

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dever, James C.	2. Court or Organization U.S. District Court, EDNC	3. Date of Report 4/30/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge- Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. District Court 310 New Bern Ave. Raleigh, NC 27601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Law Professor	Campbell University, N.A. Wiggins School of Law
2. Senior Lecturing Fellow	Duke University School of Law
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2013	Agreement to teach as an adjunct law professor at Campbell University
2. 2013	Agreement to teach as a senior lecturing fellow at Duke University
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Campbell University (wages)	\$6,760.00
2. 2013	Duke University (wages)	\$10,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Teacher, Our Lady of Lourdes Catholic School, Raleigh, North Carolina (wages)
2. 2013	Self-employed, Silpada, Raleigh, North Carolina
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. NC Association of Defense Attorneys	6/13/13 - 6/16/13	Hilton Head, SC	2013 Annual Meeting	hotel, food, gas
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Northwestern Mutual Life Policy	A	Int./Div.	K	T					
2. Northwestern Mutual Life Policy	A	Int./Div.	K	T					
3.									
4. PNC Bank Account	A	Interest	J	T					
5. IRA									
6. -Cash Deposit-- Northwestern Mutual Investment Services	A	Interest	J	T					
7. -ACINX (Columbia Acorn International Fund)	A	Int./Div.	J	T	Sold (part)	03/11/13	J	A	
8. -DODFX (Dodge & Cox International Fund)	A	Int./Div.	K	T					
9. -FVHIX (Franklin High Income Fund Advisor)	A	Int./Div.	J	T					
10. -HADBXX (Harbor Bond Fund Institutional Class Fund)	A	Int./Div.	K	T	Buy (add'l)	12/10/13	J		
11. -LSBRX (Loomis Sayles Bond Fund Retail Fund)	A	Int./Div.			Sold	03/11/13	J	A	
12. -ICSLX (Mainstay ICAP Select Equity Fund)	D	Int./Div.	K	T	Sold (part)	03/11/13	J	A	
13. -ICSLX					Sold (part)	12/10/13	J	A	
14. -ODMAX (Oppenheimer Developing Markets Fund)	B	Int./Div.	K	T	Sold (part)	03/11/13	J	A	
15. -ODMAX					Buy (add'l)	12/10/13	J		
16. -PARSX (Parnassus Small-Cap Fund)	B	Int./Div.	J	T	Sold (part)	12/10/13	J	A	
17. -PCRPX (Pimco Commodity Real Return Fund)	A	Int./Div.	K	T	Buy (add'l)	03/11/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. -UMBMX (Scout Mid Cap Fund)	B	Int./Div.	J	T	Sold (part)	03/11/13	J	A	
19. -UMBWX (Scout International Fund)	B	Int./Div.	K	T					
20. -TGBAX (Templeton Global Bond Fund Advisor)	A	Int./Div.	K	T					
21. -MCGFX (Aston/Montag & Caldwell Growth Fund)	B	Int./Div.	K	T	Sold (part)	03/11/13	J	A	
22. -MCGFX					Sold (part)	12/10/13	J	A	
23. -VSISX (Vanguard Small-Cap Index Signal Fund)	B	Int./Div.	J	T					
24. -VMISX (Vanguard Mid-Cap Index Signal Fund)	C	Int./Div.	J	T	Sold (part)	12/10/13	J	A	
25. -VTSSX (Vanguard Total Stock Market Index Signal Fund)	D	Int./Div.	K	T	Sold (part)	05/31/13	J	B	
26. -VTSSX					Sold (part)	12/10/13	J	A	
27. -GLD (SPDR Gold Tr Gold Shs)	A	Int./Div.			Sold	05/31/13	J	A	
28. -XLE (Select Sector SPDR Tr Energy)	B	Int./Div.	J	T					
29. -DODIX (Dodge & Cox Income Fund)	A	Int./Div.	J	T					
30. -HARRX (Harbor Real Return Fund Institutional)	A	Int./Div.			Buy (add'l)	03/11/13	J		
31. -HARRX					Sold	12/10/13	J	A	
32. -VGRSX (Vanguard REIT Index Signal Fund)	A	Int./Div.	K	T	Buy (add'l)	12/10/13	J		
33. -VIBSX (Vanguard Intermediate Term)	A	Int./Div.			Buy (add'l)	06/03/13	J		
34. -VISBX					Sold	07/11/13	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -APOIX (American Century Short Duration)	A	Int./Div.	J	T	Buy	12/11/13	J		
36. -DRGTX (Allianzgi Technology Fund)	B	Int./Div.	J	T	Buy	05/31/13	J		
37. -FSRIX (Fidelity Advisor Strategic Income)	A	Int./Div.	J	T	Buy	03/11/13	J		
38. -VFSTX (Vanguard Short Term Investment Grade)	A	Int./Div.	K	T	Buy	12/11/13	K		
39.									
40. Trust No. 1									
41. -Wachovia (now Wells Fargo) checking acct.	A	Interest	J	T					
42. -Wachovia (now Wells Fargo) savings acct.	A	Interest	J	T					
43. -Kinecta Federal Savings & Loan checking acct.	A	Interest	M	T					
44. -Kinecta Federal Savings & Loan savings acct.	A	Interest	J	T					
45.									
46. -Fidelity Cash Reserve acct.	A	Interest			Merged (with line 61)	12/27/13	M	A	
47. -New York Life whole life insurance policy	A	Int./Div.	K	T					
48. -New York Life family life insurance policy	A	Int./Div.	J	T					
49. -New York Life variable annuity	A	Int./Div.	J	T					
50. -USAA whole life insurance policy	B	Int./Div.	K	T					
51.									

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52. -AT&T Inc stock	A	Dividend			Sold	12/27/13	J	A	
53. -Comcast Corp Cl A stock	A	Dividend	J	T					
54. -General Electric Co stock	B	Dividend			Sold	12/27/13	L	A	
55. -News Corp Class A New stock	A	Dividend			Merged (with line 56)	06/28/13	J	A	
56. -Twenty First Century Fox Class A stock	A	Int./Div.	K	T					
57. -Northrop Grumman Corp stock	B	Dividend	L	T					
58. -Raytheon Company New stock	D	Dividend	N	T	Sold (part)	12/27/13	K	E	
59. -3M Company stock	B	Dividend	L	T					
60. -Wells Fargo CD	A	Interest	K	T					
61. -Fidelity US Treasury Money Mkt	A	Interest	M	T					
62. -Huntington Ingalls Indus stock	A	Dividend	J	T					
63. -Chevron Corp stock	D	Dividend	M	T					
64. -Morgan Stanley Smith Barney LLC Bank Deposit	A	Interest			Closed	12/27/13	J	A	
65. Fidelity Portfolio Advisory Service	D	Int./Div.	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

With respect to lines 55 and 56 of the Investments and Trusts section, on June 28, 2014, News Corp changed the name of the News Corp Class A New stock into Twenty First Century Fox Class A stock. The new stock is now called Twenty First Century Fox Class A stock. The computer did not have a category for such a name change. Thus, I used the category "merged with" on the form.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James C. Dever**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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