

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wallace, Mark S.	2. Court or Organization United States Bankruptcy Court, Central Dist. of California	3. Date of Report 05/06/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 411 West Fourth Street Santa Ana, CA 92701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Revocable Trust
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1991	401(k) Profit Sharing Plan of Stutman, Treister & Glatt PC (self directed, otherwise no control)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Association of Insolvency and Restructuring Advisors	June 6-9, 2012	San Francisco, CA	Annual conference	Transportation, meals, lodging, 5k run, Freedom Trail historic tour
2. National Conference of Bankruptcy Judges	October 24-27, 2012	San Diego, CA	Annual conference	Meals, lodging, 5k run
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit card	J
2.	Wells Fargo Advisors	Liabilities on short sale transactions	K
3.	Jefferies Bache	Liabilities on commodity and futures positions in excess of cash posted for margin	J
4.	Chase Bank	Credit card	J
5.	ADM Investor Services, Inc.	Liabilities on commodity and futures positions in excess of cash posted for margin	J

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Advisors Money Market Fund	A	Dividend	L	T					
2. WDTI units	A	Distribution			Sold	09/14/12	J		
3. US Treasury Bond	A	Interest	K	T					
4. SDD units	A	Distribution	J	T					
5. SRS units	A	Distribution	J	T					
6. Wells Fargo Bank Deposit (x)	A	Interest	K	T					
7. ABX common stock	A	Dividend	J	T					
8. American Century Capital Preservation Fund	A	Dividend	K	T					
9. American Century Global Gold Fund	B	Dividend	K	T					
10. American Capital Capital Preservation Fund	A	Dividend	J	T					
11. UBS USA Deposit Account	A	Interest	K	T					
12. XOM common stock	A	Dividend	J	T					
13. PWE units	A	Dividend	J	T					
14. GDX units	A	Distribution	J	T					
15. NLY units	B	Dividend	J	T					
16. ABX common stock	A	Dividend	J	T					
17. COP common stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. PSX	A	Dividend	J	T	Spinoff (from line 17)	05/01/12	J		
19. ECA common stock	A	Dividend	J	T					
20. iShares Investment Grade Corporate Bond Fund	A	Distribution			Sold	09/14/12	K	C	
21. iShares TIPs Bond Fund	A	Distribution	K	T					
22. Loomis Sayles Investment Grade Bond Fund	A	Distribution	J	T					
23. US Treasury obligations	B	Interest	M	T					
24. Federated Prudent Bear Fund	A	Distribution	L	T					
25. PHYS units		None	J	T					
26. Physical gold		None	M	T					
27. US Double Eagle coins		None	M	T					
28. Physical silver		None	J	T					
29. Bank of America savings account	A	Interest	J	T					
30. Bank of America checking account	A	Interest	K	T					
31. Union Bank checking account	A	Interest	J	T					
32. Chase Bank checking account	A	Interest	J	T					
33. Chase Bank savings account	A	Interest	J	T					
34. VXX units	A	Distribution	J	T					

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35. SDD units	A	Distribution	J	T					
36. ABX common stock	A	Dividend	J	T					
37. XOM common stock	A	Dividend	J	T					
38. US Treasury obligations	A	Interest	K	T					
39. Federated Prudent Bear Fund	A	Distribution	K	T					
40. Vanguard Ginnie Mae Fund	A	Distribution	K	T					
41. Vanguard Inflation Index Fund	A	Distribution	K	T					
42. Rydex Inverse S&P5002x Strategy Fund	A	Distribution	K	T					
43. American Century Capital Preservation Fund	A	Distribution	L	T					
44. UBS U.S. deposit account	A	Distribution	K	T					
45. US. Treasury obligations	A	Interest	K	T	Matured (part)	08/15/12	L	A	
46. Dreyfus 100% US Treasury Money Market Fund	A	Distribution	K	T					
47. Fidelity US Treasury Money Market Fund	A	Distribution	L	T					
48. Rydex US Government Money Market Fund	A	Distribution	K	T					
49. Union Bank VRSA account	A	Interest			Closed	07/27/12	J		
50. US Bank Variable Rate Account	A	Interest	L	T	Buy	07/27/12	J		
51. Jefferies commodities trading account	A	Interest			Closed	09/10/12	K		

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52. ADM Investor Services	A	Distribution	K	T	Buy	09/10/12	K		
53. US Savings Bonds	A	Interest	K	W					
54. Northwestern Mutual Life Insurance Policy	A	Dividend	K	W					
55. LQD units	B	Distribution			Sold	09/14/12	K	C	
56. CXA units	A	Distribution	J	T					
57. MCD common stock	A	Dividend			Sold	05/14/12	K	B	
58. U.S. Treasury obligations (x)	B	Interest	M	T	Buy	03/27/12	P1		
59.					Sold (part)	10/19/12	O	B	
60. 3 March 2012 sugar		None			Sold	10/05/11	J		
61.					Buy	02/24/12	J		
62. 1 March 2012 natural gas		None			Buy	10/17/11	J		
63.					Sold	01/05/12			
64. DIA units	A	Distribution			Buy	10/25/11	K		
65.					Sold	02/03/12	K	A	
66. 1 February 2012 crude oil		None			Sold	11/07/11	J		
67.					Buy	01/05/12	J		
68. 3 September 2012 Eurodollars		None			Sold	11/16/11	J		

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69.					Buy	06/01/12	J		
70. 2 May 2012 orange juice		None			Sold	11/23/11	J		
71.					Buy	04/02/12	J	B	
72. 1 March 2012 coffee		None			Sold	11/23/11	J		
73.					Buy	03/15/12	J	D	
74. 1 February 2012 crude oil		None			Sold	11/25/11	J		
75.					Buy	02/15/12	J		
76. 3 July soybean oil		None			Sold	12/29/11	J	D	
77.					Buy	06/13/12	J		
78. 4 March 2012 oats		None			Sold	11/30/11	J		
79.					Buy	02/22/12	J		
80. 1 March natural gas		None			Buy	12/14/11	J		
81.					Sold	01/05/12	J		
82. 6 March 2012 Dollar Indexes		None			Buy	12/13/11	J		
83.					Sold	03/09/12	J		
84. 2 March 2012 emini S&P		None			Sold	12/13/11	J		
85.					Buy	03/15/12	J		

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86. 1March 2012 emini S&P		None			Sold	01/06/12	J		
87.					Buy	03/15/12	J		
88. 1 May copper		None			Sold	01/12/12	J		
89.					Buy	05/04/12	J		
90. 1 May Natural gas		None			Buy	01/12/12	J		
91.					Sold	04/20/12	J		
92. 1 March copper		None			Sold	01/25/12	J		
93.					Buy	03/09/12	J	A	
94. SDD units	A	Int./Div.	J	T	Buy	02/16/12	J		
95. 4 July oats		None			Sold	02/22/12	J		
96.					Buy	05/29/12	J	D	
97. 2 June emini S&P		None			Sold	03/15/12	J		
98.					Buy	06/04/12	J	D	
99. 1 May copper		None			Sold	03/09/12	J		
100.					Buy	05/04/12	J	B	
101. 6 June Dollar index		None			Buy	03/09/12	J		
102.					Sold	06/05/12	J	D	

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103. 1 July coffee		None			Sold	01/31/12	J		
104.					Buy	03/15/12	J	D	
105. ☐ CHK	A	Dividend	J	T					
106. 1 July bean		None			Sold	04/03/12	J		
107.					Buy	06/15/12	J	B	
108. 2 June emini S&P		None			Sold	04/03/12	J		
109.					Buy	06/15/12	J	D	
110. ☐ PNRA		None			Sold	5/3/2012	J		
111. 1 September natural gas		None			Buy	04/20/12	J		
112.					Sold	08/09/12	J	D	
113. 2 December copper		None			Sold	05/04/12	J		
114.					Buy	06/04/12	K	E	
115. 1 September natural gas		None			Buy	05/04/12	J		
116.					Sold	06/07/12	J		
117. ☐ CORT	A	Dividend	J	T	Buy	05/08/12	J		
118. 1 December coffee		None			Sold	05/14/12	J		
119.					Buy	06/26/12	J	D	

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120. 1 June emini S&P		None			Sold	05/22/12	J		
121.					Buy	06/26/12	J	A	
122. 1 September natural gas		None			Buy	05/23/12	J		
123.					Sold	06/07/12	J		
124. 2 December meal		None			Sold	05/30/12	J		
125.					Buy	08/30/12	J		
126. 1 September natural gas		None			Buy	05/30/12	J		
127.					Sold	06/07/12	J		
128. 5 December 2013 Eurodollars		None	J	T	Sold	06/01/12	J		
129. 4 September emini S&P		None			Sold	06/07/12	J		
130.					Buy	09/14/12	J		
131. 2 September Treasury bonds		None			Sold	06/04/12	J		
132.					Buy	06/05/12	J	A	
133. 7 December dollar index		None			Buy	06/05/12	J		
134.					Sold (part)	09/13/12	J		
135.					Sold (part)	09/14/12	J		
136. 3 December oats		None			Sold	06/11/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy	11/16/12	J		
138. 1 December copper		None			Sold	06/11/12	J		
139.					Buy	09/14/12	J		
140. 3 December soybean oil		None			Sold	06/13/12	J		
141.					Buy	09/14/12	J		
142. 1 November soybean		None			Sold	06/15/12	J		
143.					Buy	08/30/12	J		
144. 1 August gold		None			Sold	06/01/12	J		
145.					Buy	07/09/12	J	B	
146. Prudent Bear Fund	A	Distribution	K	T	Buy	06/15/12	K		
147. 2 December meal		None			Sold	06/21/12	J		
148.					Buy	09/14/12	J		
149. 1 November soybean		None			Sold	07/11/12	J		
150.					Buy	09/07/12	J		
151. PCLN		None			Sold	07/24/12	J		
152. NEM	A	Dividend	K	T	Buy	08/29/12	K		
153. BGEIX	A	Distribution	K	T	Buy	9/14/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. 1 December mini Silver		None			Buy	09/14/12	J		
155.					Sold	11/28/12	J		
156. 1 April natural gas		None	J	T	Buy	08/09/12	J		
157. TBT units		None	J	T	Buy	09/14/12	J		
158. SDD units	A	Distribution	J	T	Buy (add'l)	11/02/12	J		
159. TBTunits	A	Distribution	J	T	Buy (add'l)	11/02/12	J		
160. 2 March oats		None	J	T	Sold	11/16/12	J		
161. 1 March dollar index		None	J	T	Buy	11/29/12	J		
162. 1 April natural gas		None	J	T	Buy	12/11/12	J		
163. 1 March mini Silver		None	J	T	Buy	11/28/12	J		
164.									
165.									
166.									
167.									
168.									
169.									
170.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

171.									
172.									
173.									
174.									
175.									
176.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Many of the reported transactions are short sales. In such a transaction, the sale precedes the purchase. Gain or loss is realized when the short sale is closed out by purchasing the stock or commodity that was sold short. The audit function in the software fails to take these short sales into account and therefore generates a host of misleading "error" messages. For example, if a June emini S&P contract is sold on April 10, 2012 and bought on June 1, 2012, the transaction closes out on June 1, 2012 and nothing is owned as of the close of the reporting period on December 31, 2012.

Many of the reported transactions involve commodity, currency index or stock index futures contracts. In such a transaction, there is no "purchase price" as such. Instead, the buyer (or seller) posts cash margin to secure his promise to buy or sell at maturity. The liability on futures contracts can vary from day to day based upon daily price limits regarding how much a price for the underlying commodity, currency index or stock index can rise or fall in a single day. Some contracts have no limits. Therefore, the liability theoretically could be infinite.

For open futures positions at the end of the year I have reported the unrealized gain or loss in the contract as of the close of business on December 31, 2012.

Revocable Trust #1 no longer has a beneficial interest in any assets.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mark S. Wallace**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544