

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kobayashi, Leslie E.	2. Court or Organization USDC, District of Hawaii	3. Date of Report 1/30/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address United States Courthouse 300 Ala Moana Blvd. Rm. C-353 Honolulu, Hawaii 96850	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the *NONE* box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2010

State of Hawaii, Judiciary - Salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	City Bank	Line of Credit	J
2.	First Hawaiian Bank	Line of Credit	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA Rollover	C	Int./Div.	J	T					
2. - Morgan Stanley Bank									
3. - MS Liquid Asset Fund									
4. - AIM Developing Markets A					Buy	05/13/10	J		
5. - Allianz NFJ SM-CAP Value A					Buy	01/08/10	J		
6.					Sold (part)	10/12/10	J		
7. - Amer Cent Intl Grw Adv					Buy	01/08/10	K		
8. - American EuroPacafic Grw F					Buy	11/16/10	J		
9. - Amercian GR FD of America F1					Buy	11/09/10	J		
10. - Blackrock Bond PTF SVC (fna "Blackrock Intrm Bond Svc")					Buy (add'l)	01/19/10	J		
11. - Blackrock Equity Dividend A					Buy (add'l)	01/19/10	J		
12. - Dryden Sh Term Corp BD A					Buy (add'l)	01/29/10	J		
13. - DWS Large Cap Value A					Buy (add'l)	01/29/10	J		
14. - DWS Dreman Small Cap Val A					Buy	01/08/10	J		
15. - DWS RREEF Real Estate Sec A					Buy (add'l)	01/29/10	J		
16. - Fidelity Adv Lvqd Company Stk A					Buy (add'l)	01/27/10	J		
17. - Ivy Large Cap Grw A					Buy	01/08/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Jennison Mid Cap Growth A					Buy (add'l)	01/29/10	J		
19. - Lazard Emerging Markets Open					Buy (add'l)	01/19/10	J		
20. - Legg Mason Ptners GL Hiyld Bd A					Buy (add'l)	10/08/10	J		
21. - Managers AMG ESS SM/MI CP GR A					Buy	01/08/10	J		
22. -Mainstay Icap Select Equity A					Buy	01/08/10	K		
23.					Buy (add'l)	11/09/10	K		
24. -Mainstay Large Cap Grw A					Buy	01/08/10	J		
25.					Buy (add'l)	11/09/10	J		
26. - MFS Limited Maturity A (Mutual Fund)					Buy (add'l)	01/19/10	J		
27. - MFS Limited Maturity A					Buy	11/02/10	J		
28. - MFS Value A					Buy	01/19/10	J		
29. - Perkins MID CAP VAL INV					Buy	01/19/10	J		
30. - PIMCO Low Duration FD A					Buy	01/08/10	J		
31. - PIMCO Real Return A					Buy	02/17/10	J		
32. -Prudential Jennison MD CP GW A					Buy	06/23/10	J		
33. -Prudential Sht Trm Corp BD A					Buy	06/07/10	J		
34. - Safeway Inc. (Common Stock)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - Seligman Emerging Markets A									
36. - T Rowe Price Mid Cap Gr Adv									
37. - Templeton Foreign Fund A									
38. - TCW Total Ret DB N					Buy (add'l)	01/27/10	J		
39. - Threadneedle Emerg Mkts A					Buy	01/20/10	J		
40. - Western Asset Core Plus BD FI					Buy (add'l)	11/17/10	K		
41. Honolulu FCU	A	Interest	J	T					
42. McDonald's Corp. (Common Stock)	A	Dividend	J	T					
43. Coverdell ESA	A	Int./Div.	J	T					
44. - MS Liquid Asset Fund									
45. - MS Focus Growth FD A					Buy	01/07/10	J		
46. Morgan Stanley Smith Barney UTMA Account	A	Int./Div.	J	T					
47. - MS Liquid Asset Fund					Buy	01/07/10	J		
48. - Morgan Stanley Bank					Buy	01/07/10	J		
49. - Walt Disney Co Hldg Co.									
50. Virtus Strategic Grw A (Mutual Fund)		None	J	T					
51. IRA Account	A	Int./Div.	K	T					

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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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52. - MS Liquid Asset Fund									
53. - Morgan Stanley Bank					Buy	01/07/10	J		
54. -Safeway Inc. (stock)									
55. - AIM Constellation A					Buy (add'l)	02/09/10	J		
56. - Allianz NFJ Intl Value A					Buy	06/11/10	J		
57.					Buy (add'l)	11/02/10	J		
58. - American Century Growth Advantage					Buy (add'l)	11/02/10	J		
59. - DWS Real Estate Sec A (A Mutual Fund)									
60. - Enterprise Group Fund Growth PT									
61. - Fidelity Adv Lvgd Company Stk A					Buy (add'l)	11/09/10	J		
62. - Focus Growth Fund B									
63. - IM Enterprise Growth FD Cl A									
64. - Invesco Constellation A					Buy	06/29/10	J		
65. - Invesco Div GW Securities A					Buy	06/29/10	J		
66. - Invesco GLB DIV GW Secur B					Buy	06/29/10	J		
67. - MS Capital Opportunities TR A					Buy	01/07/10	J		
68.					Buy	06/02/10	J		

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69. - MS Dividend Grwth Securities					Buy (add'l)	01/07/10	J		
70. - MS Focus Growth FD A					Buy	01/11/10	J		
71.					Buy (add'l)	06/02/10	J		
72. - MS Global Dividend Grwth Sec B(fkn as MSDW)					Buy (add'l)	01/11/10	J		
73. - MS Strategist Fund (fkn as MSDW)					Buy (add'l)	01/11/10	J		
74.					Buy (add'l)	06/22/10	J		
75. - MSDW Dividend Growth D									
76. - MS Global Advantage Fund A									
77. - MS Global Dividend Grwth Sec D									
78. - MS Mid-Cap Grwth B									
79. - MFS Value A					Buy (add'l)	11/09/10	J		
80. - MS Limited Maturity Fund A (Mutual Fund)									
81. - MSDW Dividend Growth Fund B									
82. - MSDW Small Cap Growth Fund B					Buy	01/07/10	J		
83. - MSDW Aggressive Equity Fund B									
84. - MSDW Competitive Edge Fund									
85. - MSDW Am Oppoturnity Fund									

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86. - MSDW Growth Securities B									
87. - MSDW Global Dividend Growth D									
88. - MSDW Competitive Edge Bip B									
89. - Perkins Mid Cap Val Div					Buy (add'l)	12/01/10	J		
90. - Pimco Low Duration FD A					Buy (add'l)	11/30/10	J		
91. - Pimco Small Cap Value Fd Cl A									
92. - Phoenix AI-Cap Growth									
93. - Phoenix Engermann Growth A									
94. - Salomon Hi Yld BD FD Cl A									
95. - Strategist Fund A									
96. General Electric Co. (stock)		None	J	T					
97. ING ESII Annuity		None	M	T					
98. CollegeBoundfund (Not self-directed)		None	J	T					
99. Wachovia Securities-Franklin Bank Certificate of Deposit	A	Interest			Matured	01/15/10	J	B	
100. indyMac Bank Certificate of Deposit	A	Interest	J	T	Buy (add'l)	01/15/10	J		
101. Amer Exp Centurion Certificate of Deposit	A	Interest	J	T	Buy	10/28/10	J		
102. Honolulu Waterwater Sys Bond		None	K	T	Buy	10/28/10	J		

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103. MS Equally Weighted S&P 500 C		None	J	T	Buy (add'l)	01/14/10	J		
104.					Sold (part)	01/29/10	J	A	
105.					Buy (add'l)	02/22/10	J		
106. United States Savings Bonds Series EE		None	J	T					
107. Wells Fargo Bank	A	Interest	J	T	Buy	01/07/10	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Amended Report 1/30/13

Line 10 (Line 68 on 2009 Report)- Added description "(fna "Blackrock Interm Bond Svc")" to Column A for "-Blackrock Bond PTF SVC"

Lines 45-47 on 2009 Report "Fidelity Adv Intermed BD T," "Developing Growth Securities B," and "Information Fund B" were all sold on 1/8/2010, and each transaction had a value code of "J."

Line 82 on 2009 Report "Custodial Trust Co Certificate of Deposit" was sold on 10/28/2010 with a value code of "J."

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Leslie E. Kobayashi**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544