXX)	A	Dividend	J	T	Sold (part)	06/30/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18.					Sold (part)	11/03/15	J		
19.					Sold (part)	12/15/15	J		
20. Stone Ridge All Asset Variance Risk Prem Com C1 (AVRPX)	A	Dividend	J	T	Buy	04/09/15	J		
21. Stone Ridge Reinsurance (SRRIX)	A	Dividend	J	T					
22. Touchstone Small Cap (TSFYX)	A	Dividend	J	T					
23. Wasatch Emrg Mkt Small (WAEMX)		None			Sold	08/05/15	J	A	
24. Wasatch Frontier Emrg Sm (WAFMX)	A	Dividend	J	T	Buy (add'l)	08/06/15	J		
25.									
26. Brokerage Account #3 (IRA) (H)									
27. AMG Yacktman Fd Svc (YACKX)		None			Sold	10/08/15	M	C	
28. American Beacon Bridgeway Lg Cap Value (BWLYX)	C	Dividend	M	T	Buy	10/09/15	M		
29. American Beacon Gbl Evltn Frontier (AGEYX)	C	Dividend			Sold	12/11/15	L		
30. Angel Oak Flexible Incm (ANFIX)	C	Dividend	L	T	Buy	02/18/15	L		
31. Artisan Intl Fund Inv (ARTIX)	A	Dividend	L	T	Buy (add'l)	03/11/15	K		
32. Ashmore Emrg Mkts (EFEIX)	A	Dividend	K	T	Buy	08/06/15	K		
33. Aston River Road Ind Val Fd I (ARVIX)		None			Sold	02/17/15	K		
34. Dodge & Cox Intl Stock Fund (DODFX)	B	Dividend	L	T	Buy (add'l)	03/11/15	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Fidelity Contra Fund (FCNTX)	D	Dividend	M	T					
36. FMI Large Cap Fund (FMIHX)	D	Dividend	M	T	Sold (part)	01/06/15	J	B	
37. JPMorgan Mid Cap Value Inst (FLMVX)	C	Dividend	K	T					
38. Loomis Sayles Small Cap Growth Fund (LSSIX)		None			Sold	03/10/15	K	D	
39. Mainstay Marketfield Fd Cl I (MFLDX)		None			Sold (part)	02/17/15	K	C	
40.					Sold	04/01/15	J	B	
41. Oakmark Intl Small Cap (OAKEX)	C	Dividend	L	T					
42. Schooner Hedged Alt Incm (SHAIX)	A	Dividend	L	T	Buy	12/14/15	L		
43. Schwab Govt Money Fund (SWGXX)		None	J	T	Buy (add'l)	01/08/15	J		
44.					Sold (part)	01/20/15	J		
45.					Buy (add'l)	04/06/15	J		
46.					Buy (add'l)	04/08/15	L		
47.					Sold (part)	04/17/15	J		
48.					Sold (part)	07/16/15	J		
49.					Sold (part)	10/19/15	J		
50. Stone Ridge All Asset Variance Risk Prem (AVRPX)	B	Dividend	L	T	Buy	04/09/15	L		
51. Stone Ridge Reinsurance Risk Prem Interval (SRRIX)	D	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. Touchstone Small Cap Core Fd (TSFYX)	C	Dividend	K	T					
53. UBS AG Jersey 2040FE (MLPI)	A	Dividend			Sold	04/01/15	L	D	
54. Voya Midcap Oppty Fd I (NMCIX)	C	Dividend	K	T					
55. Wasatch Emrg Mkt Small (WAEMX)		None			Sold	08/05/15	K	B	
56. Wasatch Frontier Emrg Sm (WAFMX)	A	Dividend	K	T					
57.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Michael W. Fitzgerald**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544