

FINANCIAL DISCLOSURE REPORT
INITIAL FILINGReport Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Oldshue, Jr., Jerry C.	2. Court or Organization Alabama Southern District Bankruptcy Court	3. Date of Report 10/22/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 09/22/2015
7. Chambers or Office Address United States Bankruptcy Court 201 St. Louis Street Mobile, AL 36602-6602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)
☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Secretary (2013-2015)	Rosen Harwood, P.A.
2. Manager (2013-2015)	RCS Building, LLC
3. Manager (2013- 2015)	Tuscaloosa Riverfront Development, LLC
4. Shareholder (2013-2015)	Rosen Harwood, P.A.
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)
☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 09/22/2016	Buyout agreement with Rosenharwood, P.A., former law firm.
2. 09/22/2016	Retirement Plan with Rosen Harwood, P.A., former law firm.
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Rosen Harwood, P.A. - Salary & Bonus	\$169,509.24
2. 2014	Rosen Harwood, P.A. - Salary & Bonus	\$190,808.34
3. 2015	Rosen Harwood, P.A. -Salary & Bonus	\$140,305.96
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Rosen Harwood, P.A. - Attorney Salary
2. 2014	Rosen Harwood, P.A. - Attorney Salary
3. 2015	American Christian Academy - Teaching Salary
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Synovus Bank	Guarantee of RCS Building, LLC loan on interest in building	N
2.	Capstone Bank	Guarantee of Rosen Harwood, P.A. operating line of credit	K
3.	Capstone Bank	Guarantee of Rosen Harwood, P.A. equipment loan	K
4.	Regions Bank	Guarantee of Rosen Harwood, P.A. operating line of credit	K
5.	Mississippi State University	Tuition [REDACTED]	J
6.	Chase Bank	Credit Card	J

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RCS Building, LLC	E	Distribution	O	U	Exempt				
2. Rental House, Tuscaloosa County, Alabama	E	Rent	M	W	Exempt				
3. Synovus Bank account	E	Interest	K	T	Exempt				
4. Capstone Bank account	E	Interest	K	T	Exempt				
5. DFA US MICRO CAP		None	M	T	Exempt				
6. MELON STABLE VALUE FUND		None	J	T	Exempt				
7. VANGUARD EMERGING MKTS STOCK INX ADM		None	K	T	Exempt				
8. VANGUARD TARGET RETIREMENT 2025		None	J	T	Exempt				
9. AMERICAN INCOME FUND OF AMERICA CLASS R		None	J	T	Exempt				
10. FIDELITY SELECT NATURAL RESOURCES		None	J	T	Exempt				
11. FIDELITY SELECT COMMERCIAL EQUIPMENT		None	J	T	Exempt				
12. FIDELITY SELECT FINANCIAL SERVICES		None	J	T	Exempt				
13. FIDELITY SELECT SOFTWARE		None	K	T	Exempt				
14. FIDELITY SELECT CONSUMER STAPLES		None	J	T	Exempt				
15. FIDELITY SELECT ELECTRONICS		None	J	T	Exempt				
16. WILLIAM BLAIR INTL GROWTH		None	K	T	Exempt				
17. INVESCO INTL GROWTH		None	K	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. HARDING LOEVNER EMERG MKTS ADV		None	K	T	Exempt				
19. COLUMBIA SEL LARGE CAP VAL		None	K	T	Exempt				
20. COLUMBIA SELECT LG CP GROWTH		None	K	T	Exempt				
21. DAVIS NEW YORK VENTURE		None	K	T	Exempt				
22. PRUDENTIAL JENNISON GROWTH		None	K	T	Exempt				
23. JANUS FLEXIBLE BOND		None	K	T	Exempt				
24. LORD ABBETT SHT DURATION INC		None	K	T	Exempt				
25. AQR MANAGED FUTURES STRATEGY		None	J	T	Exempt				
26. CALAMOS MARKET NEUTRAL INC		None	J	T	Exempt				
27. DIAMOND HILL LONG-SHORT		None	J	T	Exempt				
28. IVY MID CAP GROWTH		None	J	T	Exempt				
29. RS VALUE		None	J	T	Exempt				
30. RS SMALL CAP GROWTH		None	J	T	Exempt				
31. RS PARTNERS		None	J	T	Exempt				
32. MLP SELECT 40		None	J	T	Exempt				
33. BLACKROCK HI YIELD BD PTF INST		None	J	T	Exempt				
34. VOYA GLOBAL REAL ESTATE		None	J	T	Exempt				

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35. PIMCO SHORT TERM		None	J	T	Exempt				
36. PIMCO FOREIGN BD		None	J	T	Exempt				
37. PIMCO SHORT-TERM 529		None	J	T	Exempt				
38. NORTHERN BOND INDEX 529		None	J	T	Exempt				
39. T. ROWE PRICE BALANCED 529		None	J	T	Exempt				
40. T. ROWE PRICE REAL ESTATE 529		None	J	T	Exempt				
41. T. ROWE PRICE LARGE CAP GROWTH 529		None	J	T	Exempt				
42. NORTHERN MID-CAP INDEX 529		None	J	T	Exempt				
43. NORTHERN SMALL CAP INDEX 529		None	J	T	Exempt				
44. LORD ABBETT DEVELOPING GROWTH 529		None	J	T	Exempt				
45. NORTHERN FUNDS INTERNATIONAL EQUITY INDEX 529		None	J	T	Exempt				
46. LAZARD EMERGING MARKETS 529		None	J	T	Exempt				
47. COHEN & STEERS DIVIDEND VALUE 529		None	J	T	Exempt				
48.									
49.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 1 - Tuscaloosa Riverfront Development, LLC owns the Bank of Tuscaloosa Plaza, a four story, 108,000 sq/ft office building in Tuscaloosa Alabama. RCS Building, LLC is a 30% member of Tuscaloosa Riverfront Development, LLC. I currently own a 7.14% interest in RCS Building, LLC, but my appointment to the bench triggered a buyout provision that the memebrs of RCS Building, LLC are in the process of completing. The goal is to complete the buyout of my interest before the end of 2015.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jerry C. Oldshue, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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