

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SARIS, PATTI B.	2. Court or Organization U.S.D.C. MASSACHUSETTS	3. Date of Report 05/11/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT COURT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address J. J. MOAKLEY U.S. COURTHOUSE 1 COURTHOUSE WAY, SUITE 8110 BOSTON, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. CO-TRUSTEE	TRUST #3
2. CO-TRUSTEE	TRUST #4
3. CO-TRUSTEE	TRUST #5
4. Director	Bottom Line Inc., Boston, MA
5. Director/Officer (resigned position effective 2/1/2011)	Federal Judges Association (FJA)
6. Member Board of Director	21st Century Fund, Brookline High School, Brookline, MA
7. Trustee	Codman Academy Foundation, Dorchester, MA
8. President (resigned position effective 2/1/2011)	Boston Intellectual Property American Inn of Court, MA

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	PRESIDENT AND FELLOWS OF HARVARD COLLEGE, CAMBRIDGE, MA - salary
2. 2011	HARVARD BUSINESS SCHOOL PUBLISHING, MA - Royalties
3. 2011	HIGHVISTA STRATEGIES LLC, MA - Advisory fee
4. 2011	HARVARD BUSINESS SCHOOL INTERACTIVE, INC, MA - seminar compensation

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. FJA	4/12-4/14/2011	Washington, DC	Congressional reception & Board meeting	Transportation, meals & lodging
2. Yale University	4/15-4/16/2011	New Haven, CT	Yale Law School educational seminar speaker	Transportation, meals & lodging
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	RBC Wealth Management	Line of Credit	None
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Mass. St Port Authority, Muni Bonds	C	Interest	L	T					
2. Mass. St G/O Cons Ln Series, Muni Bonds	D	Interest	M	T					
3. Mass. Bay Trans Authority, Municipal Bonds	B	Interest	K	T					
4. Strips TNT, Public Security (IRA)	A	Interest			Matured	02/15/11	L		
5. Venture Investors Fund, LP		None	J	W					
6. Bank of America (formerly Fleet Natl Bank), Bank Accounts	A	Interest	K	T					
7. Realty Assoc Fund IV Corp (y)		None							See Part VIII
8. Realty Assoc Fund IV, LLC		None	M	W					
9. Tucker Anthony Private Equity Fund I, LP	E	Distribution	L	U					
10. TA Conference LLC	E	Distribution	M	W					
11. Realty Associates Fund V, LLC	H1	Distribution	P2	W					
12. Tucker Anthony Private Equity Fund II	F	Distribution	M	W					
13. Mass. Int'l Fin Agy Municipal Bonds	C	Interest	L	T					
14. Tucker Anthony Private Equity Fund III	E	Distribution	M	U					
15. Tucker Anthony Private Equity Technology LP	C	Distribution	L	W					
16. Trust # 5	F	Dividend	P2	T					
17. - Baupost Value Partners LP I									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Kensico Offshore Fd Ltd LP									
19. - Global Fixed Inc Brandywine Inv Tr Mutual Fd					Redeemed (part)	03/31/11	M		
20. - Van Eck Intl Invest Gold-A Mutual Fd					Sold (part)	11/30/11	L		
21. - Dodge & Cox Income Fd mutual Fd					Sold (part)	03/23/11	N	E	
22.					Sold (part)	11/30/11	L	D	
23. - Federated US Treas Cash money market (cash equiv)					Buy (add'l)	01/04/11	P1		
24.					Buy (add'l)	03/02/11	J		
25.					Buy (add'l)	03/24/11	N		
26.					Buy (add'l)	03/28/11	J		
27.					Buy (add'l)	03/30/11	J		
28.					Buy (add'l)	04/01/11	M		
29.					Buy (add'l)	04/04/11	J		
30.					Buy (add'l)	04/27/11	J		
31.					Buy (add'l)	06/29/11	J		
32.					Buy (add'l)	09/16/11	J		
33.					Buy (add'l)	09/26/11	J		
34.					Buy (add'l)	09/29/11	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Buy (add'l)	11/21/11	J		
36.					Buy (add'l)	12/01/11	M		
37.					Buy (add'l)	12/22/11	K		
38.					Buy (add'l)	12/23/11	K		
39.					Sold (part)	01/05/11	K		
40.					Sold (part)	01/11/11	J		
41.					Sold (part)	02/09/11	M		
42.					Sold (part)	02/10/11	J		
43.					Sold (part)	03/10/11	J		
44.					Sold (part)	03/15/11	K		
45.					Sold (part)	03/24/11	O		
46.					Sold (part)	03/29/11	L		
47.					Sold (part)	04/05/11	O		
48.					Sold (part)	04/06/11	J		
49.					Sold (part)	04/12/11	J		
50.					Sold (part)	04/15/11	J		
51.					Sold (part)	05/02/11	J		

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52.					Sold (part)	05/12/11	O		
53.					Sold (part)	06/01/11	J		
54.					Sold (part)	06/08/11	J		
55.					Sold (part)	07/01/11	J		
56.					Sold (part)	07/05/11	J		
57.					Sold (part)	07/25/11	L		
58.					Sold (part)	08/01/11	J		
59.					Sold (part)	09/01/11	J		
60.					Sold (part)	09/14/11	M		
61.					Sold (part)	10/03/11	J		
62.					Sold (part)	11/01/11	J		
63.					Sold (part)	11/16/11	J		
64.					Sold (part)	12/01/11	M		
65.					Sold (part)	12/09/11	K		
66.	- Vanguard S/T Invest Gr Inv (Mutual fd)				Sold (part)	03/23/11	M	E	
67.	- PIMCO Emerging Mkts Curr Instl (mtl fd)- name chgd 8/16/11				Sold (part)	11/30/11	L		See Part VIII
68.	- SPDR Gold Tr, Mutual Fd (ETF) (y)								See Part VIII

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69. Trust # 8	E	Int./Div.							See Part VIII
70. - Ishares MSCI Brazil Index Fd (mutual fd)					Distributed	12/31/11	L		
71. - SPDR Gold Trust (mutual fd)					Distributed	12/31/11	K		
72. - Federated US Treas Cash Rsv money mrkt (mutual fd)					Buy (add'l)	06/28/11	J		
73.					Buy (add'l)	09/15/11	M		
74.					Buy (add'l)	12/22/11	J		
75.					Sold (part)	01/13/11	L		
76.					Sold (part)	04/07/11	J		
77.					Sold (part)	04/13/11	J		
78.					Sold (part)	06/08/11	J		
79.					Sold (part)	09/07/11	J		
80.					Distributed	12/31/11	M		
81. - Vanguard Developed Mkts Index, mutual fd					Sold	09/14/11	M		
82. - Vanguard Dividend Appre, mutual fd					Distributed	12/31/11	M		
83. - Vanguard Emerging Markets, mutual fd					Distributed	12/31/11	M		
84. Trust # 9	D	Int./Div.	N	T					
85. - Ishares MSCI Brazil Index Fd (mutual fd)					Buy (add'l)	01/10/11	K		

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86. - SPDR Gold Trust (mutual fd)									
87. - Federated US Treas Cash Rsv money mrkt (mutual fd)					Buy (add'l)	06/28/11	J		
88.					Buy (add'l)	09/15/11	M		
89.					Buy (add'l)	12/22/11	J		
90.					Sold (part)	01/13/11	K		
91.					Sold (part)	04/13/11	J		
92. - Vanguard Developed Mkts Index, mutual fd					Sold	09/14/11	M		
93. - Vanguard Dividend Appre, mutual fd									
94. - Vanguard Emerging Markets, mutual fd									
95. Trust # 10		None	N	W					
96. - Travelers Life & Annuity Ins Co, universal life insurance									
97. Trust # 11	A	Dividend	P1	W					
98. - Manulife Fin'l Corp (formerly JHancock Finl Svcs), common									
99. - Sun Life Assurance, universal life insurance									
100. - Manufacturers Life Ins, universal life (John Hancock)									
101. - Federated USTreas Cash Rsv cash eq mututal fd									
102. MA Water Pollution Municipal Bonds	B	Interest	K	T					

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103. Tucker Anthony Private Equity Fund IV	F	Distribution	N	U					
104. IRA Rollover			O	W					
105. - Federated USTreas Cash Rsv cash eq mutual fd	A	Dividend	O	T	Buy (add'l)	08/16/11	O		
106. - Zoom Information Inc Cl B closely held		None	J	W					
107. - Vanguard Inflat Protect mutual fd	D	Dividend			Buy (add'l)	03/31/11	J		
108.					Buy (add'l)	06/30/11	J		
109.					Sold	08/15/11	O	G	
110. Battery Ventures VI L.P.	E	Distribution	L	U					
111. Friends of Lime Rock II L.P.	G	Distribution	K	U					
112. Park St Capl Natural Res Fd L.P.	E	Distribution	N	U					
113. Patron Capital L.P. I	G	Distribution	L	U	Sold (part)	08/22/11	M		
114. US Fidenza LLC		None	K	U					
115. US Wertheim LLC		None	M	U					
116. MFB Northern Trust Co Funds Muni MM cash equiv.	A	Dividend	N	T					See Part VIII
117. Northn AZ Univ Revs Municipal Bond	D	Interest	N	T					
118. Phoenix AZ Civic Impt Municipal Bond	D	Interest	N	T					
119. Trust # 14	C	Distribution	P1	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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SARIS, PATTI B.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Federated USTreas Cash Rsv cash eq mutual fd					Buy (add'l)	04/05/11	J		
121.					Buy (add'l)	05/19/11	J		
122.					Buy (add'l)	08/22/11	J		
123.					Sold (part)	04/06/11	J		
124.					Sold (part)	06/08/11	J		
125.					Sold (part)	09/07/11	J		
126. - Int in MECM Investments LLC (closely held) (x)									
127. Trust # 15	C	Distribution	P1	T					
128. - Federated USTreas Cash Rsv cash eq mutual fd					Buy (add'l)	04/05/11	J		
129.					Buy (add'l)	05/19/11	J		
130.					Buy (add'l)	08/22/11	J		
131.					Sold (part)	04/06/11	J		
132.					Sold (part)	06/08/11	J		
133.					Sold (part)	09/07/11	J		
134. - Int in MECM Investments LLC (closely held) (x)									
135. Trust # 16	C	Distribution	P1	T					
136. - Federated USTreas Cash Rsv cash eq mutual fd					Buy (add'l)	04/05/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	05/19/11	J		
138.					Buy (add'l)	08/22/11	J		
139.					Sold (part)	04/06/11	J		
140.					Sold (part)	06/08/11	J		
141.					Sold (part)	09/07/11	J		
142. - Int in MECM Investments LLC (closely held) (x)									
143. Trust # 17	C	Distribution	P1	T					
144. - Federated USTreas Cash Rsv cash eq mutual fd					Buy (add'l)	04/05/11	J		
145.					Buy (add'l)	05/19/11	J		
146.					Buy (add'l)	08/22/11	J		
147.					Sold (part)	04/06/11	J		
148.					Sold (part)	06/08/11	J		
149.					Sold (part)	09/07/11	J		
150. - Int in MECM Investments LLC (closely held) (x)									
151. Park St Capital V LP	G	Distribution	O	U					
152. Plymouth Rock Co Inc OC Cl A - common stock	G	Dividend	P2	T	Distributed (part)	05/31/11	P1		See Part VIII
153. CA St Municipal Bds	D	Interest			Sold (part)	07/01/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold	09/01/11	M		
155. Buenos Aires Residential Co II LLC	A	Distribution			Redeemed	05/24/11	K		See Part VIII
156. Friends of Lime Rock III LP		None	O	U	Buy (add'l)	11/28/11	K		
157. Highfields Capital II LP	G	Distribution	O	U					
158. Millgate Partners II, LP	F	Distribution			Sold	08/22/11	P1		
159. WMD, LP (y)		None							See Part VIII
160. Park St Natural Resource Fund II Combined (Private Equity)	G	Distribution	O	U	Buy (add'l)	03/21/11	J		
161.					Redeemed (part)	06/22/11	J		
162. Park Street Capital VI (Private Equity Fd)	G	Distribution	O	U					
163. Patron Capital LP II (private equity)	E	Distribution	P1	U	Buy (add'l)	03/16/11	K		
164. Orion European Real Estate Fd II, C.V., LP		None	N	U	Buy (add'l)	08/08/11	K		
165. US Dublin LLC		None	L	U					
166. Europa Fund II US LP	G	Distribution	O	W	Buy (add'l)	07/26/11	J		
167. Weston Presidio V LP	F	Distribution	N	U	Buy (add'l)	04/25/11	J		
168.					Buy (add'l)	12/14/11	L		
169. IL St Municipal Bds	E	Interest	N	T					
170. NJ Dev Auth Municipal Bds	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Trust # 18	E	Distribution	P1	T					
172. - Federated USTreas Cash Rsv cash eq mutual fd					Buy (add'l)	04/05/11	J		
173.					Buy (add'l)	05/19/11	J		
174.					Sold (part)	02/18/11	J		
175.					Sold (part)	04/01/11	J		
176.					Sold (part)	06/08/11	J		
177.					Sold (part)	08/22/11	J		
178.					Sold (part)	09/07/11	J		
179.					Sold (part)	12/22/11	J		
180. - Int in MECM Investments LLC (closely held)									
181. Trust # 19	E	Distribution	P1	T					
182. - Federated USTreas Cash Rsv cash eq mutual fd					Buy (add'l)	04/05/11	J		
183.					Buy (add'l)	05/19/11	J		
184.					Sold (part)	02/18/11	J		
185.					Sold (part)	04/01/11	J		
186.					Sold (part)	06/08/11	J		
187.					Sold (part)	08/22/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold (part)	09/07/11	J		
189.					Sold (part)	12/22/11	J		
190. - Int in MECM Investments LLC (closely held)									
191. Trust # 20	E	Distribution	P1	T					
192. - Federated USTreas Cash Rsv cash eq mutual fd					Buy (add'l)	04/05/11	J		
193.					Buy (add'l)	05/19/11	J		
194.					Sold (part)	02/18/11	J		
195.					Sold (part)	04/01/11	J		
196.					Sold (part)	06/08/11	J		
197.					Sold (part)	08/22/11	J		
198.					Sold (part)	09/07/11	J		
199.					Sold (part)	12/22/11	J		
200. - Int in MECM Investments LLC (closely held)									
201. Trust # 21	E	Distribution	P1	T					
202. - Federated USTreas Cash Rsv cash eq mutual fd					Buy (add'l)	04/05/11	J		
203.					Buy (add'l)	05/19/11	J		
204.					Sold (part)	02/18/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold (part)	04/01/11	J		
206.					Sold (part)	06/08/11	J		
207.					Sold (part)	08/22/11	J		
208.					Sold (part)	09/07/11	J		
209.					Sold (part)	12/22/11	J		
210. - Int in MECM Investments LLC (closely held)									
211. TA Investors L.P		None	J	W					
212. Wavemark Inc Ser A pfd stock		None	N	T					
213. Centerbridge Cap Ptnrs LPs (Priv. Eq)	G	Distribution	P1	U	Buy (add'l)	12/31/11	M		
214. Lime Rock Partners IV LP (combined private equities)	D	Distribution	P1	U	Buy (add'l)	02/11/11	K		
215.					Buy (add'l)	04/20/11	K		
216.					Buy (add'l)	05/26/11	J		
217.					Buy (add'l)	06/29/11	J		
218.					Buy (add'l)	12/27/11	K		
219. Park St Cap Priv Eq Fd VII A LP	E	Distribution	N	U	Buy (add'l)	09/28/11	J		
220. Park St Cap Natural Res Fd III LP combined (priv Eq)	F	Distribution	P1	U	Buy (add'l)	02/02/11	K		
221.					Buy (add'l)	05/13/11	K		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	08/08/11	K		
223.					Buy (add'l)	10/18/11	K		
224. Polaris Vent Part Entrep Fd V LP	F	Distribution	O	U	Buy (add'l)	01/26/11	J		
225.					Buy (add'l)	02/25/11	K		
226.					Buy (add'l)	04/15/11	K		
227.					Buy (add'l)	05/17/11	K		
228.					Buy (add'l)	07/20/11	K		
229.					Buy (add'l)	10/11/11	K		
230. Summit Ptns Priv Eq Fd VII-A	F	Distribution	O	U	Buy (add'l)	03/28/11	K		
231.					Buy (add'l)	05/13/11	K		
232.					Buy (add'l)	06/17/11	K		
233.					Buy (add'l)	08/19/11	K		
234.					Buy (add'l)	09/02/11	L		
235. TA X LP (Priv Eq)	G	Distribution	P1	U					
236. SPDR Gold Tr (formerly Streettracks Gold Trust) mutual fd		None	P1	T	Sold (part)	06/27/11	P1	G	
237. Realty Assoc Fd V Corp (REIT)	A	Dividend	J	W					
238. Maricopa Cty, AZ Municipal bds	D	Interest	N	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Minneapolis, MN Municipal bds	D	Interest	M	T					
240. San Antonio, TX Municipal bds	D	Interest			Sold	05/16/11	N		
241. Sweetwater, WY Municipal bds	C	Interest			Sold	06/15/11	M		
242. University Idaho Municipal bds	D	Interest	M	T					
243. Walled Lake, MI Municipal bds	C	Interest			Sold	05/02/11	M		
244. UBS RMA TaxFree Fd cash eq mutual fd	A	Dividend			Buy	01/04/11	J		
245.					Buy (add'l)	02/02/11	J		
246.					Buy (add'l)	03/02/11	J		
247.					Buy (add'l)	04/04/11	J		
248.					Sold (part)	04/08/11	J		
249.					Buy (add'l)	07/05/11	J		
250.					Sold (part)	07/08/11	J		
251.					Buy (add'l)	08/02/11	J		
252.					Buy (add'l)	09/02/11	J		
253.					Buy (add'l)	10/04/11	J		
254.					Sold	10/12/11	J		
255. Federated US Treas Cash Rsv cash eq mutual fd	A	Dividend	P1	T	Buy (add'l)	01/03/11	P1		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Buy (add'l)	01/04/11	J		
257.					Buy (add'l)	01/05/11	L		
258.					Buy (add'l)	01/06/11	O		
259.					Buy (add'l)	01/07/11	K		
260.					Buy (add'l)	01/14/11	K		
261.					Buy (add'l)	01/18/11	K		
262.					Buy (add'l)	01/19/11	J		
263.					Buy (add'l)	01/21/11	K		
264.					Buy (add'l)	01/26/11	J		
265.					Buy (add'l)	02/02/11	J		
266.					Buy (add'l)	02/08/11	J		
267.					Buy (add'l)	02/10/11	L		
268.					Buy (add'l)	02/15/11	L		
269.					Buy (add'l)	02/18/11	K		
270.					Buy (add'l)	02/28/11	M		
271.					Buy (add'l)	03/01/11	K		
272.					Buy (add'l)	03/02/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273.					Buy (add'l)	03/07/11	K		
274.					Buy (add'l)	03/10/11	M		
275.					Buy (add'l)	03/14/11	L		
276.					Buy (add'l)	03/21/11	L		
277.					Buy (add'l)	03/25/11	J		
278.					Buy (add'l)	03/28/11	K		
279.					Buy (add'l)	03/29/11	M		
280.					Buy (add'l)	03/30/11	K		
281.					Buy (add'l)	03/31/11	K		
282.					Buy (add'l)	04/01/11	L		
283.					Buy (add'l)	04/04/11	J		
284.					Buy (add'l)	04/07/11	J		
285.					Buy (add'l)	04/13/11	K		
286.					Buy (add'l)	04/15/11	J		
287.					Buy (add'l)	04/18/11	J		
288.					Buy (add'l)	04/19/11	J		
289.					Buy (add'l)	04/25/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	04/27/11	M		
291.					Buy (add'l)	04/29/11	L		
292.					Buy (add'l)	05/02/11	K		
293.					Buy (add'l)	05/03/11	J		
294.					Buy (add'l)	05/06/11	K		
295.					Buy (add'l)	05/11/11	J		
296.					Buy (add'l)	05/13/11	K		
297.					Buy (add'l)	05/23/11	K		
298.					Buy (add'l)	05/24/11	J		
299.					Buy (add'l)	05/25/11	N		
300.					Buy (add'l)	06/01/11	J		
301.					Buy (add'l)	06/02/11	J		
302.					Buy (add'l)	06/02/11	J		
303.					Buy (add'l)	06/03/11	J		
304.					Buy (add'l)	06/10/11	K		
305.					Buy (add'l)	06/14/11	K		
306.					Buy (add'l)	06/16/11	O		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

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05/11/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Buy (add'l)	06/20/11	L		
308.					Buy (add'l)	06/23/11	K		
309.					Buy (add'l)	06/24/11	J		
310.					Buy (add'l)	06/27/11	K		
311.					Buy (add'l)	06/29/11	M		
312.					Buy (add'l)	06/30/11	N		
313.					Buy (add'l)	07/05/11	J		
314.					Buy (add'l)	07/07/11	K		
315.					Buy (add'l)	07/08/11	M		
316.					Buy (add'l)	07/11/11	N		
317.					Buy (add'l)	07/12/11	P1		
318.					Buy (add'l)	07/15/11	J		
319.					Buy (add'l)	07/18/11	J		
320.					Buy (add'l)	07/19/11	J		
321.					Buy (add'l)	07/21/11	J		
322.					Buy (add'l)	07/25/11	J		
323.					Buy (add'l)	07/27/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$5,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324.					Buy (add'l)	08/02/11	K		
325.					Buy (add'l)	08/08/11	L		
326.					Buy (add'l)	08/10/11	P1		
327.					Buy (add'l)	08/11/11	M		
328.					Buy (add'l)	08/16/11	P1		
329.					Buy (add'l)	08/18/11	J		
330.					Buy (add'l)	08/23/11	M		
331.					Buy (add'l)	08/30/11	J		
332.					Buy (add'l)	09/02/11	N		
333.					Buy (add'l)	09/06/11	J		
334.					Buy (add'l)	09/08/11	K		
335.					Buy (add'l)	09/15/11	K		
336.					Buy (add'l)	09/16/11	M		
337.					Buy (add'l)	09/22/11	J		
338.					Buy (add'l)	09/26/11	K		
339.					Buy (add'l)	09/29/11	L		
340.					Buy (add'l)	09/30/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SARIS, PATTI B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Buy (add'l)	10/03/11	K		
342.					Buy (add'l)	10/04/11	L		
343.					Buy (add'l)	10/05/11	J		
344.					Buy (add'l)	10/06/11	K		
345.					Buy (add'l)	10/12/11	J		
346.					Buy (add'l)	10/13/11	M		
347.					Buy (add'l)	10/28/11	K		
348.					Buy (add'l)	10/31/11	J		
349.					Buy (add'l)	11/02/11	K		
350.					Buy (add'l)	11/07/11	J		
351.					Buy (add'l)	11/09/11	L		
352.					Buy (add'l)	11/15/11	J		
353.					Buy (add'l)	11/16/11	J		
354.					Buy (add'l)	11/17/11	J		
355.					Buy (add'l)	12/01/11	J		
356.					Buy (add'l)	12/02/11	K		
357.					Buy (add'l)	12/05/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

358.					Buy (add'l)	12/06/11	K		
359.					Buy (add'l)	12/09/11	K		
360.					Buy (add'l)	12/14/11	J		
361.					Buy (add'l)	12/19/11	P1		
362.					Buy (add'l)	12/20/11	P1		
363.					Buy (add'l)	12/21/11	J		
364.					Buy (add'l)	12/22/11	M		
365.					Buy (add'l)	12/23/11	L		
366.					Buy (add'l)	12/27/11	M		
367.					Buy (add'l)	12/28/11	K		
368.					Buy (add'l)	12/29/11	K		
369.					Buy (add'l)	12/30/11	J		
370.					Sold (part)	01/05/11	J		
371.					Sold (part)	01/07/11	P1		
372.					Sold (part)	01/10/11	J		
373.					Sold (part)	01/11/11	M		
374.					Sold (part)	01/13/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375.					Sold (part)	01/25/11	J		
376.					Sold (part)	01/28/11	L		
377.					Sold (part)	01/31/11	J		
378.					Sold (part)	02/01/11	K		
379.					Sold (part)	02/03/11	J		
380.					Sold (part)	02/09/11	L		
381.					Sold (part)	02/10/11	K		
382.					Sold (part)	02/14/11	J		
383.					Sold (part)	02/16/11	J		
384.					Sold (part)	02/17/11	K		
385.					Sold (part)	02/22/11	J		
386.					Sold (part)	02/23/11	J		
387.					Sold (part)	02/24/11	J		
388.					Sold (part)	02/25/11	L		
389.					Sold (part)	03/02/11	J		
390.					Sold (part)	03/04/11	J		
391.					Sold (part)	03/08/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Sold (part)	03/09/11	K		
393.					Sold (part)	03/10/11	K		
394.					Sold (part)	03/11/11	J		
395.					Sold (part)	03/15/11	J		
396.					Sold (part)	03/16/11	K		
397.					Sold (part)	03/17/11	J		
398.					Sold (part)	03/18/11	J		
399.					Sold (part)	03/22/11	K		
400.					Sold (part)	03/23/11	J		
401.					Sold (part)	03/24/11	J		
402.					Sold (part)	03/28/11	K		
403.					Sold (part)	03/29/11	J		
404.					Sold (part)	04/06/11	K		
405.					Sold (part)	04/11/11	J		
406.					Sold (part)	04/12/11	K		
407.					Sold (part)	04/13/11	J		
408.					Sold (part)	04/14/11	O		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Sold (part)	04/15/11	K		
410.					Sold (part)	04/18/11	J		
411.					Sold (part)	04/20/11	K		
412.					Sold (part)	04/21/11	J		
413.					Sold (part)	04/25/11	L		
414.					Sold (part)	04/26/11	J		
415.					Sold (part)	04/28/11	K		
416.					Sold (part)	05/02/11	K		
417.					Sold (part)	05/03/11	J		
418.					Sold (part)	05/04/11	L		
419.					Sold (part)	05/09/11	K		
420.					Sold (part)	05/10/11	J		
421.					Sold (part)	05/12/11	K		
422.					Sold (part)	05/13/11	K		
423.					Sold (part)	05/16/11	J		
424.					Sold (part)	05/17/11	K		
425.					Sold (part)	05/18/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426.					Sold (part)	05/19/11	J		
427.					Sold (part)	05/20/11	N		
428.					Sold (part)	05/23/11	J		
429.					Sold (part)	05/25/11	J		
430.					Sold (part)	05/26/11	L		
431.					Sold (part)	05/31/11	J		
432.					Sold (part)	06/01/11	K		
433.					Sold (part)	06/03/11	J		
434.					Sold (part)	06/06/11	J		
435.					Sold (part)	06/07/11	M		
436.					Sold (part)	06/08/11	M		
437.					Sold (part)	06/09/11	O		
438.					Sold (part)	06/10/11	J		
439.					Sold (part)	06/13/11	J		
440.					Sold (part)	06/15/11	J		
441.					Sold (part)	06/27/11	K		
442.					Sold (part)	06/28/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443.					Sold (part)	06/29/11	P1		
444.					Sold (part)	06/30/11	P1		
445.					Sold (part)	07/01/11	P1		
446.					Sold (part)	07/05/11	L		
447.					Sold (part)	07/06/11	J		
448.					Sold (part)	07/08/11	L		
449.					Sold (part)	07/13/11	L		
450.					Sold (part)	07/19/11	J		
451.					Sold (part)	07/20/11	M		
452.					Sold (part)	07/22/11	K		
453.					Sold (part)	07/25/11	K		
454.					Sold (part)	07/26/11	K		
455.					Sold (part)	07/28/11	J		
456.					Sold (part)	07/29/11	P1		
457.					Sold (part)	08/01/11	K		
458.					Sold (part)	08/02/11	K		
459.					Sold (part)	08/04/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460.					Sold (part)	08/05/11	K		
461.					Sold (part)	08/09/11	J		
462.					Sold (part)	08/11/11	J		
463.					Sold (part)	08/12/11	P1		
464.					Sold (part)	08/15/11	J		
465.					Sold (part)	08/19/11	K		
466.					Sold (part)	08/22/11	J		
467.					Sold (part)	08/26/11	J		
468.					Sold (part)	09/01/11	K		
469.					Sold (part)	09/02/11	O		
470.					Sold (part)	09/08/11	L		
471.					Sold (part)	09/09/11	J		
472.					Sold (part)	09/12/11	J		
473.					Sold (part)	09/13/11	J		
474.					Sold (part)	09/14/11	L		
475.					Sold (part)	09/20/11	J		
476.					Sold (part)	09/22/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477.					Sold (part)	09/27/11	O		
478.					Sold (part)	09/28/11	M		
479.					Sold (part)	09/29/11	J		
480.					Sold (part)	10/01/11	J		
481.					Sold (part)	10/03/11	J		
482.					Sold (part)	10/05/11	L		
483.					Sold (part)	10/06/11	L		
484.					Sold (part)	10/07/11	J		
485.					Sold (part)	10/11/11	L		
486.					Sold (part)	10/12/11	J		
487.					Sold (part)	10/14/11	M		
488.					Sold (part)	10/17/11	K		
489.					Sold (part)	10/18/11	J		
490.					Sold (part)	10/20/11	J		
491.					Sold (part)	10/21/11	J		
492.					Sold (part)	10/31/11	K		
493.					Sold (part)	11/01/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494.					Sold (part)	11/03/11	J		
495.					Sold (part)	11/15/11	J		
496.					Sold (part)	11/16/11	J		
497.					Sold (part)	11/18/11	J		
498.					Sold (part)	11/21/11	M		
499.					Sold (part)	11/22/11	J		
500.					Sold (part)	11/28/11	K		
501.					Sold (part)	12/01/11	K		
502.					Sold (part)	12/05/11	J		
503.					Sold (part)	12/06/11	L		
504.					Sold (part)	12/08/11	K		
505.					Sold (part)	12/09/11	J		
506.					Sold (part)	12/12/11	N		
507.					Sold (part)	12/13/11	J		
508.					Sold (part)	12/14/11	K		
509.					Sold (part)	12/15/11	J		
510.					Sold (part)	12/16/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511.					Sold (part)	12/20/11	P1		
512.					Sold (part)	12/27/11	K		
513. Local Motors Series A pfd closely held		None	J	W					
514. PIMCO Emerging Mkts Curr Inst Fd mutual fd-name chgd 8/16/11	E	Dividend	P1	T	Distributed (part)	03/28/11	P1		See Part VIII
515.					Sold (part)	06/27/11	L	F	
516.					Sold (part)	06/29/11	M	E	
517.					Sold (part)	08/09/11	P1	F	
518. Van Eck Intl Invest Gold A mutual fd	F	Dividend	P1	T	Sold (part)	06/28/11	P1	F	
519. Hephaestion II LP priv eq	G	Distribution	P1	U	Buy (add'l)	09/27/11	N		
520. Hephaestion Retail LP priv eq	D	Distribution	O	U	Buy (add'l)	07/06/11	J		
521.					Buy (add'l)	09/28/11	M		
522. Portland Global Real Est Sec Fd LP		None	K	U					
523. Blackstone Distressed Securities Fd LP	D	Distribution	K	U					
524. Penta Asia Domestic Partners LP		None	P1	U	Buy (add'l)	06/29/11	O		
525. PSC Luxembourg Hldgs LLC		None	K	U					
526. Trust # 22	G	Distribution	P2	W					
527. - Int in MECM Assoc II LLC closely held									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
528. - Hephaestion LP					Buy (add'l)	09/28/11	L		
529.					Buy (add'l)	12/27/11	J		
530. - Hephaestion Co-invest I LP					Buy (add'l)	09/28/11	J		
531. - Porus Invst Hldg Co Ltd LP									
532. - Xander Master Fund LLC									
533. - Federated US Treas Cash reserve money mrkt mutual fd					Buy (add'l)	02/09/11	J		
534.					Buy (add'l)	02/16/11	K		
535.					Buy (add'l)	04/01/11	N		
536.					Buy (add'l)	04/13/11	J		
537.					Buy (add'l)	08/30/11	J		
538.					Buy (add'l)	10/18/11	M		
539.					Sold (part)	05/25/11	N		
540.					Sold (part)	09/28/11	L		
541.					Sold (part)	12/27/11	J		
542. Trust # 23	D	Int./Div.	P1	T					
543. - SPDR Gold Tr mutual fund									
544. - Federated US Treas Cash Rsv mutual fund					Buy (add'l)	04/05/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545.					Buy (add'l)	05/19/11	J		
546.					Buy (add'l)	10/26/11	J		
547.					Sold (part)	04/01/11	J		
548.					Sold (part)	09/07/11	J		
549. - Realty Associates Fd IV LLC									
550. - Int in MECM Investments LLC (closely held)									
551. Trust # 24	D	Int./Div.	P1	T					
552. - SPDR Gold Tr mutual fund									
553. - Federated US Treas Cash Rsv mutual fund					Buy (add'l)	04/05/11	J		
554.					Buy (add'l)	05/19/11	J		
555.					Buy (add'l)	10/26/11	J		
556.					Sold (part)	04/01/11	J		
557.					Sold (part)	09/07/11	J		
558. - Realty Associates Fd IV LLC									
559. - Int in MECM Investments LLC (closely held)									
560. Trust # 25	D	Int./Div.	P1	T					
561. - SPDR Gold Tr mutual fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P4 = More than \$50,000,000		
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. - Federated US Treas Cash Rsv mutual fund					Buy (add'l)	04/05/11	J		
563.					Buy (add'l)	05/19/11	J		
564.					Buy (add'l)	10/26/11	J		
565.					Sold (part)	04/01/11	J		
566.					Sold (part)	09/07/11	J		
567. - Realty Associates Fd IV LLC									
568. - Int in MECM Investments LLC (closely held)									
569. Trust # 26	D	Int./Div.	P1	T					
570. - SPDR Gold Tr mutual fund									
571. - Federated US Treas Cash Rsv mutual fund					Buy (add'l)	04/05/11	J		
572.					Buy (add'l)	05/19/11	J		
573.					Buy (add'l)	10/26/11	J		
574.					Sold (part)	04/01/11	J		
575.					Sold (part)	09/07/11	J		
576. - Realty Associates Fd IV LLC									
577. Liveprocess Crop Ser A pfd stock		None	J	T					
578. Neurophage Pharms Inc Series A cv pfd stock		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. Wavemark Inc Ser B pfd stock		None	M	T					
580. Vanguard Inflat Protected mutual fund	D	Dividend			Sold (part)	03/23/11	P1	G	
581.					Sold	06/29/11	P1	F	
582. Meridia Capital LP		None	O	U	Buy (add'l)	03/22/11	K		
583. Meta4 Group Ltd LP		None	J	U	Distributed (part)	07/20/11	J		See Part VIII
584. Elliott Associates, LP		None	P2	U					
585. Hony Capital Fund 2008, LP	G	Distribution	P1	U	Buy (add'l)	03/24/11	L		
586.					Buy (add'l)	04/25/11	L		
587.					Buy (add'l)	06/01/11	K		
588.					Buy (add'l)	06/07/11	M		
589.					Buy (add'l)	06/28/11	L		
590.					Buy (add'l)	07/20/11	M		
591.					Buy (add'l)	10/14/11	M		
592.					Buy (add'l)	11/21/11	M		
593.					Buy (add'l)	12/06/11	L		
594. Line Rock Partners V, LP combined	F	Distribution	P1	U	Buy (add'l)	02/25/11	K		
595.					Buy (add'l)	05/26/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596.					Buy (add'l)	07/22/11	K		
597.					Buy (add'l)	09/08/11	K		
598.					Buy (add'l)	10/05/11	L		
599.					Buy (add'l)	12/31/11	K		
600. Lone Star Fund VI (US), LP	G	Distribution	P1	U					
601. Lone Star Europe Holdings (US), LP	G	Distribution	N	U					
602. Lone Star Real Estate Fund (US), LP	G	Distribution	P1	U					
603. Middle East North Africa Opportunities Fd, LP	D	Distribution	L	U					
604. Portland Capital LLP		None	J	W					
605. MA Health & Ed Municipal Bds	C	Interest	L	T					
606. MECM Associates LLC closely held	A	Dividend	P3	W					
607. - Abrams Capital Partners II LP		None	P1	U					
608. - Baupost Value Partners LP II		None	P1	U					
609. - Brookside Cap Partners Fd LP		None	P1	U	Redeemed (part)	09/30/11	P1		
610.					Redeemed (part)	12/30/11	P1		
611. - Kensico Associates LP		None	P2	U					
612. - Federated US Treas Cash Rsv cash eq mutual fd (x)	A	Dividend	P2	T	Buy	09/30/11	P1		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613.					Buy (add'l)	12/30/11	P1		
614. Auburndale FL Municipal bds	E	Interest	N	T					
615. Berkeley Cty SC Municipal bd	E	Interest	N	T					
616. Birmingham AL Municipal Bds	D	Interest	M	T					
617. Hawaii St Municipal Bds	E	Interest	N	T	Sold (part)	08/01/11	M		
618. Intermountain Pwr Agcy UT Municipal bds	D	Interest			Sold	04/21/11	N	C	
619. Met Trans Auth NY Municipal Bds	E	Interest			Sold	05/24/11	N	E	
620. Washington St Municipal Bds	C	Interest			Sold	01/03/11	M		
621. Anchorage AK Municipal Bds	C	Interest			Sold	03/03/11	N	D	
622. Chatham Cty GA Municipal Bds	C	Interest	M	T					
623. MA St College Bds Municipal Bds	D	Interest	N	T					
624. Trust # 27	D	Int./Div.	O	T					
625. - Ishares MSCI Brazil Index Fd					Buy (add'l)	01/10/11	L		
626. - Int in MECM Investments LLC (closely held)									
627. - Federated US Treas Cash Rsv money mrkt (mutual fd)					Buy (add'l)	02/18/11	J		
628.					Buy (add'l)	06/28/11	J		
629.					Buy (add'l)	09/15/11	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630.					Buy (add'l)	12/22/11	J		
631.					Sold (part)	01/13/11	L		
632.					Sold (part)	03/25/11	J		
633.					Sold (part)	04/11/11	J		
634.					Sold (part)	06/03/11	J		
635.					Sold (part)	07/07/11	J		
636.					Sold (part)	09/02/11	J		
637.					Sold (part)	09/06/11	J		
638.					Sold (part)	12/07/11	J		
639. - Vanguard Developed Mkts Index, mutual fd					Sold	09/14/11	M		
640. - Vanguard Dividend Appre, mutual fd									
641. - Vanguard Emerging Markets, mutual fd									
642. Trust # 28	D	Int./Div.	P1	T					
643. - Ishares MSCI Brazil Index Fd					Buy (add'l)	01/10/11	L		
644. - Int in MECM Investments LLC (closely held)									
645. - Federated US Treas Cash Rsv money mkt (mutual fd)					Buy (add'l)	02/18/11	J		
646.					Buy (add'l)	04/05/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647.					Buy (add'l)	06/28/11	J		
648.					Buy (add'l)	09/15/11	M		
649.					Buy (add'l)	12/22/11	J		
650.					Sold (part)	01/13/11	L		
651.					Sold (part)	04/11/11	J		
652.					Sold (part)	04/18/11	J		
653.					Sold (part)	09/02/11	J		
654. - Vanguard Developed Mkts Index, mutual fd					Sold	09/14/11	M		
655. - Vanguard Dividend Appre, mutual fd									
656. - Vanguard Emerging Markets, mutual fd									
657. Chilton Goba Natural Res Partners LP		None	P1	U	Buy (add'l)	06/21/11	P1		
658. CX Partners Fd Limited (private eq)	C	Distribution	M	U	Buy (add'l)	02/09/11	L		
659.					Buy (add'l)	04/25/11	J		
660.					Redeemed (part)	08/29/11	J		
661. Hephaestion III LP	D	Distribution	P1	U	Buy (add'l)	01/28/11	K		
662.					Buy (add'l)	07/05/11	L		
663.					Buy (add'l)	09/27/11	N		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

664. Mondrian Intl Fixed Income Fd LP	E	Distribution	P1	U	Redeemed (part)	01/03/11	P1		
665. Orion European Real Estate Fd III CV (priv eq)	G	Distribution	O	U	Buy (add'l)	07/25/11	K		
666. RBC (Royal Bank of Canada), bank accounts	E	Interest	P1	T					
667. RBC Dominion Securities Inc	G	Interest	P2	T					
668. - Government of Canada Provincial Treasury Bills					Sold (part)	02/15/11	M	B	
669.					Sold (part)	02/15/11	M	B	
670. - Canada Housing Trust Mtge Bds					Redeemed (part)	02/15/11	M		
671. - Bank of Montreal GIC					Matured	08/08/11	M		
672. - HSBC Bank GIC									
673. - National Bank of Canada GIC									
674. - Royal Bank of Canada GIC					Sold (part)	02/16/11	M	C	
675. - Province of Ontario Notes					Sold (part)	02/15/11	M		
676. - Province of Quebec Notes									
677. - Province of British Columbia Notes (semi- annual package)									
678. - Hydro Quebec notes (int only package)									
679. - RBC Mortgage Corp GIC					Redeemed	12/12/11	L		
680. - Alberta Cap Fin Auth Bonds									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

681. - Financement Quebec Bonds					Buy (add'l)	02/18/11	M		
682. - AGF Rturst GIC (x)					Buy	02/17/11	M		
683. - Homequity Bank GIC (x)					Buy	02/17/11	M		
684. - Laurentian Bank GIC (x)					Buy	02/17/11	M		
685. - Farm Credit Canada Notes (x)					Buy	02/17/11	M		
686. - Ville de Montreal Bds (x)					Buy	02/18/11	M		
687. - Province of New Brunswick, Canada debentures (x)					Buy	02/18/11	M		
688. - Province of Alberta, Canada debentures (x)					Buy	02/18/11	M		
689. - RBMC GIC (x)					Buy	08/09/11	M		
690. Gold boullion		None	P1	T					
691. US Treas TIPS Bds	G	Interest			Sold (part)	07/26/11	O	E	
692.					Sold (part)	08/09/11	P1	G	
693.					Sold	08/15/11	P1	G	
694. MECM Investments LLC (closely held)	G	Int./Div.	P2	W					
695. - Auburndale FL Municipal Bds									
696. - Berkeley Cty SC Municipal Bds									
697. - Easley SC Municipal Bds									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698. - IL St Municipal Bds									
699. - Maine St Municipal Bds									
700. - Met Trans Auth NY Municipal Bds					Sold	05/04/11	M	E	
701. - Mississippi St Municipal Bds					Sold	09/23/11	M	E	
702. - Reg Trans Dist CO Municipal Bds									
703. - Pimco Foreign Bd Fd mutual fd									
704. - Ishares MSCI Brazil Index Fd mutual fd									
705. - SPDR Gold Trust mutual fd					Sold (part)	06/27/11	O	G	
706.					Buy (add'l)	08/09/11	P1		
707.					Sold (part)	08/15/11	P1	E	
708. - Int in MECM Associates II LLC (closely held)									
709. - Anchorage AK Municipal Bds					Sold	03/03/11	M	C	
710. - Chatham Cty GA Municipal Bds									
711. - MA St College Bldg Auth Municipal Bds									
712. - NJ St Trans Municipal Bds									
713. - NY St Municipal Bds (y)									See Part VIII
714. - Chilton Global Natural Res Prtnrs LP									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
715. - PIMCO Emerging Mkts Curr Instl (mtl Fd)-name chgd 8/16/11					Sold (part)	06/16/11	L	D	See Part VIII
716.					Sold (part)	06/29/11	P1	F	
717.					Sold (part)	07/06/11	N	E	
718.					Sold (part)	08/09/11	P1	G	
719. - Gold Bullion					Buy (add'l)	08/16/11	P1		
720. - Federated US Treas Cash Rsv money markt (mutual fd)					Buy (add'l)	01/04/11	K		
721.					Buy (add'l)	02/02/11	J		
722.					Buy (add'l)	02/07/11	J		
723.					Buy (add'l)	02/11/11	J		
724.					Buy (add'l)	02/14/11	J		
725.					Buy (add'l)	02/15/11	J		
726.					Buy (add'l)	02/16/11	J		
727.					Buy (add'l)	02/17/11	J		
728.					Buy (add'l)	02/18/11	J		
729.					Buy (add'l)	02/24/11	J		
730.					Buy (add'l)	02/28/11	J		
731.					Buy (add'l)	03/02/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
732.					Buy (add'l)	03/11/11	P1		
733.					Buy (add'l)	03/18/11	J		
734.					Buy (add'l)	03/21/11	J		
735.					Buy (add'l)	03/23/11	J		
736.					Buy (add'l)	03/28/11	K		
737.					Buy (add'l)	04/04/11	J		
738.					Buy (add'l)	04/21/11	J		
739.					Buy (add'l)	04/26/11	J		
740.					Buy (add'l)	04/29/11	L		
741.					Buy (add'l)	05/03/11	J		
742.					Buy (add'l)	05/05/11	J		
743.					Buy (add'l)	05/06/11	J		
744.					Buy (add'l)	05/12/11	J		
745.					Buy (add'l)	05/13/11	K		
746.					Buy (add'l)	05/19/11	K		
747.					Buy (add'l)	05/31/11	J		
748.					Buy (add'l)	06/02/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749.					Buy (add'l)	06/08/11	J		
750.					Buy (add'l)	06/10/11	J		
751.					Buy (add'l)	06/22/11	J		
752.					Buy (add'l)	06/27/11	K		
753.					Buy (add'l)	06/28/11	J		
754.					Buy (add'l)	06/30/11	P1		
755.					Buy (add'l)	07/01/11	K		
756.					Buy (add'l)	07/05/11	J		
757.					Buy (add'l)	07/07/11	M		
758.					Buy (add'l)	07/18/11	J		
759.					Buy (add'l)	08/02/11	K		
760.					Buy (add'l)	08/10/11	P1		
761.					Buy (add'l)	08/12/11	K		
762.					Buy (add'l)	08/15/11	J		
763.					Buy (add'l)	08/17/11	J		
764.					Buy (add'l)	08/18/11	P1		
765.					Buy (add'l)	08/19/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
766.					Buy (add'l)	08/23/11	K		
767.					Buy (add'l)	08/31/11	K		
768.					Buy (add'l)	09/02/11	J		
769.					Buy (add'l)	09/06/11	J		
770.					Buy (add'l)	09/15/11	P1		
771.					Buy (add'l)	09/26/11	J		
772.					Buy (add'l)	09/30/11	J		
773.					Buy (add'l)	10/04/11	J		
774.					Buy (add'l)	10/12/11	J		
775.					Buy (add'l)	10/21/11	J		
776.					Buy (add'l)	10/25/11	J		
777.					Buy (add'l)	11/02/11	J		
778.					Buy (add'l)	11/03/11	J		
779.					Buy (add'l)	11/09/11	J		
780.					Buy (add'l)	11/10/11	J		
781.					Buy (add'l)	11/14/11	K		
782.					Buy (add'l)	11/16/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
783.					Buy (add'l)	11/17/11	K		
784.					Buy (add'l)	11/18/11	K		
785.					Buy (add'l)	11/30/11	J		
786.					Buy (add'l)	12/02/11	J		
787.					Buy (add'l)	12/20/11	K		
788.					Buy (add'l)	12/22/11	J		
789.					Buy (add'l)	12/29/11	J		
790.					Buy (add'l)	12/30/11	K		
791.					Sold (part)	01/13/11	J		
792.					Sold (part)	02/16/11	J		
793.					Sold (part)	03/14/11	K		
794.					Sold (part)	03/24/11	P1		
795.					Sold (part)	04/05/11	L		
796.					Sold (part)	04/13/11	J		
797.					Sold (part)	04/25/11	J		
798.					Sold (part)	05/04/11	J		
799.					Sold (part)	05/16/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
800.					Sold (part)	05/18/11	J		
801.					Sold (part)	05/19/11	K		
802.					Sold (part)	06/06/11	J		
803.					Sold (part)	06/09/11	J		
804.					Sold (part)	06/15/11	M		
805.					Sold (part)	06/27/11	J		
806.					Sold (part)	06/28/11	J		
807.					Sold (part)	06/30/11	P1		
808.					Sold (part)	07/01/11	P1		
809.					Sold (part)	07/14/11	M		
810.					Sold (part)	07/20/11	J		
811.					Sold (part)	07/25/11	J		
812.					Sold (part)	08/11/11	K		
813.					Sold (part)	08/12/11	P1		
814.					Sold (part)	08/16/11	K		
815.					Sold (part)	08/18/11	P1		
816.					Sold (part)	08/22/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
817.					Sold (part)	08/24/11	J		
818.					Sold (part)	09/20/11	L		
819.					Sold (part)	09/26/11	K		
820.					Sold (part)	10/06/11	J		
821.					Sold (part)	10/07/11	J		
822.					Sold (part)	10/11/11	K		
823.					Sold (part)	10/18/11	M		
824.					Sold (part)	10/20/11	K		
825.					Sold (part)	10/21/11	K		
826.					Sold (part)	11/04/11	J		
827.					Sold (part)	11/15/11	K		
828.					Sold (part)	11/17/11	J		
829.					Sold (part)	11/18/11	M		
830.					Sold (part)	12/05/11	J		
831.					Sold (part)	12/19/11	M		
832.	- Vanguard Developed Mkts Index, mutual fd				Buy (add'l)	07/06/11	P1		
833.					Sold (part)	09/14/11	P1		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
834. - Vanguard Dividend Appre, mutual fd					Buy (add'l)	03/23/11	O		
835.					Sold (part)	09/14/11	P1		
836. - Vanguard Emerging Mkts, mutual fd									
837. - Vanguard 500 Index Fd, mutual fd					Buy (add'l)	03/23/11	N		
838.					Sold	07/06/11	P1	G	
839. - SCP Atlantic Fd, L.P.					Redeemed (part)	03/11/11	P1		
840.					Redeemed	04/29/11	L		
841. - Boardwalk Pipeline Partners LP, PTP					Sold (part)	05/03/11	J	A	
842.					Sold (part)	05/16/11	K		
843.					Sold	08/26/11	K		
844. - Buckeye Partners LP, PTP					Buy	03/21/11	K		
845.					Buy (add'l)	03/31/11	J		
846.					Buy (add'l)	05/02/11	J		
847.					Buy (add'l)	08/10/11	K		
848.					Buy (add'l)	10/24/11	J		
849.					Buy (add'l)	11/30/11	J		
850. - Chesapeake Midstream Partners LP, PTP					Buy (add'l)	03/16/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
851.					Buy (add'l)	05/10/11	K		
852.					Buy (add'l)	08/18/11	K		
853. - Copano Energy LLC, PTP					Sold (part)	02/10/11	J	A	
854.					Sold (part)	02/11/11	J	A	
855.					Sold (part)	03/18/11	J	A	
856.					Buy (add'l)	06/27/11	J		
857. - DCP Midstream Partners LP, PTP					Sold (part)	08/09/11	J	A	
858. - El Paso Pipeline Partners LP, PTP					Buy (add'l)	03/09/11	K		
859.					Buy (add'l)	05/11/11	J		
860.					Buy (add'l)	05/13/11	J		
861.					Buy (add'l)	06/06/11	J		
862.					Buy (add'l)	08/08/11	K		
863. - Enbridge Energy Partners LP, PTP									
864. - Energy Transfer Partners LP, PTP					Buy (add'l)	10/05/11	K		
865. - Energy Transfer LP, PTP					Buy (add'l)	05/09/11	J		
866.					Sold (part)	10/06/11	J		
867. - Enterprise Prods Partners LP, PTP					Buy	04/09/11	J		

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- *Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*
☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
868.					Buy (add'l)	08/04/11	J		
869.					Sold (part)	10/20/11	J	A	
870. - Holly Energy Partners LP, PTP					Sold (part)	04/20/11	J	C	
871. - Inergy LP, PTP					Sold (part)	08/10/11	K		
872. - Kinder Morgan Energy Partners LP, PTP					Sold (part)	02/11/11	J	A	
873.					Buy (add'l)	10/17/11	K		
874. - Kinder Morgan Inc (x) - corp action with line 872					Buy	02/14/11	K		
875.					Buy (add'l)	11/14/11	K		
876. - Kinder Morgan Management LLC, PTP									
877. - Magellan Midstream Partners, PTP					Buy (add'l)	06/22/11	J		
878.					Buy (add'l)	08/11/11	K		
879.					Sold (part)	10/17/11	J	A	
880. - Markwest Energy LP, PTP					Sold (part)	06/01/11	J	B	
881.					Buy (add'l)	06/22/11	J		
882. - Niska Gass Storage, PTP					Sold	05/09/11	K	B	
883. - Nustar Energy LP, PTP					Sold (part)	03/21/11	J	A	
884.					Sold (part)	05/10/11	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
885.					Sold	08/18/11	L	A	
886. - Oneok Partners LP, PTP					Sold (part)	06/03/11	J	A	
887. - PAA Natural Gas Storage LP, PTP					Sold	06/17/11	J		
888. - Plains All American Pipeline LP, PTP					Buy (add'l)	04/19/11	J		
889.					Buy (add'l)	11/09/11	K		
890. - Regency Energy Partners LP, PTP					Buy (add'l)	06/01/11	J		
891.					Buy (add'l)	10/03/11	J		
892. - Spectra Energy Partners LP, PTP					Buy (add'l)	06/01/11	J		
893.					Buy (add'l)	06/23/11	J		
894.					Sold (part)	08/11/11	J		
895. - Sunoco Logistics Partners LP, PTP					Sold (part)	03/16/11	K	B	
896.					Sold (part)	05/02/11	J	B	
897.					Buy (add'l)	06/23/11	J		
898.					Sold (part)	10/18/11	J	B	
899. - TC Pipeline LP, PTP					Buy (add'l)	06/22/11	J		
900.					Sold	08/05/11	K	A	
901. - Targa Resources Partners LP, PTP					Sold (part)	06/07/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
902. - Targa Resources PTP					Sold (part)	02/14/11	J	B	
903.					Sold	03/15/11	J	C	
904. - Weston Gas Partners LP, PTP					Buy (add'l)	05/03/11	K		
905.					Buy (add'l)	08/11/11	K		
906. - Williams Partners PTP					Sold (part)	04/18/11	J	B	
907.					Buy (add'l)	08/17/11	K		
908.					Sold (part)	10/31/11	J	A	
909.					Sold (part)	11/14/11	K	B	
910. - Clearwater FL Wtr & Swr bds, municipal bds					Sold	04/05/11	M	B	
911. - Hawaii St bds, municipal bds									
912. - Intermountain Pwr Agcy Utah Bds, municipal bds					Sold	04/20/11	M	A	
913. - Loudoun Cty VA Bds, municipal bds									
914. - Lower Makefield Twp PA Bds, municipal bds					Sold	08/11/11	M	D	
915. - MA Dept Trans Met Hwy Sys Bd, municipal bds					Sold	02/09/11	M	B	
916. - MA St Dev Fin Agcy Bds, municipal bds									
917. - Osceola Cty FL Sales Tax Rev Bds, municipal bds					Sold	10/13/11	N		
918. - TX St Bds, municipal bds									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
919. - WI St Trans Rev Bds, municipal bds									
920. - Charleston Cty SC municipal bds (x)					Buy	08/18/11	N		
921. - Conn St Gev Rev municipal bds (x)					Buy	03/16/11	M		
922.					Sold	04/21/11	M	A	
923. - Energy Northwest WA Elec Rev municipal bds (x)					Buy	03/10/11	N		
924. - Farmington CT municipal bds (x)					Buy	06/09/11	N		
925. - Frederick Cty MD municipal bds (x)					Buy	10/17/11	M		
926. - Hempstead Town NY municipal bds (x)					Buy	08/03/11	N		
927.					Sold	10/19/11	N	C	
928. - Henrico Cty VA municipal bds (x)					Buy	09/27/11	M		
929. - Long Beach NY municipal bds (x)					Buy	03/11/11	M		
930.					Sold	11/09/11	M	D	
931. - Mecklenburg Cty NC municipal bds (x)					Buy	10/13/11	M		
932. - Pima Cty AZ municipal bds (x)					Buy	12/09/11	N		
933. - Suffolk Cty NY municipal bds (x)					Buy	10/25/11	M		
934. - MF Northern Funds mun money mkt (cash equiv) (x)					Open	12/31/11	N		See Part VIII
935. - Vanguard Energy Fd-Adm (mutual fd) (x)					Buy	06/30/11	P1		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

SARIS, PATTI B.

Date of Report

05/11/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
936.					Buy (add'l)	12/16/11	K		
937. - Lone Star Real Estate Fd II (U.S.), LP (priv equity) (x)					Buy	06/15/11	L		
938.					Buy (add'l)	09/20/11	L		
939.					Buy (add'l)	11/17/11	J		
940.					Buy (add'l)	12/19/11	M		
941. - Lone Star Fund VII (U.S.), LP (priv equity) (a)					Buy	06/15/11	M		
942.					Buy (add'l)	07/14/11	M		
943.					Buy (add'l)	09/26/11	K		
944.					Buy (add'l)	10/18/11	M		
945.					Buy (add'l)	11/18/11	M		
946. - PS Opportunities Onshore LP (priv equity) (x)					Buy	06/30/11	O		
947. - Crestwood Midstream Partners LP (PTP) (x)					Buy	04/29/11	J		
948. - Oiltanking Partners LP (PTP) (x)					Buy	07/14/11	J		
949.					Buy (add'l)	08/19/11	J		
950. - Tesoro Logistics LP (PTP) (x)					Buy	04/20/11	J		
951.					Buy (add'l)	08/10/11	J		
952. MECM Associates II LLC (closely held)	C	Int./Div.	P2	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
953. - Baupost Value Partners LP II									
954. - Elliott Associates LP									
955. - HighVista I LP									
956. - Penta Asia Long Short Fd Ltd LP									
957. - X Investment Holdings co Ltd LP									
958. - Federated US Teas Cash Rsv money market (mutual fd)					Buy (add'l)	06/27/11	J		
959.					Buy (add'l)	09/26/11	J		
960.					Buy (add'l)	12/22/11	J		
961.					Sold (part)	01/07/11	M		
962.					Sold (part)	11/4/11	J		
963. - Vanguard Dividend appre-Inv (mutual fd) (x)					Buy	01/06/11	M		
964. Ishares MSCI Brazil Index Fd (mutual fd)	C	Dividend	M	T					
965. First Republic Bank, Bank account	A	Interest	L	T					
966. Perry Partners, LP (private equity)	D	Dividend	K	U					
967. Local Motors Inc Ser B pfd, closely held stock		None	M	T					
968. Local Motors Inc Ser C pfd, closely held stock		None	L	T					
969. Webcam Generation Inc Ser A pfd stock, closely held		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 ~\$25,000,001 - \$50,000,000 Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	P4 ~More than \$50,000,000 S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
970. Northeast Bancorp (Ticker: NBN - NASDAQ)	D	Dividend	M	T					
971. Highland Capital Partners VIII, LP		None	L	U	Buy (add'l)	01/14/11	J		
972.					Buy (add'l)	03/04/11	J		
973.					Buy (add'l)	03/25/11	J		
974.					Buy (add'l)	05/18/11	K		
975.					Buy (add'l)	11/18/11	J		
976.					Buy (add'l)	12/16/11	J		
977. Hawaii Cnty, HI bds, municipal bds	C	Interest	M	T					
978. Lower Makefield Twp PA Bds, municipal bds	D	Interest			Sold	08/11/11	N	D	
979. MA Dept Trans Met Hwy Sys Bd, municipal bds	D	Interest			Sold	02/09/11	N	B	
980. MN St Bds, municipal bds	D	Interest			Sold	10/27/11	M	B	
981. New Jersey St bds, municipal bds	D	Interest	N	T					
982. TX St Bds, municipal bds	D	Interest	N	T					
983. WI St Trans Rev Bds, municipal bds	D	Interest	N	T					
984. Charleston Cty SC municipal bds (x)	B	Interest	N	T	Buy	08/18/11	N		
985. Colorado Springs CO municipal bds (x)	A	Interest	M	T	Buy	09/20/11	M		
986. Conn St municipal bds (x)	A	Interest			Buy	03/19/11	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
987.					Sold	04/21/11	N	B	
988. Energy Northwest WA municipal bds (x)	B	Interest	N	T	Buy	03/10/11	N		
989. Farmington CT municipal bds (x)	C	Interest	N	T	Buy	06/09/11	N		
990. Hempstead Town NY municipal bds (x)	B	Interest			Buy	08/03/11	N		
991.					Sold	10/19/11	N	D	
992. Long Beach NY municipal bds (x)	B	Interest			Buy	05/11/11	M		
993.					Sold	11/09/11	N	D	
994. MA St Sch Bldg municipal bds (x)		None	M	T	Buy	10/31/11	M		
995. Medina Cty OH municipal bds (x)		None	N	T	Buy	12/13/11	N		
996. Oregon St municipal bds (x)	A	Interest	N	T	Buy	10/28/11	N		
997. Salt River Proj AZ municipal bds (x)		None	M	T	Buy	09/20/11	M		
998. Suffolk Cty NY municipal bds (x)		None	N	T	Buy	10/25/11	N		
999. Sutton, MA municipal bds (x)	D	Interest	N	T	Buy	05/09/11	N		
1000. Vanguard Developed Mkts Index-Invt (mutual fd) (X)	E	Dividend	O	T	Buy	03/23/11	O		
1001. Vanguard Dividend Appre-Inc (mutual fd) (x)	F	Dividend	N	T	Buy	01/06/11	P1		
1002.					Buy (add'l)	03/23/11	P1		
1003.					Distributed (part)	03/28/11	P1		See Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1004.					Distributed (part)	08/12/11	P1		See Part VIII
1005. Vanguard Energy Fd-Adm (mutual fd) (x)	F	Dividend	P1	T	Buy	06/30/11	P1		
1006.					Buy (add'l)	12/16/11	L		
1007. Artemis Real Est Partnrs Fd I LP (x)		None	L	W	Buy	07/20/11	K		
1008.					Buy (add'l)	12/12/11	L		
1009. Merchants Gate Onshore Fd LP (priv equity) (x)		None	P1	U	Buy (add'l)	07/29/11	P1		
1010. Properitas Real Est Partners III LP (private equity) (x)	D	Distribution	N	U	Buy	05/20/11	M		
1011.					Buy (add'l)	07/01/11	J		
1012.					Buy (add'l)	08/18/11	J		
1013.					Buy (add'l)	09/08/11	J		
1014.					Buy (add'l)	10/20/11	J		
1015.					Buy (add'l)	11/21/11	J		
1016.					Buy (add'l)	12/16/11	J		
1017. Properitas Real Est Partners IIIA LP (private equity) (x)	D	Distribution	N	U	Buy	05/20/11	M		
1018.					Buy (add'l)	07/01/11	J		
1019.					Buy (add'l)	08/18/11	J		
1020.					Buy (add'l)	09/08/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1021.					Buy (add'l)	10/20/11	J		
1022.					Buy (add'l)	11/21/11	J		
1023.					Buy (add'l)	12/16/11	J		
1024. Properitas Real Est Partners IIIB LP (private equity) (x)	D	Distribution	N	U	Buy	05/20/11	M		
1025.					Buy (add'l)	07/01/11	J		
1026.					Buy (add'l)	08/18/11	J		
1027.					Buy (add'l)	09/08/11	J		
1028.					Buy (add'l)	10/20/11	J		
1029.					Buy (add'l)	11/21/11	J		
1030.					Buy (add'l)	12/16/11	J		
1031. PS Opportunities Onshore LP (priv equity) (x)		None	P1	U	Buy	06/30/11	P1		
1032. Liveprocess Corp CV Note 6% due 8/2/17 (x)		None			Buy	06/16/11	J		
1033.					Redeemed	08/21/11	J		See Part VIII
1034. Liveprocess Corp Ser B pfd (x)		None	J	W	Spinoff (from line 1033)	08/21/11	J		See Part VIII
1035. Local Motors Inc CV Note 4% due 12/31/99 (x)		None	L	W	Buy	05/06/11	L		
1036. USHI Incorporated ord shs (closely held stock) (x)		None	J	W	Spinoff (from line 583)	07/20/11	J		
1037. UBS Bank USA deb account (cash equiv- IRA asset) (x)	A	Interest	L	T	Buy	02/16/11	L		

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1038. Trust # 29 (x)									
1039. - Vanguard Dividend Appre-Inv (mutual fd) (x)	E	Dividend	P1	T	Open	03/28/11	P1		
1040.					Sold (part)	09/14/11	P1		
1041.					Buy (add'l)	09/22/11	J		
1042.					Buy (add'l)	12/20/11	J		
1043. - PIMCO Emerging Mkts Curr Instl (mutual fd) (x)	D	Dividend	O	T	Open	03/28/11	P1		
1044.					Sold (part)	07/26/11	P1	G	
1045. - Plymouth Rock Co Inc OC Cl A com stock (closely held)(x)	F	Dividend	P1	T	Open	05/31/11	P1		
1046. - Merchants Gate Onshore Fund LP (priv equity) (x)		None	P1	U	Buy	07/29/11	P1		
1047. - Federated Govt Obil Fd-I money mkt (cash equiv) (x)	A	Dividend			Buy	05/03/11	J		
1048.					Buy (add'l)	06/02/11	J		
1049.					Buy (add'l)	06/27/11	J		
1050.					Buy (add'l)	07/05/11	J		
1051.					Buy (add'l)	07/27/11	P1		
1052.					Buy (add'l)	08/02/11	J		
1053.					Sold (part)	07/29/11	P1		
1054.					Sold	09/13/11	J		

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1055. - Federated US Treas Cash Rsv money mkt (x)	A	Dividend	P1	T	Buy	09/13/11	J		
1056.					Buy (add'l)	09/15/11	P1		
1057.					Buy (add'l)	09/16/11	K		
1058. Trust # 30 (x)									
1059. - Vanguard Dividend Appre-Inv (mutual fd) (x)	D	Dividend	P1	T	Open	03/23/11	P1		
1060. - Ptolemy Finance LLC (private equity) (x)		None	P1	U	Buy	08/12/11	P1		See Part VIII
1061. - Federated Govt Obli Fd-I money market (cash equiv) (x)		None	J	T	Buy	09/26/11	J		
1062.					Buy (add'l)	12/22/11	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. Postions -

A. Reference is made to Trust #3 and Trust #4 of which J. Saris is a co-trustee. All assets have been separately reported on the FDR and properly disclosed.

Part VII. Investments and Trusts -

A. Items listed on calendar year 2010 Financial Disclosure Report (FDR) and excluded for calendar year 2011 do not meet the aggregate income, or aggregate value levels outlined in the Financial Disclosure Report Filing Instructions for Judicial Officers and Employees (said instructions referencing Sections 102(a)(3) and 102(a)(1)(B)).

B. 2011 FDR, Part VII, notations -

In Column D(1) Type: "Open" has been used when financial accounts were opened. "Open" has also been used as the "Type" for all in-kind security contributions.

In Column D(1) Type: "Closed" has been used when a separate financial account was closed.

Item # 7: Realty Assoc Fund IV Corp is no longer reportable and will be deleted from 2012 FDR.

Item # 67: PIMCO Developing Local Mkt Fd mutual fund changed its name on 8/16/2011 to PIMCO Emerging Mkt Currency Fd Instl

Item # 68: SPDR Gold Tr mutual fd (ETF) should have been reported as all sold on 12/30/2010. This entry will be deleted on 2012 FDR.

Item # 69: Trust # 8 terminated as of 12/31/2011. This entity and its assets will be deleted from 2012 FDR.

Item # 116: MFB Northern municipal money market daily detail activity was not available at filing. The net increase from buys and sells has been reported to correctly reflect the actual "gross value" (column C(1)) as of 12/31/11.

Item # 152: A portion of Plymouth Rock Co Inc CO Cl A shares were distributed to the new Trust # 29, item 1045.

Item # 155: Buenos Aires Residential Co II LLC received final redemption proceeds in 2011 and therefore has no value as of 12/31/11. There was no gain realized.

Item # 159: WMD LP as of 12/31/2011 was reported as having no value. The asset will be removed from the 2012 FDR.

Item # 514: See Item 67 explanation above re name change.

Item # 514: PIMCO Emerging Mkt Curr Fd shares were distributed to new Trust # 29, item 1043.

Item # 583: Meta4 made an in-kind distribution of USHI closely held stock which has been reported as item 1036.

Item # 713: NY St municipal bonds should have been reported as all sold on 6/23/2010. This entry will be deleted on 2012 FDR.

Item # 715: See Item 67 explanation above re name change.

Item # 934: MFB Northern municipal money market daily detail activity was not available at filing. The asset value as of 12/31/11 held in MECM Investments LLC has been reported in Columns D(3).

Item #1003: Distribution of shares to new Trust #29, item 1039.

Item #1004: Distribution of shares to new Trust # 30, item 1059.

Item #1033: New asset Liveprocess note was converted to Liveprocess Series B Pfd stock - see Part VII, item 1034.

Item #1034: New asset Liveprocess Ser B Pfd stock was the result on the conversion of Liveprocess Note, Part VII, item 1033

Item #1061: Ptolemy Finance LLC, an asset of new Trust # 30 was purchased with uninvested & non-reportable cash held in Trust #30.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **PATTI B. SARIS**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544