

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Miller, Ruth	2. Court or Organization District Court, U.S. Virgin Islands	3. Date of Report 05/10/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full time magistrate judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Ron de Lugo Federal Building 5500 Veterans Drive, 3rd Floor St. Thomas, USVI 00802-0802		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Self-employed boat captain
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bar Association	June 3-4, 2016	Philadelphia, Pennsylvania	Client Protection Standing Committee - meeting and forum	Partial transportation, lodging
2. American Yacht Club - US Sailing	August 14-19, 2016	Rye, New York	Women's International Keelboat Championships Jury	Transportation
3. Farr 40 Class Association	September 7-11, 2016	Chicago, Illinois	Farr 40 North American Championships Protest Committee	Transportation

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4.	American Bar Association	October 21-22, 2016	Chicago, Illinois	Fall Leadership Conference; Client Protection Standing Committee - meeting	Transportation
5.	Melges 24 Class Association	November 28-December 4, 2016	Miami, Florida	Melges 24 World Championships Jury	Transportation, lodging, some meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	First Third Bank	Mortgage on property in Chicago, IL	M
2.	Scotiabank	Mortgage on property in St. Thomas, U.S. Virgin Islands	None
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Property, Chicago, IL	D	Rent	N	W					
2. Property, St. Thomas, VI	E	Rent			Sold	11/07/16	N	A	Bastien
3. Genworth Financial flex premium adjustable life policy		None	J	T					
4. Banco Popular de Puerto Rico account		None	K	T					
5. Northern Trust account		None	J	T					
6. -Charles Schwab brokerage account (H)									
7. -T common	B	Dividend	L	T					
8. -AAPL common	A	Dividend	J	T					
9. -ADM common	B	Dividend	K	T					
10. -BYD common		None	J	T					
11. -BMY common	B	Dividend	K	T					
12. -DUK common	B	Dividend	K	T					
13. -XOM common	C	Dividend	L	T					
14. -FTR common	A	Dividend	J	T					
15. -GE common	B	Dividend	L	T					
16. -MON common	A	Dividend	J	T					
17. -NWL common	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -PFE common	B	Dividend	K	T					
19. -VZ common	B	Dividend	K	T					
20. -Fairpoint Coomun Inc XXX		None	J	T					
21. -Schwab cash reserves	A	Interest	J	T					
22. -American High Income Trust Class A	A	Dividend	J	T					
23. -Capital Income Builder Fund Class A	B	Dividend	K	T					
24. -Capital World Growth & Income Fund Class A	A	Dividend	J	T					
25. -The Growth Fund of America Class A	A	Dividend	K	T					
26. -Virtus Global Prem Alphasector(SM) Fund Class A		None	K	T					
27. -Virtus Foreign Opportunities Fund ClassA	A	Dividend	K	T					
28. LPL Financial Inherited IRA account (H)									
29. -MCD common		None			Sold	02/04/16	M	D	
30. -MSI common	A	Dividend			Sold	02/04/16	J		
31. -NWL common		None			Sold	02/04/16	J	A	
32. -PEP common	A	Dividend			Sold	02/04/16	K	C	
33. -PG common	A	Dividend	J	T	Sold (part)	02/04/16	K		
34. -RTN common	A	Dividend			Sold	02/04/16	J	A	

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35. -VZ common	A	Dividend	J	T	Buy (add'l)	02/04/16	J		
36. -WMT common	A	Dividend			Sold	02/04/16	L		
37. -WBA common		None			Sold	02/04/16	L	D	
38. -YUM common	A	Dividend			Sold	02/04/16	J		
39. -cash account	A	Interest	J	T					
40. -MO common	A	Dividend	J	T	Buy	02/04/16	J		
41. -AEP common	A	Dividend	J	T	Buy	02/04/16	J		
42. -AAPL common	A	Dividend	J	T	Buy	02/04/16	J		
43. -BRK/B common		None	K	T	Buy	02/04/16	J		
44. -KO common	A	Dividend	J	T	Buy	02/04/16	J		
45. -EMR common	A	Dividend	J	T	Buy	02/04/16	J		
46. -ESRX common		None	J	T	Buy	02/04/16	J		
47. -FAST common	A	Dividend	J	T	Buy	02/04/16	J		
48. -GE common	A	Dividend	J	T	Buy	02/04/16	J		
49. -GIS common	A	Dividend	J	T	Buy	02/04/16	J		
50. -ITC common	A	Dividend			Buy	02/04/16	J		
51.					Sold	08/19/16	J	B	

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52. -JNJ common	A	Dividend	J	T	Buy	02/04/16	J		
53. -KHC common	A	Dividend			Buy	02/04/16	J		
54.					Sold	08/19/16	J	B	
55. -PAYX common	A	Dividend	J	T	Buy	02/04/16	J		
56. -PM common	A	Dividend	J	T	Buy	02/04/16	J		
57. -PEG common	A	Dividend	J	T	Buy	02/04/16	J		
58. -O common	A	Dividend	J	T	Buy	02/04/16	J		
59. -SO common	A	Dividend	J	T	Buy	02/04/16	J		
60. -SE common	A	Dividend	J	T	Buy	02/04/16	J		
61. -UPS common	A	Dividend	J	T	Buy	02/04/16	J		
62. -VTR common	A	Dividend			Buy	02/04/16	J		
63.					Sold	08/19/16	J	B	
64. -WFC common	A	Dividend	J	T	Buy	02/04/16	J		
65. -HCN common	A	Dividend	J	T	Buy	02/04/16	J		
66. -American High Income Trust C1 F1	A	Dividend			Buy	02/04/16	K		
67.					Sold	04/27/16	K	B	
68. -Doubleline Total Return Bond C1 N	A	Dividend	K	T	Buy	02/04/16	K		

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69.					Sold (part)	07/15/16	J	A	
70. -JP Morgan Core Bond Cl A	A	Dividend	J	T	Buy	02/04/16	J		
71. -Amerigas Partners Ltd	A	Distribution	J	T	Buy	02/04/16	J		
72. -Magellan Midstream Partners Ltd	A	Distribution	K	T	Buy	02/04/16	J		
73. -Mainstay High Yield Corp Bond Cl A	B	Dividend	K	T	Buy	04/27/16	K		
74.					Sold (part)	07/15/16	J	A	
75. -HSBC common	A	Dividend	J	T	Buy	06/24/16	J		
76. -PRU common	A	Dividend	J	T	Buy	06/24/16	J		
77.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Ruth Miller

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544